Meeting of the Board of Trustees

December 15, 2016
AGENDA

BOARD OF TRUSTEES
of
NORTHERN ILLINOIS UNIVERSITY
9:00 a.m. - Thursday - December 15, 2016
Board of Trustees Room
315 Altgeld Hall

1. Call to Order and Roll Call

2. Verification of Quorum and Appropriate Notification of Public Meeting

3. Meeting Agenda Approval ........................................................................................... Action...... i

4. Review and Approval of Minutes of August 25, 2016 Special Meeting......................... Action...... 1
   September 15, 2016 Regular Meeting---------------------------------------------- Action.... 3
   October 20, 2016 Special Meeting--------------------------------------------------- Action... 11
   November 8, 2016 Executive Meeting----------------------------------------------- Action.... 13
   November 17, 2016 Special Meeting----------------------------------------------- Action.... 16

5. Public Comment*

6. Chair's Comments/Announcements

7. Reports of Board Committees and Board Liaisons
   a. Executive Committee
   b. Academic Affairs, Student Affairs and Personnel Committee (AASAP)
   c. Compliance, Audit, Risk Management and Legal Affairs Committee (CARL)
   d. Finance, Facilities and Operations Committee (FFO)
   e. Legislative Affairs, Research and Innovation Committee (LARI)
   f. Ad Hoc Committee on Enrollment
   g. Ad Hoc Committee on Governance
   h. Illinois Board of Higher Education
   i. Universities Civil Service Merit Board
   j. Northern Illinois University Foundation
   k. Northern Illinois University Alumni Association
   l. Northern Illinois Research Foundation
8. President's Report No. 110

a. University Recommendations Forwarded by the Board Committees

1) Request for New Emphases ................................................................. Action ................................ 18
2) Request for Deletion of Minors .......................................................... Action ................................ 19
3) Request to Delete a Program ............................................................. Action ................................ 20
4) Request for Deletion of Emphasis and New Degree Program ............... Action ................................ 21
5) Grant South Steam Vault Replacement & DeKalb Campus Electrical Infrastructure Replacement Phase III-Capital Project Fund Source Amendment ............... Action ................................ 22
6) Stevenson and Grant Towers Building Envelope Repairs ....................... Action ................................ 23
7) Holmes Student Center Hotel Tower Envelope Repairs ......................... Action ................................ 24
8) Appointments – Faculty and Administrative Employees ....................... Action ................................ 25

b. University Reports Forwarded by the Board Committees

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4) Program Prioritization Update .......................................................... Information ....... 33
5) Overview of NCAA Athletic Compliance .......................................... Information ....... 34
6) Overview of 2016-17 Annual Safety and Security Report .................... Information ....... 35
7) Internal Audit FY2016 Annual Update ............................................. Information ....... 36
8) State Legislative Update ................................................................. Information ....... 37
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10) Sponsored Programs Administration ................................................ Information ....... 40
11) Corporate Sponsorships ................................................................. Information ....... 42
12) Semi-Annual Progress Report of Active Capital Projects ................... Information ....... 44
13) Periodic Report on Investments ....................................................... Information ....... 51
14) Quarterly Summary Report of Transactions in Excess of $100,000 .......... Information ....... 54
15) Quarterly Financial Summary – July 1, 2016 to September 30, 2016 .... Information ....... 57
16) Annual Financial Summary – July 1, 2015 to June 30, 2016 ............... Information ....... 60
17) Facilities Update ............................................................................. Information ....... 63

c. Items Directly from the President

1) FY18 Tuition, Fees, and Room and Board Recommendations ................. Action ................................ 64
2) Reappointment of Executive Vice President and Provost ..................... Action ................................ 75
3) Reappointment of Dean, College of Liberal Arts and Sciences ............. Action ................................ 76
4) Reappointment of Dean, Graduate School ........................................ Action ................................ 77
5) Appointment of Acting Vice President and General Counsel ............... Action ................................ 78
6) Authorization to Retain an Executive Search Firm for the Appointment of Vice President for Enrollment Management & Marketing and Communications. 

7) Authorization to Retain an Executive Search Firm for the Appointment of General Counsel. 

8) Whistleblower Policy. 


10) Authorization to Retain an Executive Search Firm for the Appointment of Vice President for Administration & Finance. 

11) Litigation-Grady v. Board of Trustees of Northern Illinois University, et al.

9. Chair’s Report No. 75
   a. Board of Trustees 2017 Meeting Dates Approval. 
   b. Closed Session Minutes Review and Release. 
   c. Resolution Honoring Jerry D. Blakemore, Vice President and General Counsel. 
   d. Ad Hoc Committee on Governance Proposals
      1) Proposed Appearances Before the Board Policy. 
      2) Constitutional Reform Process. 
   e. Resolution Honoring Eric A. Weldy, Vice President for Student Affairs & Enrollment Management. 

10. Next Meeting Date 

11. Closed Session 

12. Adjournment

*Individuals wishing to make an appearance before the Board should consult the Bylaws of the Board of Trustees of Northern Illinois University, Article II, Section 4 - Appearances before the Board. Appearance request forms will be available in the Board Room the day of the meeting. For more information contact Kathleen Carey, (kjahns@niu.edu) Recording Secretary to the Board of Trustees, Altgeld Hall 300, DeKalb, IL 60115, 815-753-1273. 

Anyone needing special accommodations to participate in the NIU Board of Trustees meetings should contact Ellen Andersen, Director of Special Events, at (815)753-1999, as soon as possible, normally at least a week before the scheduled Board meeting.
CALL TO ORDER AND ROLL CALL

The meeting was called to order at 2:05 p.m. by Chair Strauss in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Kathleen Carey conducted a roll call. Members present were Trustees Robert Boey, John Butler, Wheeler Coleman, Matthew Holmes, Robert Marshall, Cherilyn Murer, Tim Struthers and Board Chair Marc Strauss.

Also present: General Counsel Jerry Blakemore, President Doug Baker, Board Liaison Mike Mann, Executive Vice President and Provost Lisa Freeman, Vice President Anne Kaplan, Vice President Al Phillips, UAC Representatives Holly Nicholson, Rebecca Shortridge and Greg Long

VERIFICATION OF QUORUM AND APPROPRIATE NOTICE OF PUBLIC MEETING

General Counsel Blakemore indicated the appropriate notification of the meeting has been provided pursuant to the Illinois Open Meetings Act. Mr. Blakemore also advised that a quorum was present.

MEETING AGENDA APPROVAL

Chair Strauss asked for a motion to approve the meeting agenda. Trustee Butler so moved and Trustee Holmes seconded. The motion was approved.

CHAIR'S COMMENTS/ANNOUNCEMENTS

No additional comments or announcements were made.

PUBLIC COMMENT

No public comments were given.

OTHER MATTERS

No other matters were discussed.

NEXT MEETING

Chair Strauss indicated that the next full Board Meeting will be September 15, 2016. The Committee Meetings will be held on November 17, 2016 and Ad Hoc Meetings on October 20, 2016.

CLOSED SESSION

Chair Strauss asked for a motion to close the public meeting to conduct an executive session to discuss the following subjects authorized by the Illinois Open Meetings Act, as amended: personnel matters as generally described under sections 2C 1, 2, 3 and 21 of the Open Meetings Act, and discussion involving internal control effectiveness as generally described under section 23 C 29 of the Open Meetings Act. Chair Strauss also stated that no additional business will be conducted following the closed session.
Trustee Marshall so moved, seconded by Trustee Butler. A roll call vote of the Trustees to recess to Closed Session was as follows:

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<tr>
<th>Trustee</th>
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<tbody>
<tr>
<td>Robert Boey</td>
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<td>Wheeler Coleman</td>
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<td>Tim Struthers</td>
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<td>Vice Chair John Butler</td>
<td>Yes</td>
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<td>Matthew Holmes</td>
<td>Yes</td>
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<td>Cherilyn Murer</td>
<td>Yes</td>
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<td>Tim Struthers</td>
<td>Yes</td>
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<tr>
<td>Board Chair Marc Strauss</td>
<td>Yes</td>
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The meeting is now closed and will re-open at the conclusion of the closed session however, no future business will be conducted. The Board adjourned for closed session at 2:22 p.m.

The Board of Trustees of Northern Illinois University reconvened on August 25, 2016, at 7:28 p.m. Chair Strauss announced they were reconvening after the closed session and asked for a roll call.

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<td>Matthew Holmes</td>
<td>Yes</td>
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<td>Cherilyn Murer</td>
<td>Absent</td>
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<td>Board Chair Marc Strauss</td>
<td>Yes</td>
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**ADJOURNMENT**

Chair Strauss asked for a motion to adjourn. Trustee Coleman so moved and Trustee Boey seconded. The motion was approved. Meeting adjourned at 7:30 p.m.

Respectfully submitted,

Kathleen Carey  
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.
1. CALL TO ORDER AND ROLL CALL

The meeting was called to order at 8:03 a.m. by Board Chair Marc Strauss in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Kathy Carey conducted a roll call. Members present were Trustees Robert Boey, Wheeler Coleman, Robert Marshall, Tim Struthers, Matthew Holmes, Cherilyn Murer, John Butler and Board Chair Marc Strauss.

Also present: President Doug Baker, Board Liaison Mike Mann; General Counsel Jerry Blakemore, Executive Vice President and Provost Lisa Freeman, Vice President Al Phillips, Vice President Jerry Blazey, Vice President Anne Kaplan, and University Advisory Council (UAC) Representative Holly Nicholson.

2. VERIFICATION OF QUORUM AND APPROPRIATE NOTIFICATION OF PUBLIC MEETING

General Counsel Blakemore indicated the appropriate notification of the meeting has been provided pursuant to the Illinois Open Meetings Act. Mr. Blakemore also advised that a quorum was present.

3. MEETING AGENDA APPROVAL

Chair Strauss proposed that the following items be moved to a consent agenda: 9.a.1. – 9.a.4 and inclusive of 9.a.6., as well as 9.b.1. – 9.b.19. Trustee Holmes so moved and Trustee Butler seconded. The motion passed.

4. REVIEW AND APPROVAL OF MINUTES OF MAY 19, 2016; JUNE 16, 2016; JULY 21, 2016

Chair Strauss noted, at today's meeting we will be approving three sets of minutes. He began by asking for a motion to approve the minutes of the Special Meeting of May 19, 2016. Trustee Murer so moved and Trustee Boey seconded. The motion passed.

Chair Strauss continued, asking for a motion to approve the minutes from June 16, 2016, Regular Meeting of the Board of Trustees. Trustee Murer so moved and Trustee Struthers seconded. The motion passed.

Chair Strauss called for a motion to approve the minutes of July 21, 2016 Special Meeting of the Board of Trustees. Trustee Coleman so moved and Trustee Struthers seconded. The motion passed.

5. PUBLIC COMMENT*

General Counsel Blakemore indicated that there was one request for public comment.

Chair Strauss asked Derek Van Buer to address the group from the podium.

Derek Van Buer began, good morning. On Monday I sent each of the Board of Trustees a letter that I sent to the Attorney General's office. What it is it's my response for request for review of some of the redactions taken. In it, I’m arguing ultra vires means that you’re doing stuff that you don’t have authority to do and you find it using corporate law. You used to find it because when you set up a corporation a long time ago you had a specific purpose and if you went out of the specific purpose it was ultra vires. I’m making the same argument that when you look at redactions, you can't have an intra-agency discussion to make a decision on something that you don’t have the power to do. The reason I bring it up because one area is, we’ve already talked about which is the John Street neighborhood. The other area
that I think we touched base on maybe, was the Hillcrest neighborhood which is about three-quarters of a mile north of us. I urge you to just read, there's four pages, it's a 29 page document. Pages 7-11 talks about Hillcrest. Over the weekend on the radio they were talking about the old campus cinema theater over in Hillcrest. And it's interesting because that's the exact same property that Bill Nicholas helped develop a proposal for Northern, Doug Robertson's company, and the City of DeKalb. And what's interesting is that the city has recommended not to approve the project. They really haven't really looked at the project, but they didn't come out and say Hah! NIU and Doug Robertson and us have a proposal. You know and that's what the question is and that's why the first couple pages talks about the Illinois Board of Higher Education. They have authority. You have to go to them when you want to do a new public service, when you want to add a new unit of instructions, or if you want to change something that's not reasonable or moderate you have to go for approval. When the university wants to do stuff they have to go to you guys and get approval. A course has to be approved by you guys. So we have this period of time, two years or more over in Hillcrest. There's never been any board discussion about it. So that's why I really urge you to look at it because again, it was in the paper, 5.5% drop in enrollment. For three years the focus of the administration has been in areas that they don't have authority to do, Hillcrest, College Town, John and Harrison Street. You will see in the thing that Anne Kaplan wrote that it was one of the most important initiatives of the university. Well how can it be one of the most important initiatives of the university when none of us have heard about it? And the only reason we know about it is because of FOIA. And so anyway, that's, you know you don't have to read the whole thing, but there's a few good pages to look at and to think about. Have we granted authority and if Baker comes up and says well it's OSU, Ohio State University. Yeah, but the Board of Trustees actually approved that. You know as we go up to the website on college partners and it says, “Board of Trustees Approved a Corporation.” We've already talked about we had a not-for-profit that was set up within Northern. There was no board approval for that. So anyway I urge you to read it. It's an interesting read and it’s fairly timely because of what's going on right now in the city. Thank you.

6. CHAIR’S COMMENTS/ANNOUNCEMENTS

Chair Strauss noted he had no comments or announcements and offered the opportunity to speak to representatives from the University Advisory Council.

Holly Nicholson began, thank you for the opportunity. I’m encouraged by the innovative academic programs and collaborations that were contained in the report today. But it’s frustrating to see such a significant down turn in enrollment with other campuses experiencing an increase in enrollment when we have so much to offer our students and we’ve been working so diligently to position ourselves financially and keep operations stable despite pressures from all sides waving on hiring and raises and capital improvements. Last year the Operating Staff Council talked about how we and our constituents could help with retention and those numbers are very encouraging and so this year we will add recruitment to that conversation. We have Marketing and Communications coming in November and we’ll invite Enrollment Management as well and we are very happy as a council to do anything we can to help with recruitment efforts.

Chair Strauss added, recruitment and retention involve everybody, all constituencies, and we're going to need assistance from everybody. The enrollment and retention issues are important to the Board.

7. TRUSTEE MATTHEW HOLMES COMMENTS

Chair Strauss noted, when Trustee Holmes joined the Board he was sworn in at an Ad Hoc Committee and was not given an opportunity to address the group. At this time, I would like to give Trustee Holmes an opportunity to make a few remarks.

Trustee Matthew Holmes began, thank you Chair Strauss. Good morning everyone. I want to be brief because there's a full agenda today. It feels like it's been a long time since I was elected back in March. It's quite a long ways away even though this is a short term. I just want to take this time to publically
thank everyone that’s helped me out so far; outgoing Student Trustee James Zanayed was very helpful in transitioning me into this role. He’s one of the few people that understands what goes into this role. A lot of the Board members were very outgoing in approaching me and willing to show me what the Board does, how it works, and get me up to speed on everything they're up to speed on. Overall, I’ve just been impressed with how efficient and how well this Board’s works. I’ve only been here for two months, but I’ve seen a lot of concise action and it’s very impressive that this group can get together and do everything that it’s been able to do so far. I’m looking forward to working with everyone on this Board and everyone in this room. Thank you.

8. REPORTS OF BOARD COMMITTEES AND BOARD LIAISONS

a. Executive Committee
Chair Strauss indicated the Executive Committee has not met so there will be no report.

b. Academic Affairs, Student Affairs and Personnel Committee (AASAP)
Trustee Marshall reported, at the August 25, 2016 meeting of the Academic Affairs, Student Affairs and Personnel Committee, we approved two items. Those items were the recommendation for a specialization in Community College Leadership which will be contained within the EDD. That will also be in Adult and Higher Education; and secondly, the collective bargaining agreement with the Metropolitan Alliance of Police. There were also three information items presented at that meeting. The first was the recognition of the 2015/16 emeritus professors. Secondly, a presentation on the NIU Fulbright Scholarship connection. Lastly, a presentation from the Institutional Aid Task Force.

c. Compliance, Audit, Risk Management and Legal Affairs Committee (CARL)
Trustee Murer reported, I’m pleased to provide the report of the Compliance, Audit, Risk Management and Legal Affairs Committee. This committee met on Thursday, August 25th. The agenda for the meeting included presentation of several substantive matters. First and foremost, Dr. Alan Phillips provided the committee the first written report from the task force. I want to commend Dr. Phillips for the with a report on the university’s Enterprise Risk Management initiative including presenting the committee with work that he and his team have done and we look forward to coming to conclusion of this initial report in the next several months. Dr. Phillips then provided information on the university's insurance program including updates on insurance policies and changes. The university's Special Counsel and University Ethics Officer Jim Guagliardo provided the committee with a report on the annual ethics training required by state law. He reported that 100% of both the Board and the entire university complied with the ethics act training requirements and that compliance occurred within the specified time frame for compliance. This training involved 7,632 employees. Moreover, the scope of the training involved board training and new employee training, and annual employee training. I think, especially at this particular time of commitment to transparency, this is a very important activity. Finally, Mr. Guagliardo informed the committee about recent guidance issued by the Office of the Illinois Executive Inspector General regarding state employee's political activity. Specifically he provided highlights of the relevant provisions of the State Officials and Employees Ethic Act focusing on what constitutes prohibited political activity. Again, this was a timely discussion in light of our presidential election within the next six weeks.

d. Finance, Facilities and Operations Committee (FFO)
Trustee Butler reported, the Finance, Facilities and Operations Committee met on August 25, 2016. We considered four action items, the FY2018 budget request guidelines, the FY2018 IBHE capital budget request, the Stevenson Towers B and C roof replacement project, and the Department of Information Technology FY2017 NIU Net Modernization project. We also considered information items. In particular we were presented two substantive reports. One by Vice President Brett Coryell regarding the road map and future for the central IT Division. The second was from Associate Vice President John Heckmann concerning an update on the five year repair and improvement planning update. We also received, although did not discuss, the following information reports; the FY2016 report of tuition and fee waivers,
the FY2016 report on capital activities, FY2016 annual report of transactions involving real property, the FY16 annual report of cash and investments, a quarterly summary report of transactions in excess of $100,000, and an annual summary report of obligations of financial resources.

e. **Legislative Affairs, Research and Innovation Committee (LARI)**

Trustee Murer reported, the Legislative Affairs, Research and Innovation Committee met on August 25th and discussed four items. Mike Mann provided an update on the difficult budget situation in Springfield. It’s a continuing situation. There’s some progress being made but the situation remains dire as well all know; and Dr. Anna Quider presented a federal update. Again, I think in light of the national election there’s limited legislative activity in Washington and it provides us with the likelihood of a continuing resolution for FY17. We had a detailed report on sponsored programs administration from Ms. Dara Little. Cumulative, external funding through the end of the fiscal year was $28 million. As part of our survey of NIU research, we heard from Dr. Jerry Blazey about policy and faculty support initiatives in the Division of Research and Innovation Partnerships.

f. **Ad Hoc Committee on Enrollment**

Chair Strauss reported, the Ad Hoc Committee on Enrollment met on July 21st. There were two main agenda items. The first was the consideration of equity gaps that equal outcomes, and the second related to International Affairs and Admissions. The next meeting is scheduled for October 20, 2016, 9 a.m., and following our discussion in July, I believe that agenda will include an overview of the Chance Program support programs that are available for academic issues, P20 issues, and the fall enrollment update which we’re all of course interested in talking about.

g. **Ad Hoc Committee on Governance**

Trustee Butler reported, the Ad Hoc Committee on Governance met on July 21, 2016. Our committee received an update from Professor Greg Long on the on-going effort to reform the university's governance documents. We had a robust discussion of the existing board’s structure with regard to standing committees and ad hoc committees and charters. We received a preliminary briefing on the effort to develop a policy on policies which is essentially a statement, a policy that outlines where responsibility lies for policy making, who then is responsible for managing and administering those policies and what we will do to make certain that these policies are available to all of the university through some sort of searchable policy library. Finally, we received an update on the university’s policy regarding financial exigency. The next meeting of this committee is scheduled for October 20th.

h. **Illinois Board of Higher Education**

President Baker reported, I attended a meeting of the public university presidents along with the IBHE Board Chair Tom Cross and Secretary of Education Beth Purvis. We had a good conversation about a variety of issues facing higher education in Illinois and committed to keeping the lines of communication open and working together to advocate for more consistent and sustainable funding for higher education and to work collectively on non-budget issues such as procurement reform and unfunded mandates. Next Tuesday I’ll attend the IBHE meeting at St. Xavier University in Chicago where the board will consider the Holmes Student Center project for approval. Non-instructional capital projects over $2 million require the IBHE stamp of approval. You formally approved that project here and now it’s on to the next step. The IBHE also scheduled a press conference in the morning prior to that meeting to announce the findings in the final report of the future of the Workforce Commission that I sat on last year. Finally, in October we’ll meet with the IBHE staff to discuss the fiscal year 2018 budget outlook and the plan for moving forward.

i. **Universities Civil Service Merit Board**

Trustee Marshall reported, the Universities Civil Service Merit Board met on August 17, 2016 for an unusually long meeting but I think very productive. The board approved the recommended fiscal year 2018 agency budget presented at a number of $1,232,200. Approval was also given to submit the
proposed furlough rule changes to the Secretary of State for the second notice period and final review by the state legislature. Since that time, I did receive an e-mail that, unfortunately, the proposal is temporarily stalled because of language disputes impacting the bargaining units. So that will still be an upcoming item. A new governance risk and compliance audit charter was passed and on that point we had to start almost from scratch because due to the budget crisis, audits of the universities and agencies associated with the Civil Service Commission had been halted. Approval was given for a new demonstration project that will implement an electronic position board that will show across the universities the current job positions. This project is scheduled to run for at least two years. Another one that definitely impacts our students, the board approved a second demonstration project that will funnel college seniors and recent alumni into open jobs at the university served by the system. This project will run for three years. Information items included a thank you to the University of Illinois for loaning the Merit Board dollars necessary for covering our omissions and insurance premiums. Results of the customer satisfaction survey were presented at the meeting and current salaries are being paid under court order. We still have unpaid vendors. There were no employee termination cases heard at this meeting. A new legal consultant is Daniel DeThorne. Incidentally a graduate of the NIU Law School, so we're in Springfield too. Clarification was given on employee suspensions relevant to those who are reinstated rather than being outright terminated. There had been a degree of confusion on suspension time served before the hearing actually took place, so that's being put in order. A new audit schedule was also announced and the university system did not receive an appropriation at that time for FY16.

j. Northern Illinois University Foundation

No report.

k. Northern Illinois University Alumni Association

No report.

l. Northern Illinois Research Foundation

Vice President Blazey reported, the NIRF Board met on June 14, 2016 at the NIU Hoffman Estates campus. The board was presented with a yearly financial report which in the future will be received by the newly formed audit committee. Since last meeting the audit committee has approved a new audit firm to represent NIRF and they have been working on that FY16 audit for us. The Office of Innovation presented the board with an update on partnerships, patents, and license activity. And the board is now engaged in discussions of possible future directions for NIRF including the support of earlier stage research to help us build the pipeline for future intellectual property at NIU. Our next meeting will be on September 28, about two weeks from now.
Agenda Item 9.a.(3) - Fiscal Year 2018 Budget Request Guidelines - Consent Agenda
Agenda Item 9.a.(4) - Fiscal Year 2018 IBHE Capital Budget Request - Consent Agenda
Agenda Item 9.a.(5) - Stevenson Towers B & C - Roof Replacement - Consent Agenda
Agenda Item 9.a.(6) - DoIT Fiscal Year 2017 NIU Net Modernization

Chair Strauss began, let's now consider agenda item 9.a.6.

President Baker added, the recommendation to approve the expenditure authority for the purchase of the Sienna hardware and software and maintenance to upgrade the NIUNet and it will be purchased through Walker and Associates, Inc.

Chair Strauss asked for a motion to approve. Trustee Butler so moved, Trustee Holmes seconded. A roll call vote was taken as follows:

   Trustee Boey - Yes       Trustee Coleman - Yes
   Trustee Holmes - Yes     Trustee Marshall - Yes
   Trustee Murer - Yes      Trustee Struthers - Abstain
   Vice Chair Butler - Yes  Board Chair Strauss - Yes

Motion approved.

UNIVERSITY REPORTS FORWARDED BY THE BOARD COMMITTEES

Agenda Item 9.b.(1) - 2015-2016 Faculty Emeritus Recognition - Consent Agenda
Agenda Item 9.b.(2) - Presentation: NIU Fulbright - Consent Agenda
Agenda Item 9.b.(3) - Institutional Aid Task Force Report - Consent Agenda
Agenda Item 9.b.(4) - Fiscal Year 2016 Report of Tuition and Fee Waivers - Consent Agenda
Agenda Item 9.b.(5) - Fiscal Year 2016 Report on Capital Activities - Consent Agenda
Agenda Item 9.b.(6) - Fiscal Year 2016 Annual Report of Transactions Involving Real Property - Consent Agenda
Agenda Item 9.b.(7) - Fiscal Year 2016 Annual Report of Cash and Investments - Consent Agenda
Agenda Item 9.b.(8) - Quarterly Summary Report of Transactions in Excess of $100,000 - Consent Agenda
Agenda Item 9.b.(9) - Annual Summary Report Obligation of Financial Resources - Consent Agenda
Agenda Item 9.b.(10) - Road Map for Central IT - Consent Agenda
10. OTHER MATTERS

No other matters were discussed.

11. NEXT MEETING DATE

Next regular meeting of the Board of Trustees is scheduled for December 15, 2016 at 9 a.m. Board of Trustees Committee meetings will be held November 17, 2016, beginning at 9 a.m.

12. CLOSED SESSION

Chair Strauss asked for a motion to close the public meeting to conduct an executive session to discuss the following subjects authorized by the Illinois Open Meetings Act, as amended: personnel matters as generally described under sections 2.c.1, 2, 3 & 21 of the Open Meetings Act, and discussion involving internal control effectiveness as generally described under section 2.c.29 of the Open Meetings Act. Trustee Boey so moved, seconded by Trustee Murer. A roll call vote of the Trustees to recess to Closed Session was as follows:

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<td>Trustee Matthew Holmes</td>
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The meeting is now closed and will re-open at the conclusion of the closed session in approximately three hours. The Board adjourned for closed session at 8:32 a.m.

Chair Strauss indicated that the meeting was closed and noted the following the closed session the board would resume for the purpose of adjournment only. No further business will be conducted.

The Board of Trustees of Northern Illinois University reconvened on September 15, 2016, at 3:10 p.m. Chair Strauss announced they were reconvening after the closed session and asked for a roll call. A roll call vote was taken as follows:
Present: Trustee Robert Boey, John Butler, Matthew Holmes, Robert Marshall, Tim Struthers, Board Chair Marc Strauss

Absent: Trustee Cherilyn Murer, Wheeler Coleman

13. ADJOURNMENT

Chair Strauss asked for a motion to adjourn. Trustee Boey so moved and Trustee Butler seconded. The motion was approved. Meeting adjourned at 3:11 p.m.

Respectfully submitted,

Kathy Carey
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.
CALL TO ORDER AND ROLL CALL

The meeting was called to order at 2:01 p.m. by Chair Strauss in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Kathleen Carey conducted a roll call. Members present were Trustees Robert Boey, John Butler, Wheeler Coleman, Matthew Holmes, Robert Marshall, Cherilyn Murer, Tim Struthers, and Board Chair Marc Strauss.

Also present General Counsel Jerry Blakemore, Board Liaison Mike Mann, President Doug Baker, Executive Vice President and Provost Lisa Freeman, Vice President Al Phillips, UAC Representatives Holly Nicholson and Greg Long.

VERIFICATION OF QUORUM AND APPROPRIATE NOTICE OF PUBLIC MEETING

General Counsel Blakemore indicated the appropriate notification of the meeting has been provided pursuant to the Illinois Open Meetings Act. Mr. Blakemore also advised that a quorum was present.

MEETING AGENDA APPROVAL

Chair Strauss asked for a motion to approve the meeting agenda. Trustee Butler so moved and Trustee Struthers seconded. The motion was approved.

CHAIR’S COMMENTS/ANNOUNCEMENTS

No comments were given.

PUBLIC COMMENT

No public comments were given.

OTHER MATTERS

No other matters were discussed.

NEXT MEETING

Chair Strauss indicated that the next Board of Trustees Committee Meetings will be held on November 17, 2015 and the full Board Meeting will be held on December 15, 2016.

CLOSED SESSION

Chair Strauss asked for a motion to close the public meeting to conduct an executive session to discuss the following subjects authorized by the Illinois Open Meetings Act, as amended: personnel matters as generally described under sections 2c 1, 2, 3 & 21 of the Open Meetings Act, discussion involving internal control effectiveness as generally described under section 2c 29 of the Open Meeting Act.
Trustee Murer so moved, seconded by Trustee Holmes. A roll call vote of the Trustees to recess to Closed Session was as follows:

<table>
<thead>
<tr>
<th>Trustee Name</th>
<th>Vote</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trustee Robert Boey</td>
<td>Yes</td>
</tr>
<tr>
<td>Trustee Wheeler Coleman</td>
<td>Yes</td>
</tr>
<tr>
<td>Trustee Robert Marshall</td>
<td>Yes</td>
</tr>
<tr>
<td>Trustee Tim Struthers</td>
<td>Yes</td>
</tr>
<tr>
<td>Vice Chair John Butler</td>
<td>Yes</td>
</tr>
<tr>
<td>Trustee Matthew Holmes</td>
<td>Yes</td>
</tr>
<tr>
<td>Trustee Cherilyn Murer</td>
<td>Yes</td>
</tr>
<tr>
<td>Board Chair Marc Strauss</td>
<td>Yes</td>
</tr>
</tbody>
</table>

The meeting is now closed and will re-open at the conclusion of the closed session for the purpose of closing the meeting. No public business will be transacted upon return from closed session. The Board adjourned for closed session at 2:05 p.m.

The Board of Trustees of Northern Illinois University reconvened on August 25, 2016, at 5:49 p.m. Chair Strauss announced they were reconvening after the closed session and asked for a roll call.


**ADJOURNMENT**

Chair Strauss asked for a motion to adjourn. Trustee Coleman so moved and Trustee Butler seconded. The motion was approved. Meeting adjourned at 5:49 p.m.

Respectfully submitted,

Kathleen Carey
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.
CALL TO ORDER AND ROLL CALL

The meeting was called to order at 9:02 a.m. by Chair Strauss in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Kathleen Carey conducted a roll call. Members present were Trustees Cherilyn Murer, Tim Struthers, Vice Chair John Butler, Chair Marc Strauss. Also present were General Counsel Jerry Blakemore, President Doug Baker, Board Liaison Mike Mann, UAC Representatives Holly Nicholson, Greg Long.

VERIFICATION OF QUORUM AND APPROPRIATE NOTICE OF PUBLIC MEETING

General Counsel Blakemore indicated the appropriate notification of the meeting has been provided pursuant to the Illinois Open Meetings Act. Mr. Blakemore also advised that a quorum was present.

MEETING AGENDA APPROVAL

Chair Strauss asked for a motion to approve the meeting agenda. Trustee Murer so moved and Trustee Butler seconded. The motion carried.

CHAIR’S COMMENTS/ANNOUNCEMENTS

No additional comments.

PUBLIC COMMENT

No public comment.

CLOSED SESSION

Chair Strauss asked for a motion to close the public meeting to conduct an executive session to discuss the following subjects authorized by the Illinois Open Meetings Act, as amended: personnel matters as generally described under sections 2.c.1, 2, 3, and 21 of the Open Meetings Act. Trustee Butler so moved, seconded by Trustee Struthers. A roll call vote of the Trustees to recess to Closed Session was as follows:

Trustee Cherilyn Murer: Yes  Trustee Tim Struthers: Yes
Vice Chair John Butler: Yes  Board Chair Marc Strauss: Yes

The meeting is now closed and will re-open at the conclusion of the closed session. Chair Strauss noted that there will be action items following the closed session. The Board adjourned for closed session at 9:05 a.m.

The Board of Trustees of Northern Illinois University reconvened on November 8, 2016, at 10:57 a.m. Chair Strauss announced they were reconvening after the closed session and asked for a roll call. Members present were Trustee Cherilyn Murer, Tim Struthers, Vice Chair John Butler, and Board Chair Marc Strauss.
Agenda Item 7.a. - Presidential Legal Services Related to Investigation into Hiring of Temporarily Employees

President Baker began, in accordance with Article IX of the Board of Trustees Bylaws and as the President, I am requesting approval from the Executive Committee for indemnification against reasonable expenses incurred in connection with a provision of separate legal services by an outside firm, the Mintz, Levin, Cohn, Ferris, Glovsky, and Popeo, P.C. In specific, the Executive Committee is asked to approve the expenditure of funds for the indemnification of separate legal services to the President related to the investigation of the hiring of temporary employees. The request relates to matters associated with President’s duties and responsibilities. The Executive Committee’s approval of such shall be limited to an additional $15,000.00 and not to exceed $15,000.00 resulting in a total expenditure of $165,000.00.

Chair Strauss asked for a motion to that effect. Trustee Butler so moved and Trustee Murer seconded the motion. There was no additional discussion. A vote was taken by voice and the results were three votes in favor and one opposed. Chair Strauss responded the motion carries.

Agenda Item 7.b. - Presidential Legal Services Related to Internal Controls Investigation

President Baker continued, in accordance with the Article IX of the Board of Trustees bylaws, the President is requesting approval from the Executive Committee for indemnification against reasonable expenses incurred in connection with the provision of separate legal services again by the firm Mintz, Levin, Cohn, Ferris, Glovsky, and Popeo, P.C. The Executive Committee is asked to approve the expenditure of funds for the indemnification of separate legal services to the President for the investigation related to internal controls. The request relates to matters associated with presidential duties and responsibilities. The Executive Committee’s approval of such shall be limited to a total expenditure not to exceed $20,000.00. If there is a need to extend this approval in the future, staff will bring the matter back to the Executive Committee for review and consideration.

Chair Strauss asked for a motion to that effect. Trustee Butler so moved and Trustee Murer seconded the motion. There was no additional discussion. A roll call vote was taken as follows:

Trustee Cherilyn Murer: Yes  
Trustee Tim Struthers: Abstain  
Vice Chair John Butler: Yes  
Board Chair Marc Strauss: Yes

Chair Strauss responded, the motion carries.

General Counsel Blakemore responded, Mr. Chair, Members of the Board, I just want to go on record here with respect to the Executive Committee’s concurrence, that member Trustee Murer is participating in this meeting by call. I want to be certain the record reflected approval of the Board for the participation of Trustee Murer notwithstanding the fact she is not physically present.

Chair Strauss asked, Trustee Murer the reason for your lack of physical presence is business necessity is that correct?

Trustee Murer responded, yes, absolutely.

General Counsel Blakemore added, that will added to the record and that there is no objection to her participating in the meeting.
OTHER MATTERS

No other matters were discussed.

ADJOURNMENT

Chair Strauss asked for a motion to adjourn. Trustee Murer so moved and Trustee Butler seconded. The motion was approved. Meeting adjourned at 11:02 a.m.

Respectfully submitted,

Kathleen Carey
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.
CALL TO ORDER AND ROLL CALL

The meeting was called to order at 1:40 p.m. by Chair Strauss in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Kathleen Carey conducted a roll call. Members present were Trustees Wheeler Coleman, Matthew Holmes, Robert Marshall, Cherilyn Murer, Tim Struthers, and John Butler. Members absent Trustee Robert Boey. Also present: President Doug Baker, Board Liaison Mike Mann, and General Counsel Jerry Blakemore. UAC Representative Greg Long.

VERIFICATION OF QUORUM AND APPROPRIATE NOTICE OF PUBLIC MEETING

General Counsel Blakemore indicated the appropriate notification of the meeting has been provided pursuant to the Illinois Open Meetings Act. Mr. Blakemore also advised that a quorum was present.

MEETING AGENDA APPROVAL

Chair Strauss asked for a motion to approve the meeting agenda. Trustee Murer so moved and Trustee Butler seconded. The motion was approved.

CHAIR’S COMMENTS/ANNOUNCEMENTS

No additional comments were made.

PUBLIC COMMENT

General Counsel Blakemore indicated there were no requests for public comment.

PRESIDENT’S REPORT NO. 109

UNIVERSITY RECOMMENDATION FORWARDED BY BOARD COMMITTEE

Agenda Item 6.a.1. Appointments - Faculty and Administrative Employees

Chair Strauss noted, the item before us is the University recommendation, forwarded from one of our earlier committees today regarding appointments of faculty and administrative employees. The recommendation is that the university requests the Board of Trustees approval for the recommendations for minor revisions in the Board of Trustees Regulations Section 2, Subsection A as proposed and called for a motion.

Trustee Murer so moved and Trustee Butler seconded the motion. There was no discussion and the motion was approved.

OTHER MATTERS

No other matters were discussed.
Board of Trustees Meeting will be December 15, 2016 at 9 a.m.

Chair Strauss asked for a motion to close the public meeting to conduct an executive session to discuss the following subjects authorized by the Illinois Open Meetings Act, as amended: personnel matters as generally described under sections 2(c)(1)(2)(3) and (21) of the Open Meetings Act, litigation and risk management matters as generally described under sections (2)(c)(11) and (12) of the Open Meetings Act, closed session minutes matters as generally described under section (2)(c)(21) of the Open Meetings Act. Trustee Butler so moved, seconded by Trustee Murer. A roll call vote of the Trustees to recess to Closed Session was as follows:

<table>
<thead>
<tr>
<th>Trustee</th>
<th>Status</th>
<th>Vice Chair</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robert Boey</td>
<td>Absent</td>
<td>John Butler</td>
</tr>
<tr>
<td>Wheeler Coleman</td>
<td>Yes</td>
<td>Matthew Holmes</td>
</tr>
<tr>
<td>Robert Marshall</td>
<td>Yes</td>
<td>Cherilyn Murer</td>
</tr>
<tr>
<td>Tim Struthers</td>
<td>Yes</td>
<td>Marc Strauss</td>
</tr>
</tbody>
</table>

The meeting is now closed and will re-open at the conclusion of the closed session. The Board adjourned for closed session at 1:45 p.m.

The Board of Trustees of Northern Illinois University reconvened on August 25, 2016, at 3:55 p.m. Chair Strauss announced they were reconvening after the closed session and asked for a roll call. Present: Trustees Wheeler Coleman, Matthew Holmes, Robert Marshall, Tim Struthers, Board Chair Marc Strauss. Absent: Trustee Robert Boey, Cherilyn Murer, and John Butler.

Adjournment

Chair Strauss asked for a motion to adjourn. Trustee Coleman so moved and Trustee Holmes seconded. The motion was approved. Meeting adjourned at 3:56 p.m.

Respectfully submitted,

Kathleen Carey
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.
REQUEST FOR NEW EMPHASES

New subdivisions of existing undergraduate programs are called emphases. Emphases, require the approval of the Board of Trustees. If the board approves these additions, the university will report them in the Annual Listing of Changes sent to the Illinois Board of Higher Education in June 2017. These requests come to the Academic Affairs, Student Affairs and Personnel Committee after receiving approval from the curriculum committees at the department, college and university levels and the concurrence of the provost.

Emphases within the B.S.Ed. in Elementary Education
Emphasis 1: Bilingual/ ESL
Emphasis 2: Elementary Mathematics Education
Emphasis 3: Reading Teacher
Emphasis 4: Special Education

Description: The university proposes to offer four emphases within the B.S.Ed. in Elementary Education: Emphasis 1: Bilingual/ESL, Emphasis 2: Elementary Mathematics Education, Emphasis 3: Reading Teacher, and Emphasis 4: Special Education.

Rationale: Numerous changes are proposed for the Bachelor of Science in Education in Elementary Education. Specifically, the changes proposed are: the inclusion of four areas of emphasis; direct admission to the program; and specification of courses to satisfy general education and licensure requirements. These changes are requested in response to demands placed on the program (both internally and externally), as an attempt to focus resources on areas/fields of competence, and to distinguish the program from other elementary education programs found in the State of Illinois.

Costs: No new resources are needed to implement the proposed emphasis. The courses and faculty needed to offer this emphasis are currently in place.

Recommendation: The Academic Affairs, Student Affairs and Personnel Committee endorses this request and asks for Board of Trustees approval at its December 15, 2016 meeting.
REQUEST FOR DELETION OF MINORS

Public university governing boards have the final authority to delete minors within programs. These requests are brought to the Academic Affairs, Students Affairs and Personnel Committee of the Board of Trustees after receiving approval from curriculum committees at the department, college and university levels and the concurrence of the provost.


Description: The university proposes to delete these six minors.

Rationale: Deletion of these minors is based on feedback from Program Prioritization and low enrollments.

Recommendation: The Academic Affairs, Student Affairs and Personnel Committee endorses this request and asks for Board of Trustees approval at its December 15, 2016 meeting.
REQUEST TO DELETE A PROGRAM

Public university governing boards have the final authority to delete programs. These requests are brought to the Academic Affairs, Students Affairs and Personnel Committee of the Board of Trustees after receiving approval from curriculum committees at the department, college and university level and the concurrence of the provost.

B.S.Ed. in Health Education

Description: The university proposes to delete the B.S.Ed. in Health Education degree program offered by the School of Health Studies within the College of Health and Human Sciences

Rationale: Deletion of the B.S.Ed. in Health Education is based on feedback from Program Prioritization and low enrollments. Additionally, student needs in this area are better met with a Physical Education major with a Health Education minor.

Recommendation: The Academic Affairs, Student Affairs and Personnel Committee endorses this request and asks for Board of Trustees approval at its December 15, 2016 meeting.
REQUEST FOR DELETION OF EMPHASIS AND NEW DEGREE PROGRAM

All deletions of emphases within a degree and new degree programs require the approval of the Board of Trustees. Further, new degree programs require the approval of the Illinois Board of Higher Education. The following request for a deletion of an emphasis and request for a new program have received all of the required curricular approvals at the department, college, and university levels (with the exception of the Academic Planning Council which will meet to discuss this item on November 14, 2016), and the concurrence of the provost.

Deletion of the Emphasis 2: Rehabilitative Services in the B.S. in Health Sciences

Description: The university proposes to delete Emphasis 2: Rehabilitative Services within the B.S. in Health Sciences program.

Overview and Rationale: A request for the removal of the emphasis in Rehabilitation Services (emphasis 2) from the Bachelor of Science in Health Sciences degree program is requested for two primary reasons: (1) the B.S. program is being positioned to more directly respond to the needs of pre-professional allied health care students, particularly those planning on entering the fields of physical therapy, medical laboratory sciences, and nursing and (2) within this context, it is not readily apparent to students that the B.S. in Health Sciences is an appropriate degree for those interested in rehabilitation and disability but who are not planning on entering a professional allied health care field. In place of Emphasis 2: Rehabilitation Services, the B.S. in Rehabilitation and Disability Services is being requested. The new degree better reflects the focus of the program (rehabilitation and disability services) and increases the likelihood that prospective students who are looking for a disability-related degree will find the program.

Creation of the B.S. in Rehabilitation and Disability Services

Description: The university seeks approval for a Bachelor of Science in Rehabilitation and Disability Services degree program to be offered on campus. This program will be housed in the School of Allied Health and Communicative Disorders within the College of Health and Human Sciences.

Overview and Rationale: A request for the removal of the emphasis in Rehabilitation Services (emphasis 2) from the Bachelor of Science in Health Sciences degree program is being sought. In its place, the B.S. in Rehabilitation and Disability Services is being requested. The new degree better reflects the focus of the program (rehabilitation and disability services) and increases the likelihood that prospective students who are looking for a disability-related degree will find the program.

Costs: No new costs are anticipated with this program. Existing resources will continue to be directed to serve the needs of this program.

Recommendation: The Academic Affairs, Student Affairs and Personnel Committee endorses this request and asks for Board of Trustees approval at its December 15, 2016 meeting.
Grant South Steam Vault Replacement &
DeKalb Campus - Electrical Infrastructure Replacement Phase III
Capital Project Fund Source Amendment Approval

Summary: The Grant South steam vault project was originally approved by the Board of Trustees at the regular meeting held on June 16, 2016 and the electrical infrastructure project was approved on March 27, 2014. Due to overall cash flow concerns for FY17, the Phase III Electrical Infrastructure project was recently placed on hold at a logical break point in the execution of the work which is approximately 30% complete. Since Series 2010 “Build America Bond” (BAB) funds were utilized to fund a portion of the electrical improvement project, the University would like to shift $750,000 of BAB funding to a more critical, active, and eligible project (Grant South Steam Vault Replacement) to facilitate earlier expenditure.

Since the Grant South steam vault project originally identified the utilization of Bond Reserve funds, an identical amount of Bond Reserve funding will be allocated for future use by the Phase III Electrical Infrastructure project when resumed.

Funding:
Original:
- Grant South Steam Vault Replacement
  - Institutional Bond Revenue Funds - $750,000
- DeKalb Campus - Electrical Infrastructure Replacement Phase III
  - Series 2010 “Build America Program” - $1,173,000
  - Appropriated/Income Funds - Administrative Support - $1,377,000

Amended:
- Grant South Steam Vault Replacement
  - Series 2010 “Build America Program” - $750,000
- DeKalb Campus - Electrical Infrastructure Replacement Phase III
  - Institutional Bond Revenue - $750,000
  - Series 2010 “Build America Program” - $423,000
  - Appropriated/Income Funds - Administrative Support - $1,377,000

Recommendation: The University requests Board of Trustees authorization to amend the originally approved fund sources as indicated above.
Summary: During major rain events Stevenson and Grant residence towers experience significant water infiltration through the precast concrete and aluminum curtain-wall exterior building envelope. The caulk joints between the window frames and the concrete panels deteriorated. The problem is more pronounced at the upper floors of the thirteen-story towers. Repair of the building envelope is necessary to curtail current and prevent future damage to the building interiors and HVAC infrastructure due to rain water infiltration.

Precast panel repair, mortar joint repairs and replacing caulk joints at the windows will restore the exterior envelope of the building to a weather tight condition, protecting the interior space from infiltration of outside air, moisture, rain, snow, or dirt that would adversely affect the indoor environmental conditions of the building. This effort should extend the integrity of the building envelope for 15 to 20 years.

An engineering assessment of the exterior concrete walls and windows on eight towers will be done first to guide engineering decisions, establish budgets, and develop plans, details and specifications for the masonry restoration work, tuck pointing and caulking.

Funding: Institutional – Bond Revenue Reserve Funds

Recommendation: The University requests Board of Trustees authorization to proceed with the design phase of this project based on an assessment/design budget of $525,000. Design efforts will include contracting with an Architectural/Engineering firm for assessing the extent of repairs required as well as preparation of design plans and specifications. Once a reliable cost estimate is developed for the scope of repairs required, a subsequent authorization will be requested to proceed with the construction phase.
HOLMES STUDENT CENTER HOTEL TOWER ENVELOPE REPAIRS
CAPITAL PROJECT DESIGN APPROVAL

Summary: During major rain events Holmes Student Center Hotel Tower experiences significant water infiltration through the limestone and aluminum curtain-wall exterior building envelope. The caulk joints between the window frames and the limestone panels deteriorated. The problem is more pronounced at the upper floors of the eighteen-story tower. Repair of the building envelope is necessary to curtail current and prevent future damage to the building interiors and HVAC infrastructure due to rain water infiltration.

Limestone panel repair, mortar joint repairs and replacing caulk joints at the windows will restore the exterior envelope of the building to a weather tight condition, protecting the interior space from infiltration of outside air, moisture, rain, snow, or dirt that would adversely affect the indoor environmental conditions of the building. This effort should extend the integrity of the building envelope for 15 to 20 years.

An engineering assessment of the exterior concrete walls and windows will be done first to guide engineering decisions, establish budgets, and develop plans, details and specifications for the masonry restoration work, tuck pointing and caulking.

Funding: Institutional – Bond Revenue Reserve Funds

Recommendation: The University requests Board of Trustees authorization to proceed with the design phase of this project based on an assessment/design budget of $250,000. Design efforts will include contracting with an Architectural/Engineering firm for assessing the extent of repairs required as well as preparation of design plans and specifications. Once a reliable cost estimate is developed for the scope of repairs required, a subsequent authorization will be requested to proceed with the construction phase.
Appointments - Faculty and Administrative Employees

Recommendations for minor revisions in the Board of Trustees Regulations Section II, Subsection A, are proposed. Revisions listed below range from minor word changes to accommodating university’s and employee unions’ needs, and include revisions suggested by the Ad Hoc Governance Committee at its meeting on October 20, 2016. Appropriate rationale is included with each proposed revision. Additions to the text are shown in red font and eliminations of text are shown with strike through of such text.

1. Visiting Appointments

Current
The president or designee is authorized to make visiting faculty appointments to approved positions in accordance with Board Regulations as necessary and justified by prudent financial management. Visiting appointments shall be made for persons employed outside the university or on temporary leave from such employment or retired from such employment. Visiting appointments shall be for a specific period of one year or less. There shall be no presumption of renewal or extension of visiting appointments. Each visiting appointment is a separate, noncontinuous appointment. Visiting faculty shall not be eligible for tenure.

Proposed
The president or designee is authorized to make visiting faculty appointments to approved positions in accordance with Board Regulations as necessary and justified by prudent financial management. Visiting appointments shall be made for persons employed outside the university or on temporary leave from such employment or retired from such employment. Visiting appointments shall be for a specific period of one year two years or less. There shall be no presumption of renewal or extension of visiting appointments. Each visiting appointment is a separate, noncontinuous appointment. Visiting faculty shall not be eligible for tenure.

Rationale
1. When an academic unit is conducting a search to replace a retired or resigned tenured or tenure-track faculty member, a visiting faculty member may be hired for a year to cover the duties of the retired or resigned tenured or tenure-track faculty member. However, under the current regulations, by the end of that one year, if the unit is unable to replace the departed faculty member of if another regular faculty member also retires or resigns, the academic unit cannot continue or renew the existing visiting faculty for a second year, and has to search for and hire a new visiting faculty and train that individual; this is neither productive nor efficient. The inconsistency created under existing regulations negatively impacts students as well as creates inefficient consumptions of university resources.
2. Central Management Services (CMS) allows tenured and tenure-track faculty to go on medical leave continuously for 2 years, and in such cases, academic units find it difficult to hire visiting faculty for one year at a time to cover the duties, especially research and scholarly activities, of the tenured and tenure-track faculty on medical leave.
3. In some disciplines where it is difficult to recruit for tenure-track positions, especially candidates from underrepresented groups, academic units would like to hire candidates who have not completed their doctoral degrees into visiting faculty positions, and at times these candidates need more than a year to complete their doctoral degrees while fulfilling their visiting duties at NIU before they are eligible to be considered for tenure-track positions. Hence, the current policy discourages departments from developing and then hiring faculty candidates from diverse backgrounds.
2. Temporary Appointments

Current
Temporary appointments shall be for a specific period of one year or less. There shall be no presumption of renewal or extension of temporary appointments. Each temporary appointment is a separate, noncontinuous appointment. Instructors or other persons appointed on a temporary instructional faculty contract shall be limited to no more than five full-time consecutive temporary appointments. However, the president or designee may authorize an extension of this limit with justification on a case-by-case basis. The president or designee is authorized to make such appointments in accordance with Board Regulations and as necessary and justified by prudent financial management.

Proposed
Temporary appointments shall be for a specific period of one year or less. There shall be no presumption of renewal or extension of temporary appointments. Each temporary appointment is a separate, noncontinuous appointment. Instructors in the bargaining unit or other persons shall be appointed on a temporary instructional faculty contracts according to their collective bargaining agreement shall be limited to no more than five full-time consecutive temporary appointments. However, the president or designee may authorize an extension of this limit with justification on a case-by-case basis. The president or designee is authorized to make such appointments in accordance with Board Regulations and as necessary and justified by prudent financial management.

Rationale
Limiting temporary appointments, especially in the case of union instructors, to five full-time consecutive appointments, and then renewing them to be eligible for another five full-time appointments on an individual basis increases transaction costs and is also unnecessary. Union instructors’ rosters and academic units’ programmatic needs for instructors as specified in the collective bargaining agreement already take care of limiting temporary appointments as necessary. Apart from instructors there are other temporary appointments for external grants purposes, and therefore, it is recommended the temporary appointment category is retained as it is and the proposed clarification is made about temporary appointments for instructors.

3. Adjunct Appointments

Current
The president or designee is authorized to make adjunct faculty appointments as necessary and in accordance with Board Regulations. Ordinarily such appointments will be limited to individuals who are not employees of the university; however, it may be desirable to make infrequent exceptions in the case of noninstructional personnel who occasionally teach university courses in specialized areas. Adjunct appointees shall enjoy the normal benefits and working conditions of the faculty with the following exceptions: They will be appointed on an annual or semester basis, will not be eligible for tenure, and will not be permitted to participate in the State Universities Retirement System unless they occupy a concurrent university position and then only as justified by that concurrent position. Ordinarily, adjunct appointees will not receive a salary.

Adjunct appointments shall be in addition to regular authorized positions since they do not involve regular financial obligations. Appointees may be utilized in teaching duties, on thesis and dissertation committees, as consultants for or as codirectors of research projects or public service programs, and in other activities in support of university programs.

Exceptions to the use of adjunct appointments, particularly in the case of institutional appointments for salary, shall be approved by the president and such exceptions shall be reported to the Board.
Proposed
The president or designee is authorized to make adjunct faculty appointments as necessary and in accordance with Board Regulations and Human Resource processes. Ordinarily such appointments will be limited to individuals who are not employees of the university; however, it may be desirable to make infrequent exceptions in the case of noninstructional personnel who occasionally teach university courses in specialized areas. Adjunct appointees shall enjoy the normal benefits and working conditions of the faculty with the following exceptions: They will be appointed on an annual or semester basis, and will not be eligible for tenure, and will not be permitted to participate in the State Universities Retirement System unless they occupy a concurrent university position and then only as justified by that concurrent position. Ordinarily, adjunct appointees will not receive a salary. Adjunct appointments shall be in addition to regular authorized positions since they do not involve regular financial obligations. Appointees may be utilized in teaching duties, on thesis and dissertation committees, as consultants for or as codirectors of research projects or public service programs, and in other activities in support of university programs. Adjunct appointments for teaching credit courses shall be less than 50% FTE.

Exceptions to the use of adjunct appointments, particularly in the case of institutional appointments for salary, shall be approved by the president or designee and such exceptions shall be reported to the Board.

Rationale
As a result of the elimination of the “Affiliate” employee classification, NIU needs another employee classification to accommodate those who teach non-credit courses (such as those for Outreach Services, Community School of the Arts, and individual colleges’ external programming units) or credit courses on a limited employment percentage (less than 50% FTE) basis.

Currently, all those moved from Affiliate classification are included in the “Instructor” employee classification, which is a union category for those who teach 50% FTE or more. Therefore, the instructor category is not suited for this purpose.

“Applied Artist” is another category, which is being used to appoint musicians and artists who teach credit or non-credit courses for less than 50% FTE appointment, and they are currently classified as Supportive Professional Staff (SPS). However, SPS employees should not be given teaching-only appointments as union instructors are eligible for such appointments. With the revision of the Adjunct Faculty classification as proposed, the Applied Artist classification can be eliminated and can be classified appropriately as adjunct faculty.

Recommendation: The University requests Board of Trustees approval for the recommendations for minor revisions in the Board of Trustees Regulations Section II, Subsection A. as proposed.
PROFESSIONAL EXCELLENCE AWARDS FOR FACULTY AND STAFF

The university sponsors a number of programs designed to recognize excellence in faculty and staff performance. One faculty member received the Board of Trustees Award, selected by a committee chaired by the Executive Vice President and Provost. One faculty member is recognized as a Presidential Teaching Professor, selected by a faculty and alumni committee chaired by the Vice Provost. Two faculty members are also recognized as Presidential Research, Scholarship and Artistry Professors, selected by a faculty committee chaired by the Vice President for Research and Innovation Partnerships. Two additional faculty members are recognized as Presidential Engagement Professors, selected by a committee composed of members of the Outreach Advisory Committee, a dean, a student, and faculty chaired by the Vice President of Outreach, Engagement, and Information Technologies. Another three faculty members received the Excellence in Undergraduate Teaching Award, and one instructor received the Excellence in Undergraduate Instruction Award, through a selection process initiated by students and reviewed by student advisory committees in each college, with the final decision made by the university-level Committee on the Improvement of Undergraduate Education. The Operating Staff and Supportive Professional Staff Councils each selected four employees for recognition following a university-wide nomination process coordinated by a subcommittee of each council.

2016 Board of Trustees Professorship Award
Amy Newman      Professor, English

2016 Presidential Teaching Professor
Toni Van Laarhoven  Professor, Special and Early Education

2016 Presidential Research, Scholarship and Artistry Professors
Swapan Chattopadhyay        Professor, Physics
Omar Chmaissem           Professor, Physics

2016 Presidential Engagement Professors
Andrew Otieno            Professor, Engineering Technology
Teresa Wasonga          Professor, Leadership, Educational Psychology and Foundations

2016 Excellence in Undergraduate Teaching Award Recipients
Jason Hanna            Associate Professor, Philosophy
Jeanne Isabel         Associate Professor, Allied Health and Communicative Disorders
Qingkai Kong          Professor, Mathematical Sciences

2016 Excellence in Undergraduate Instruction Award Recipient
Jason Akst             Instructor, Communication

2016 Operating Staff Outstanding Service Award Recipients
Brenda Hart            Office Administrator, Marketing
Ruperto Herrera        Applications Programmer Analyst, Information Technology
Lynne Meyer           Office Support Specialist, Foreign Languages and Literatures
Lise Schlosser        Office Manager, External Programming, College of Liberal Arts and Sciences
2016 Presidential Supportive Professional Staff Award for Excellence Recipients

Gregory P. Barker  Director, Testing Services
Melissa Burlingame  Research Associate, Center for Governmental Studies
William C. McCoy  Director, BELIEF Initiative, College of Business
Mark McGowan  Editorial Associate, Institutional Communications
Oversight of Academic Programs

Northern Illinois University engages in numerous processes to monitor the quality of its academic degree programs and to inform planning and decision making about the programs. Processes internal to the university include program review and the assessment of learning outcomes, which are required of all programs by our regional accreditor the Higher Learning Commission and by the Illinois Board of Higher Education. Processes that include external constituencies or external indicators include the reviews of doctoral departments by outside evaluators, external reviews of dissertations, accreditation, licensure and certification examinations and advisory committees.

Internal Processes

Program Review: NIU has a long-standing history of conducting thorough reviews of its academic programs and research and public service centers through the program review process. In the past, all programs were reviewed every eight years on a schedule determined by the university. As of 2015-2016, this schedule has been modified to better align with accreditation cycles for programs with disciplinary and/or college accreditation. The default review schedule for programs that do not have disciplinary and/or college accreditation remains every eight years. Departments scheduled for review prepare in-depth self-study documents, which incorporate information from the sources cited above as well as other data collected by the program and data provided by offices from across the university. The program review documents are appraised both by the provost’s staff and then by a faculty committee, the Academic Planning Council. The council makes recommendations about the programs to the provost. The findings, recommendations and actions that result from the university's review of programs are reported to the departments and colleges as well as the NIU Board of Trustees and the Illinois Board of Higher Education.

The programs in the College of Liberal Arts and Sciences scheduled for review in 2015-2016 were the:
- B.A./B.S. in Economics
- M.A. in Economics
- Ph.D. in Economics
- B.A./B.S. in History
- M.A. in History
- Ph.D. in History

The programs in the College of Visual and Performing Arts for review in 2015-2016 were the:
- B.S. Ed. In Art and Design Education
- M.S. in Art
- B.A./B.S. in Art
- M.A. in Art
- B.F.A. in Studio Art
- M.F.A. in Studio Art
- B.A. in Art History
- Ph.D. in Art Education
- B.A. in Theatre Studies
- B.F.A. in Theatre Arts
- M.F.A. in Theatre Arts
- B.A. in Music
- M.M. in Music
- B.M. in Music
- Performer’s Certificate in Music
The IBHE also requires that the university review organized research and public service centers on a regular schedule. During 2015-2016, the following centers were reviewed:

Art Museum
Center for Burma Studies

The information submitted to the IBHE to meet its reporting requirement for 2016 has also been forwarded to the Board of Trustees under separate cover.

Assessment of Learning Outcomes: All NIU degree programs engage in the systematic assessment of student learning, and the overall assessment process is linked to program review. All degree programs have University Assessment Panel approved assessment plans that outline the methods, processes and time frames for the implementation of the plans. Each year, every program prepares an annual assessment update that provides a snapshot of the last 12 months related assessment activities, the evidence gathered from the activities and the actions taken on the evidence. These updates are submitted to the Office of Accreditation, Assessment, and Evaluation that conducts a review of the updates using standardized rubrics, provides feedback to the individual programs and prepares a consolidated report on the extent to which the criteria for the updates were met. The assessment process also incorporates a review and/or revision of the overall plan to ensure that the plan reflects the programs’ current practices. In year four of the program-review cycle, the whole assessment plan is submitted for review and (re)approval by the University Assessment Panel. The panel uses a standardized rubric and reporting form to provide feedback to the programs.

External Processes

Accreditation: Accreditation is a “process of external quality review created and used by higher education to scrutinize colleges, universities and programs for quality assurance and quality improvement” (Overview of U.S. Accreditation, CHEA, 2009), and more than 26 specialized agencies accredit NIU programs across all seven academic colleges. Accreditation is earned through a comprehensive process that involves self-study, peer review, site visits and action from the accrediting agency's commission affirming that threshold standards have been met. All of NIU’s programs that have sought accreditation are fully accredited by their specialized accrediting agency.

Licensure and Certification Examinations: Graduates from NIU's accountancy, athletic training, clinical and school psychology, counseling, law, teacher education and health sciences programs take licensure or certification examinations that permit them to practice in their discipline. The results of these examinations give programs the means to benchmark NIU graduates’ performance against state and/or national performance rates.

Advisory Committees: Many programs and departments engage in periodic discussion with alumni, employers and/or professionals in the discipline who comprise their advisory committees. These individuals provide feedback related to the performance and competencies of the program’s alumni, curricular content and new trends in the discipline, which is used in numerous ways to ensure that programs are preparing students with contemporary knowledge and skills.

In combination, all of these processes provide NIU with the means to provide ongoing comprehensive and multifaceted oversight of its undergraduate, graduate and professional degree programs.
RESIDENTIAL LIFE UPDATE

The residential living and learning environment offers a variety of opportunities for students to develop a sense of belonging, engagement, and openness to diversity, shaped by an award-winning residential curriculum. Students who live on campus have access to educational and social programs and services including tutoring labs, the University Writing Center, computer labs, and leadership opportunities. One specific opportunity highlighting a strong collaboration between Academic Affairs and Student Affairs & Enrollment Management is the numerous Living-Learning Communities available for residence hall students.
PROGRAM PRI ORITIZATION UPDATE

A brief update on recent developments in NIU’s Program Prioritization process will be given by the Executive Vice President and Provost.
OVERVIEW OF NCAA ATHLETIC COMPLIANCE

Sean Frazier, Associate Vice President/Director of Athletics and Jerome Rodgers, Athletics Associate Director, Intercollegiate Athletics will provide us with an overview of NCAA Athletic Compliance.
OVERVIEW OF 2016-17 ANNUAL SAFETY AND SECURITY REPORT

Thomas Phillips, Chief of Police/Department of Public Safety and John Ithal, Director of Clery Compliance/Department of Public Safety, will provide an overview of the Annual Safety and Security Report.
INTERNAL AUDIT FY2016 ANNUAL UPDATE

The internal audit department’s mission statement is “to provide independent and objective assurance and consulting services to assist the Board of Trustees and University management in the effective discharge of their oversight, management, and operating responsibilities.”

According to the Illinois Fiscal Control and Internal Auditing Act (30 ILCS 10), key guidance for the department, internal audit is to report directly to Chief Executive Officer (University president) and have direct communication with the president and Board of Trustees; to remain free of all operational duties; and to deliver the annual internal audit department report to the president by September 30.

The 2016 Internal Audit Annual Report contained detailed information about each of audit performed during the fiscal year. It was delivered to President Baker in September and was subsequently provided to the Compliance, Audit, Risk Assessment, and Legal Affairs Committee members.

In the spring of 2016, the internal audit charter was reviewed and revised. It was presented and approved by the CARL committee in May 2016 and by the full Board of Trustees in June 2016.

Director of Internal Audit Danielle Schultz will provide an overview of internal audit staff and the annual report for FY 2016.
STATE LEGISLATIVE UPDATE

The fall 2016 election resulted in some changes to the make-up of the Illinois General Assembly and the fall veto session is underway. This item will highlight some of the events that have occurred recently and summarize some of the activities we've been engaged in.

Summary

- **November 2, 2016.** President Baker, President Randy Dunn (SIU), President Larry Dietz (ISU) and President Elaine Maimon (GSU) appeared on WTTW Chicago Tonight to discuss the state of higher education in Illinois.

- **November 3, 2016.** The NIU Student Association Senate held a budget and Monetary Award Program (MAP) Grant rally in the Martin Luther King, Jr. Commons to express their discontent with the budget situation. The rally featured speakers who have been affected by the budget crisis, including MAP Grant recipients, NIU student leaders, student government leaders from various Illinois universities and colleges, local community leaders and NIU administration. Several legislators also attended the rally and spoke including Representatives Bob Pritchard, Kelly Burke, and Will Guzzardi.

- **November 8, 2016.** When the 100th General Assembly is sworn-in this January, the House will have 67 Democratic members, 4 fewer than the current General Assembly. The Democratic Party also lost 2 seats in the Illinois state Senate, but still has a super majority with 37. A super majority requires 60% of the members, or 71 in the House and 36 in the Senate.

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<th>CURRENT, 99TH General Assembly</th>
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<td>House: Democrats 71 Republicans 47 Total 118</td>
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<td>Senate: Democrats 39 Republicans 20 Total 59</td>
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<th>100TH General Assembly</th>
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<td>House: Democrats 67 Republicans 51 Total 118</td>
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<td>Senate: Democrats 37 Republicans 22 Total 59</td>
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- **November 10, 2016.** The University of Illinois: Board of Trustees endorsed a legislative proposal aimed at providing multi-year, reliable budgetary support for the flagship institution. House Bill 6623 proposes a contract or compact with the state that would provide the University of Illinois with a 5-year funding commitment, exemption from procurement and other regulatory relief in exchange for tuition caps, retention and graduation rate guarantees, financial aid support guarantees and Illinois resident enrollment guarantees. The bill has bi-partisan support, but areas of concern voiced by other schools and legislative staff include a hesitancy to put metrics into statute and the need to focus on one year’s worth of funding instead of five. In general, tying the hands of future general assemblies through a continuing appropriation could be a difficult concept for lawmakers and the administration to reach an agreement on.

- **November 15, 2016.** NIU students traveled to the State Capitol in Springfield on the first day of veto session to talk to legislators and voice their concerns over the lack of support for MAP and higher education.
• **November 15, 2016.** On Tuesday, the Illinois Labor Relations Board declared in a 5-0 vote that contract negotiations between the Governor's administration and AFSCME are at a full and total impasse. The LRB will issue this ruling "in writing" in the coming weeks; and AFSCME is expected to appeal. The union is hopeful that contract negotiations can resume. However, as it currently stands, the ruling would allow the administration to implement contract terms based on their last, best and final offer.

• **November 16, 2016.** On Wednesday the Senate Higher Education Committee held a subject matter hearing on Senate Bill 2243 sponsored by Senator Andy Manar. The bill would provide Community Colleges with the authority to offer a Bachelor's degree in nursing. The Illinois Association of Colleges of Nursing, all Illinois public universities, FIICU and the IBHE opposed the bill; the proponents included the Community College Trustees Association, Lewis & Clark Community College, and several other community colleges. The sponsor is expected to try and move the bill this spring.

• **December 13, 2016.** The Illinois Board of Higher Education will make its higher education capital and operating budget recommendations for FY 2018.
Congress
Fiscal Year (FY) 2017, which began on October 1, 2016, is operating under a continuing resolution (CR) through December 9, 2016. The short-term CR funds NIU priority areas in the federal government at FY2016 levels. Congress returns to Washington, D.C. on November 14, 2016 and its top priority is addressing how to fund the government for the remainder of FY2017. Operating under a CR and the uncertain FY2017 funding landscape and potential shift in priorities brought about by the elections has resulted in some federal programs taking a more conservative approach to funding. This includes hesitating to commit funds to new projects and questioning or delaying the renewal of some currently funded projects.

It is difficult to predict what a comprehensive FY2017 appropriations package will look like. The twelve required appropriations bills have reached varying stages of completion in both the House and Senate and veto threats abound. Among the contentious FY2017 appropriations issues that Federal Relations is closely tracking are the possible return of year-round Pell grants and the treatment of funds in the Pell surplus account, a possible $2 billion increase for the National Institutes of Health, and directorate-level funding for the National Science Foundation which would facilitate the reduction of funding for specific scientific disciplines. The outcome of the November elections is likely to substantially impact the mechanism and scope of the eventual FY2017 appropriations package.

Beyond the appropriations process, congress is expected to make little headway on passing authorizing legislation for the remainder of calendar year 2016. Looking ahead, the 115th Congress convenes on January 3, 2017. Among its legislative priorities are the reauthorization of the Higher Education Act, which significantly impacts universities and student financial aid, and the reauthorization of the America COMPETES Act, which significantly impacts the White House Office of Science and Technology Policy, National Science Foundation, NASA, Department of Energy, National Institute of Standards and Technology, and National Oceanic and Atmospheric Administration.

Presidential Transition
To ensure NIU priorities are considered during the crucial transition period between administrations and early in the next administration, Federal Relations is working with our coalition partners to prepare white papers for the transition team. These documents focus on funding, leadership, processes, and policies for federal agencies and administration positions relating to science and higher education.

Federal Engagement with NIU
Federal Relations is working to develop high impact engagement opportunities with federal officials in Congress, the federal agencies, and the administration for the first quarter of 2017. While many of these opportunities are still in development and have not been finalized, they represent the breadth of the NIU federal enterprise. The intended audience for the congressional receptions includes members of congress, congressional staff, and federal agency officials. Federal Relations looks forward to providing more details as they become available.
- Congressional reception featuring MAC universities
- Congressional reception featuring Illinois universities
- Congressional poster session and reception featuring NIU researchers, students, and alumni. Researchers would also participate in federal agency meetings.
- Annual NIU Spring Break Trip to Washington, D.C. for 15 undergraduate students
SPONSORED PROGRAMS ADMINISTRATION

In the first quarter (July 1 – September 30) of FY 2017, faculty and staff submitted 81 proposals totaling $22.6 million and received 54 awards totaling $12.8 million reflecting an increase in external funding over this time last year (Figure 1) with almost all funding from federal and state sponsors (Figure 2). Research funding for this year is up 90% at $4.4 million.

The increase in overall funding this quarter is primarily due to the expansion of the State of Illinois Department of Children and Family Services (DCFS) Integrated Assessment program, which increased State contract funding to NIU by $3.6 million per year. This DCFS contract accounts for all of the State funding received this quarter.

**Figure 1**

**Figure 2**
Over half of the federal funds received this quarter are in support of research, accounting for NIU’s significant gains in research funding. These federal research funds include $1 million for physics research and $400,000 for environmental research, including a National Science Foundation grant to support research experiences for undergraduate students. See Figure 3 for total research funding by college/division.

The U.S. Department of Education and U.S. Department of State accounted for federal non-research funding for projects such as international training and outreach programs and rehabilitation services. Additionally, NIU received its FY 17 funding contract for the university’s support of the Illinois Manufacturing Excellence Center (U.S. Department of Commerce flow through funding). See Figure 4 for total sponsored funding by college/division.
CORPORATE SPONSORSHIPS

As a preliminary step to developing a strategic plan for increased corporate engagement, RIPS has conducted an inventory of current corporate sponsorship. As shown in Fig. 1, over the past five years, corporately sponsored programs at NIU totaled $12.9M with a yearly average around $2.6M. Over the same period, the highest level of sponsorship has been with Caterpillar Inc., Navistar Inc., and Six-Sigma totaling $1,450k, $692k, and $305k, respectively. Collectively, corporate sources account for 10% of the University's sponsored program portfolio each year.

With respect to the characteristics of the corporate awards, Fig. 1 also indicates that the sponsored activity is primarily, but not exclusively, related to instructional programs. On average, NIU receives around 90 corporate awards per year. The number of unique corporate sponsors totals around 250 for the past five years, demonstrating the wide reach of NIU's corporate sponsorship. Figure 2 shows the number of awards as a function of award size. The figure illustrates that most awards are small, with the majority less than $25k. The high number of corporate partners and the predominance of small awards suggest that corporations are partnering with NIU to address specific and focused instructional and research needs.
Three colleges receive the majority of corporate funding for instruction and research. In 2016, the Colleges of Business, Engineering and Engineering Technology (CEET), and Liberal Arts and Science (CLAS) received $1,295k, $869k, and $204k, respectively. The College of Business provides extensive corporate-sponsored instructional programming involving executive and one-year MBA courses domestically and abroad. CEET has a strong instructional relationship with Navistar, and more broadly provides a number of certificate programs to the community. CEET faculty are also engaged in research around process engineering and manufacturing and in student-centered research with industrial programs. CLAS corporate sponsorship involves both instruction and research, the latter dominated by the Department of Chemistry and Biochemistry. In addition, the College of Education’s numerous instructional and research partnerships with state school districts represent an excellent platform for corporate sponsorships.

Corporate-sponsored programs have been a modest but significant and steady component of the overall NIU sponsored programs portfolio. The programs are primarily but not entirely instructional and the great number of corporate partners suggests potential for sponsorship growth. As an institution, NIU will work to identify and focus on the most promising relationships. RIPS, in consultation with the colleges and provost, will begin developing strategies for expanding corporate sponsorship from the current complement of small, targeted engagements to include projects of increasing scale. The University-Industry Demonstration Partnership offers strategic guidance and best-practices for engaging with industry. A number of excellent examples of corporate collaborations are available as models, such as the highly successful Clemson University International Center for Automotive Research.
SEMI-ANNUAL PROGRESS REPORT OF ACTIVE CAPITAL PROJECTS
WITH A BUDGET OVER $100,000

All projects listed herein were previously approved by the Board of Trustees or the President and are currently in process. The Authorization Date is identified for all NIU-funded projects; the fiscal year is identified for all CDB-funded projects. Status reports are provided on any project, regardless of initiation date, until all work has been completed and all payments have been made.

CAPITAL DEVELOPMENT BOARD PROJECTS

I. Projects in the Planning Phase

A. Remodeling and Rehabilitation
   N/A

II. Projects in the Design Phase

A. Remodeling and Rehabilitation
   N/A

III. Projects in the Construction Phase

A. Remodeling and Rehabilitation

1. Stevens Building - Addition & Renovation
   Total Project Budget: $22,517,600 (estimated)
   Source of Funding: FY2010 - CDB
   Architect/Engineer: PSA Dewberry/BCA - Elgin
   Status: On June 30, 2016 the State Legislature passed a ‘stop gap budget’ which reappropriated funding for the project. On July 21, 2016 the CDB lifted the stop work order which allowed the Prime Contractor to resume work after inspecting the project to identify the extent of damages caused by the inactivity since June 30, 2015. Prior to shutdown, construction work had progressed to approximately 40% complete. The main emphasis was on the new lecture hall and A wing. Renovation of existing C wing is approximately 60% complete. At a Special Meeting on November 12, 2015 the Board of Trustees approved a $300,000 expenditure to CDB which funded winterization protection for the project. This work was successfully completed and CDB will be reimbursing NIU for the interim efforts during the shutdown period.

B. Site and Utilities
   N/A
NORTHERN ILLINOIS UNIVERSITY PROJECTS

I. Projects in the Planning Phase

A. Remodeling and Rehabilitation

1. Holmes Student Center / Neptune Complex Redevelopment Plans
   Total Project Budget: $1,500,000
   Source of Funding: Bond Series 2010 Project
   Authorization Date: June 2014
   Architect/Engineer: Dewberry - Peoria (Holmes)
                   Design Organization - Chicago (Neptune)
   Status: The project budget listed above is for planning the redevelopment of Holmes
           Student Center and Neptune Complex. The design teams have completed the master
           planning process and have proposed redevelopment conceptual designs and program
           elements. A presentation was made to the Board at a Special Meeting of the FFOC on August
           6, 2015. All work is complete. Final payments for the planning work are in process.

2. DeKalb Campus - Central Core Redevelopment Urban Framework Plan
   Total Project Budget: $129,350
   Source of Funding: Bond Series 2010 Project
   Authorization Date: April 2015
   Architect/Engineer: Knight E/A - Chicago
   Status: The project budget listed above is for planning the redevelopment of the central
           core of campus. Urban planners worked in conjunction with the planners for both Holmes
           Student Center and Neptune in the redevelopment design process to guide the overall
           conceptual designs and program elements into a cohesive campus central core
           redevelopment framework. All work is complete. Final payments have been made. This will
           be the final report on this project.

B. Site and Utilities

1. DeKalb Campus - East and West Heating Plant Boiler Assessment
   Total Project Budget: $700,000
   Source of Funding: Institutional - Bond Reserves
   Authorization Date: March 2016
   Architect/Engineer: Wills Burke Kelsey - Elgin
                       Stanley Consultants - Chicago
   Status: The project budget listed above is for an assessment of the existing heating system
           to determine the priority and sequence of renovation/replacement investments to ensure the
           most cost/energy efficient and reliable heating system for the long term. The A/E has
           completed the investigation and assessment. They have prepared and submitted a draft
           report for University review and discussion. The consultant will be completing the final report
           during the late fall.

II. Projects in the Design Phase

A. Remodeling and Rehabilitation

1. Stevenson Towers A & D - Roof Replacement
   Total Project Budget: $625,000
   Source of Funding: Institutional - Bond Reserve Funds
   Authorization Date: March 2016
NIU Board of Trustees

Architect/Engineer:  TBD

Status: Project design is scheduled for winter and early spring 2017. Construction work is planned and scheduled to take advantage of semester break and summer schedules.

2. Anderson Hall - Replace Pool Filtration System

Total Project Budget: $300,366 (estimated)
Source of Funding: Performance Contract Phase 11A - Financed
Authorization Date: December 2014
Architect/Engineer: Energy Systems Group - Itasca, IL

Status: Project design work is complete. Work is anticipated to start December 2016; with an anticipated completion for Spring Semester 2017.

3. Holmes Student Center - Phase I Redevelopment

Total Project Budget: $20,000,000
Source of Funding: Bond Series 2010 Project
Authorization Date: June 2014
Architect/Engineer: TBD

Status: IBHE approval for Phase I Redevelopment project was granted on September 27, 2016. The University is currently working on the Qualification Based Selection process to identify the Architectural team for the design of Phase I Redevelopment. A/E Contracts are anticipated in late December 2016.

4. Stevenson Towers B & C - Roof Replacement

Total Project Budget: $625,000
Source of Funding: Institutional - Bond Reserve Funds
Authorization Date: August 2016
Architect/Engineer: TBD

Status: Project design is scheduled for winter and early spring 2017. Construction work is planned and scheduled to take advantage of semester break and summer schedules.

B. Site and Utilities

1. Naperville Parking Lot Reconstruction - Phase II

Total Project Budget: $1,215,000
Source of Funding: Local Funds- NIU Naperville Operations
Authorization Date: September 2015

Status: Design for Phase II was temporarily delayed due to budget considerations but is scheduled to begin again in late spring 2017.

2. DeKalb Campus - Lucinda Avenue Realignment

Total Project Budget: $440,000
Source of Funding: Bond series 2010 Funds - $206,139
Federal Highway Grant Funds - $206,139
Authorization Date: March 2016
Architect/Engineer: TBD

Status: State of Illinois Department of Transportation will administer this project. The selection process for the civil engineer for Phase I and Phase II engineering design will begin in late spring 2017.
III. Projects in the Construction Phase

A. Remodeling and Rehabilitation

1. DeKalb Campus - Campus Alerting System Phase IV
   Total Project Budget: $1,250,000
   Source of Funding: Appropriated Funds
   Authorization Date: March 2013
   Architect/Engineer: NIU A&E Services

   Status: This project will encompass the final phases of the campus alert system. Work is approximately 70% complete. The construction work will be planned and scheduled to take advantage of semester breaks, weekends and summer schedules. Project has been temporarily delayed due to cash flow considerations. Once the overall University budget is stabilized, the project is expected to be completed in 18 months.

2. DuSable Hall - Concrete Beam Repair at Main Entry
   Total Project Budget: $414,500
   Source of Funding: Institutional - Local Funds
   Authorization Date: June 2014
   Architect/Engineer: AltusWorks - Chicago

   Status: All work is complete. Final payments have been made. This will be the final report on this project.

3. Montgomery & Psych/Computer Science - Animal Facilities Renovation
   Total Project Budget: $3,476,535 (estimated)
   Source of Funding: Institutional - Local Funds
   Authorization Date: September 2014
   Architect/Engineer: NIU A/E Services

   Status: Montgomery Hall renovation of HVAC system is complete. Psych/Computer Science renovation began in August and is approximately 75% complete. Project completion is anticipated in January 2017. Performance Contract Phase 11A is being leveraged to renovate the HVAC at Psych/Computer Science.

4. Residence Halls - FY16 Rotation Painting
   Total Project Budget: $249,000
   Source of Funding: Institutional – Revenue Bond Reserves
   Authorization Date: September 2014
   Architect/Engineer: NIU Physical Plant

   Status: All work is complete. Final payments have been made. This will be the final report on this project.

5. Adams Hall - Floors 2-4 - Replace Insulation on Chilled Water Piping
   Total Project Budget: $100,000
   Source of Funding: Appropriated/Income Funds
   Authorization Date: December 2014
   Architect/Engineer: NIU A&E Services
Status: All work is complete. Final payments have been made. This will be the final report on this project.

6. Adams Hall - Replace HVAC Equipment
   Total Project Budget: $570,150 (estimated)
   Source of Funding: Performance Contract Phase 11A - Financed
   Authorization Date: December 2014
   Architect/Engineer: Energy Systems Group – Itasca, IL

   Status: Work is complete. Final payments to the contractor are in process.

7. East Heating Plant - Repair Two Boilers - Burners and Controls
   Total Project Budget: $290,394 (estimated)
   Source of Funding: Performance Contract Phase 11A - Financed
   Authorization Date: December 2014
   Architect/Engineer: Energy Systems Group – Itasca, IL

   Status: Work is 95% complete. Anticipated completion is late fall, early winter 2016.

8. Convocation Center - Repair Two Chillers - Condenser Tubes
   Total Project Budget: $114,938 (estimated)
   Source of Funding: Performance Contract Phase 11A - Financed
   Authorization Date: December 2014
   Architect/Engineer: Energy Systems Group – Itasca, IL

   Status: All work is complete. Final payments have been made. This will be the final report on this project.

9. Gabel Hall - Roof Repairs
   Total Project Budget: $1,250,000
   Source of Funding: Institutional - Local Funds
   Authorization Date: March 2016
   Architect/Engineer: NIU A&E Services

   Status: This will be a phased project; Phase I repairs estimated at $250,000 have been completed. As funding is identified, the University will request Board approval to proceed with Phase II repairs. Final payments to the contractor for Phase I work are in process.

10. Monsanto Property - Repair Roof
    Total Project Budget: $188,703 (estimated)
    Source of Funding: Performance Contract Phase 11A - Financed
    Authorization Date: December 2014
    Architect/Engineer: Energy Systems Group – Itasca, IL

    Status: Work started May 2016 and was completed early summer 2016. Final payments have been made. This will be the final report on this project.

11. Cole Hall - Repair Roof
    Total Project Budget: $144,507 (estimated)
    Source of Funding: Performance Contract Phase 11A - Financed
    Authorization Date: December 2014
    Architect/Engineer: Energy Systems Group – Itasca, IL

    Status: Work started May 2016 and was completed early summer 2016. Final payments have been made. This will be the final report on this project.
12. East Heating Plant - New Soft Water System  
**Total Project Budget:** $1,363,000 (estimated)  
**Source of Funding:** Performance Contract Phase 11A - Financed  
**Authorization Date:** December 2014  
**Architect/Engineer:** Energy Systems Group - Itasca, IL  

**Status:** Construction work is approximately 75% complete; anticipated completion winter 2016/2017.

13. Residence Halls - FY17 Rotation Painting  
**Total Project Budget:** $249,000  
**Source of Funding:** Institutional - Revenue Bond Reserves  
**Authorization Date:** June 2016  
**Architect/Engineer:** NIU Physical Plant  

**Status:** Work will be scheduled when feasible and will be scheduled to take advantage of semester breaks to minimize disruptions. The work is approximately 25% complete; the work will continue with completion anticipated in May 2017.

B. Site and Utilities

1. DeKalb Campus - Outdoor Intramural Recreational Facility  
**Total Project Budget:** $6,200,000 (estimated)  
**Source of Funding:** Bond Series 2010 Project  
**Authorization Date:** June 2011  
**Architect/Engineer:** Upland Design - Plainfield  

**Status:** All work is complete. Final payments have been made. This will be the final report on this project.

2. Parking Structure - Reconstruct Four Exit Stairs  
**Total Project Budget:** $1,200,000  
**Source of Funding:** Bond Series 2010 Project  
**Authorization Date:** June 2011 (confirmed March 2014)  
**Architect/Engineer:** NIU A&E Services  

**Status:** Construction work is complete on stair #2 and #3; overall the project is 60% complete. Work on stair #4 is scheduled for late fall 2016 with completion in late spring. It is anticipated that the project will be complete for Fall Semester of 2017.

3. DeKalb Campus - Electrical Infrastructure Replacement Phase II  
**Total Project Budget:** $2,580,000 (estimated)  
**Source of Funding:** Bond Series 2010 Project  
**Authorization Date:** March 2013  
**Architect/Engineer:** NIU A&E Services  

**Status:** Construction started in fall 2013 and is approximately 90% complete. Work will continue to be scheduled to take advantage of semester breaks as and when schedules allow.

4. DeKalb Campus - Electrical Infrastructure Replacement Phase III  
**Total Project Budget:** $2,550,000 (estimated)  
**Source of Funding:** Appropriated Funds  
**Source of Funding:** Bond Series 2010 Project
Authorization Date: March 2014
Architect/Engineer: NIU A&E Services

Status: Construction work began in late 2014 and is approximately 29% complete. Work has been temporarily delayed due to cash flow concerns. Once the overall University budget is stabilized, the project is expected to be completed in 24 months.

5. Lucinda Avenue Extension
   Total Project Budget: $4,500,000 (estimated)
   Source of Funding: Bond Series 2010 (amended)
   Authorization Date: March 2014 (amended June 2014)
   Architect/Engineer: Knight E/A, Inc. - Chicago

Status: All work is complete. Final payments are in process.

6. DeKalb Campus - DuSable Steam Vault Repairs
   Total Project Budget: $400,000
   Source of Funding: Institutional - Bond Reserve/Utility Reserve Funds
   Appropriated/Income Funds
   Authorization Date: March 2016
   Architect/Engineer: NIU A&E Services

Status: The construction work started in late spring 2016 and was completed for Fall Semester 2016. Final payments have been made. This will be the final report on this project.

7. DeKalb Campus - Grant South Steam Vault Repairs
   Total Project Budget: $750,000
   Source of Funding: Institutional - Bond Reserve
   Authorization Date: June 2016
   Architect/Engineer: NIU A&E Services

Status: The construction work started in early fall 2016 and is approximately 20% complete and will continue through late fall. Anticipated completion will be late fall 2016.
PERIODIC REPORT ON INVESTMENTS
FOR PERIOD JULY 1, 2016 THROUGH SEPTEMBER 30, 2016

In accordance with the approved University Investment and Cash Management policy, this report on investments is submitted at the end of each calendar quarter to the Board of Trustees.

The following schedules are included with this report:

Investment Holdings Summary as of September 30, 2016
Investment Earnings by Investment Type and Duration for the three months ending September 30, 2016
<table>
<thead>
<tr>
<th>Investment Type</th>
<th>Purch Price/ Ending Bal</th>
<th>Book Value</th>
<th>Market Value</th>
<th>Percent of Portfolio</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Federal Agency Notes:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local Funds</td>
<td>$ 37,224,307</td>
<td>$ 37,234,836</td>
<td>$ 37,319,351</td>
<td>20.89%</td>
</tr>
<tr>
<td><strong>Interest Bearing Cash Accounts:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local Funds</td>
<td>$ 30,217,371</td>
<td>$ 30,217,371</td>
<td>$ 30,217,371</td>
<td></td>
</tr>
<tr>
<td>Project Funds</td>
<td>2,385,577</td>
<td>2,385,577</td>
<td>2,385,577</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$ 32,602,948</td>
<td>$ 32,602,948</td>
<td>$ 32,602,948</td>
<td>18.25%</td>
</tr>
<tr>
<td><strong>Money Markets:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local Funds</td>
<td>$ 62,312,454</td>
<td>$ 62,312,454</td>
<td>$ 62,312,454</td>
<td></td>
</tr>
<tr>
<td>Project Funds</td>
<td>15,768,011</td>
<td>15,768,011</td>
<td>15,768,011</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$ 78,080,465</td>
<td>$ 78,080,465</td>
<td>$ 78,080,465</td>
<td>43.71%</td>
</tr>
<tr>
<td><strong>US Treasury Notes/Bills:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Local Funds</td>
<td>$ 21,070,874</td>
<td>$ 21,069,814</td>
<td>$ 21,092,314</td>
<td></td>
</tr>
<tr>
<td>Project Funds</td>
<td>9,505,703</td>
<td>9,505,888</td>
<td>9,527,641</td>
<td></td>
</tr>
<tr>
<td></td>
<td>$ 30,576,577</td>
<td>$ 30,575,702</td>
<td>$ 30,619,955</td>
<td>17.14%</td>
</tr>
<tr>
<td><strong>TOTAL INVESTMENT HOLDINGS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>September 30, 2016</td>
<td>$ 178,484,297</td>
<td>$ 178,493,951</td>
<td>$ 178,622,719</td>
<td>100.00%</td>
</tr>
<tr>
<td><strong>PREVIOUS YEAR HOLDINGS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>September 30, 2015</td>
<td>$ 206,829,154</td>
<td>$ 206,873,489</td>
<td>$ 207,204,598</td>
<td></td>
</tr>
</tbody>
</table>

**Market Value by Investment Type**

- Federal Agency Notes: 20.89%
- Interest Bearing Cash: 18.25%
- Money Markets: 43.71%
- US Treasury Notes/Bills: 17.14%
### NORTHERN ILLINOIS UNIVERSITY
\[\text{INVESTMENT EARNINGS BY TYPE AND DURATION}\]
\[\text{For Fiscal Year 2017}\]
\[\text{July 1, 2016 - September 30, 2016}\]

<table>
<thead>
<tr>
<th>September 30 Ending Balance</th>
<th>Average Daily Investment Balance</th>
<th>Percent of Portfolio</th>
<th>Income Earned</th>
<th>Annualized Rate of Return</th>
</tr>
</thead>
</table>
| **Short-Term Investment Accounts**
Illinois Funds               | $62,312,454                     | $52,336,275          | 33.04%        | $50,437                | 0.382%            |
Investment Accounts - Financial Institutions | $30,217,371 | $32,463,419          | 20.50%        | $38,274                | 0.468%            |
Investment Accounts - Project Funds | $18,153,588 | $15,234,754          | 9.62%         | $230                    | 0.006%            |
ST Investment Accounts Total | $110,683,413                    | $100,034,448         | 63.16%        | $88,941                | 0.353%            |
ST Investment Accounts Average Yield |                     |                      |               |                         |                   |
| **Fixed Income Securities**
Local Funds                  | $58,295,181                     | $45,829,717          | 28.95%        | $103,707                | 0.905%            |
Project Funds                | $9,505,703                      | $12,494,997          | 7.89%         | $17,586                | 0.563%            |
Fixed Income Securities Total | $67,800,884                     | $58,324,714          | 36.84%        | $121,293               | 0.832%            |
Fixed Income Securities Average Yield |                     |                      |               |                         |                   |
| **COMBINED TOTAL**          | $178,484,297                    | $158,359,162         | 100.00%       | $210,234               | 0.53%             |

**AVERAGE ANNUALIZED RATE OF RETURN** 0.53%
QUARTERLY SUMMARY REPORT OF TRANSACTIONS IN EXCESS OF $100,000 FOR THE PERIOD JULY 1, 2016 TO SEPTEMBER 30, 2016

<table>
<thead>
<tr>
<th>Purchase Amount</th>
<th>No. of Transactions</th>
<th>Appropriated</th>
<th>Non-Appropriated</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Over $100,000</td>
<td>14</td>
<td>$498,000</td>
<td>$2,085,000</td>
<td>$2,583,000</td>
</tr>
</tbody>
</table>

TRANSACTIONS DETAIL:

Purchases:

1. Division of Information Technology -- requests permission to issue an open order for cellular and data services for NIU administrative employees for the period July 1, 2016 - June 30, 2017. This order is exempt from the Illinois Procurement Code because it is below the bid threshold. FY17 (Verizon Wireless, Rockford, IL) 111,000

2. Division of Information Technology -- requests permission to issue an order for services provided between DeKalb County and NIU for DATA Network during 2017 calendar year. NIU in cooperation with DeKalb County is contracted to provide services including billing for CAI's through USAC using the DATA Network. This PO will be used for the accounting of funds that are received from the CAI’s that the County in turn bills for each CAI as the funds are received by NIU. Because the vendor is a governmental agency, this purchase is exempt from posting on the Illinois Procurement Bulletin. FY17 (DeKalb County Government, Sycamore, IL) 200,000

3. University Advancement – Development – requests permission to renew the order for printing, binding, labeling, sorting, and mailing of four issues of the NIU Alumni Magazine, “Northern Now” for the period July 1, 2016 – June 30, 2017. The four issues are printed in August, November, February and May. Specifications and pricing are based on a bid that was opened July 28, 2015. This is the first year of two renewal options. The renewal award was advertised in the Illinois Procurement Bulletin and has been waived by the PPB. FY17 (RR Donnelley, Downers Grove, IL) 120,000*

4. Division of Information Technology – An amendment is required to increase the open order for On-Site Telephone Switch Hardware/Software Maintenance for the University’s Avaya (Nortel) CS2100-SL100 hybrid telephone switch for the period July 1, 2016 – June 30, 2017. This increase is necessary due to a third-party increase. Original President’s approval was received on February 5, 2016. The amended amount was advertised in the Illinois Procurement Bulletin and has been waived by the PPB. FY17 (Arrow Systems Integration, Irving, TX) Original amount $191,000; Amendment amount $39,000 230,000
5. College of Engineering and Engineering Technology Outreach - An amendment is required to increase an order for the planning and presentation of OSHA courses to be taught during the period October 1, 2015 - September 30, 2016. Original President's approval was received on July 17, 2015. This order is exempt from the Illinois Procurement Code according to Section 30 ILCS 500/1-10(b)(8) [no-credit educational service]. FY16-17 (Construction Safety Council, Hillside, IL) Original amount $190,000; Amendment amount $40,000

6. Intercollegiate Athletics/Administration – requests permission to issue an open order to third-party ticket sales services. The vendor performs sales and marketing activities to bolster ticket sales for Huskie Athletics. This purchase is exempt from the bid process per special permission of the Chief Procurement Officer for Higher Education as the contract was signed while the exemption still existed within the Procurement Code. Exempt specifically as a percentage of the sale of tickets by the vendor pays the vendor all applicable fees. FY17 (IMG Learfield Ticket Solutions LLC, Winston-Salem, NC)

7. Division of Information Technology – requests permission to renew maintenance and support for Novell software licenses used by the entire University. One of the main products is the identity management suite which allows DoIT to automatically provision user accounts and access based on events such as application, acceptance, enrollment and graduate for students and hiring, departmental moves or termination for employees. It also enables provisioning Google accounts for students and O365 accounts for students and employees. This purchase is exempt from posting on the Illinois Procurement Bulletin as it is covered by an MHEC contract. FY17 (Novell Contract Management, Provo, UT)

8. Housing and Residential Services - requests permission to renew cable programming for Northern View, New Hall, Gilbert Hall, Grant Hall, Neptune Hall, Stevenson Hall, HSC Hotel Rooms, and NIU Summer Camps/Conferences during the period July 1, 2016 – June 30, 2017. This is the third year of nine renewal options allowed for in a bid that opened on November 15, 2012, Bid #BML110112. The renewal award was advertised in the Illinois Procurement Bulletin and has been waived by the PPB. FY17 (Campus Televideo, Inc., Stamford, CT)

9. Human Resource Services – requests permission to renew a contract for background checks for the period July 1, 2016 – June 30, 2017. This contract is the result of RFP # bjm122331 and is the fifth and final renewal. This renewal has been advertised on the Illinois Procurement Bulletin and the PPB has waived their review period. FY17 (Accusource, Corona, CA)

10. Office of the Provost - requests permission to purchase eXplorance Blue software licenses for course evaluation applications during the period August 21, 2016 – August 20, 2019. This is an amendment to the previous request of software licenses for only one year Original President’s approval received on August 12, 2016. Utilizing a sole source purchase (#CAB161489) over a three-year period will result in a cost savings of 8.5 percent over three years totaling $22,174.00. More importantly, with the increase in number of online course offerings, it is imperative that student evaluation of instruction of those courses be conducted using an online course evaluation system and not a paper-based system. The intent to award this contract as a sole source will be advertised in the Illinois Procurement Bulletin. FY17-20 (eXplorance, Inc. Montreal, Quebec, Canada) Original amount $79,975; Amendment amount $160,025
11. Division of Research and Innovation Partnerships – requests permission to enter into a contract with Northern Illinois Research Foundation (NIRF), established as a not-for-profit 501(c)(3) in Illinois for the principal purpose of aiding and assisting Northern Illinois University in advancing its economic development, public service, research and educational mission. This contract will be for the period July 1, 2016 – June 30, 2017. This order is exempt from posting on the Illinois Public Higher Education Procurement Bulletin. FY17 (Northern Illinois Research Foundation, DeKalb, IL)  

249,000

12. Division of Research and Innovation Partnerships – requests permission to renew their contract with this vendor for outside legal services for ongoing representation for matters involving intellectual property for Fiscal Year 2017. This renewal is based on a sealed proposal opened April 24, 2013. The award was advertised in the Illinois Public Higher Education Procurement Bulletin and waived by the PPB. FY17 (Barnes & Thornburg LLP, Chicago, IL)  

200,000

13. Transportation Services – requests permission to purchase a new plow/dump truck for campus use. This vehicle will replace an old unit that is no longer economical to maintain. This purchase is being made under State of Illinois Contract #PSD018132 and is therefore exempt from advertising in the Illinois Procurement Bulletin. FY17 (Rush Truck Centers of Illinois, Springfield, IL)  

120,000

14. College of Engineering and Engineering Technology – An amendment is required to cover additional OSHA courses planned and presented by MSC for the period October 1, 2015 – September 30, 2016. This order is exempt from the Illinois Procurement Code, according to Section 30 ILCS 500/1-10(b)(8) [providing non-credit educational service]. FY16-17 (Minnesota Safety Council, St. Paul, MN) Original amount $210,000; Amendment amount $30,000  

240,000

**TOTAL 2,583,000**

*Appropriated/Income Funds*
QUARTERLY FINANCIAL SUMMARY
JULY 1, 2016 TO SEPTEMBER 30, 2016

Background Information: In an effort to provide more frequent information regarding the university’s financial position, the university has prepared the first quarter financial summary to the Board of Trustees.

Below is a list of fund codes and definitions that are used to present the quarterly financial summary.

Fund Codes and Definitions
1. 02 Funds
   a. Consists of:
      1) General Revenue Funds – Fiscal year state appropriation
      2) University Income Funds – Tuition and fees (i.e. academic program enhancement surcharge, campus improvement fee, financial guidelines program fees, application fee, graduation fee)
   b. To be used to support the academic mission of the university as well as for student career success both directly and indirectly.

2. 04 Funds (Restricted)
   a. Consists of: University Income Funds – Class Fees for materials/laboratory/field trips.
   b. To be used in support of the class that the fee was approved and in the manner for which the fee was approved.

3. 29-33 Funds (Restricted)
   a. Consists of: Revenue Bond Operations/Replacement/Reserve Funds – Funds derived from entities whose facilities were paid for via the sale of bonds such as: student fees plus revenues from the operations of residence halls/apartments (food, board, laundry), HSC/Campus Life (bookstore, food service, hotel), Field House/Recreation Center (fees and rental), Parking (usage fees and fines), Stadium/Convocation Center/BAVC (rental, events, food sales)
   b. To be used in support of the auxiliary system facilities and operations. This includes general university operations to the extent that they support the auxiliary system.

4. 41 Funds
   a. Consists of:
      1. Auxiliary Enterprises Funds – Generated and supported through the activities of the enterprise or student fees dedicated to those enterprises such as: Health Service, Intercollegiate Athletics, Student Contract Busing, and University Press
      2. Local Funds – Activities that are considered more public service in nature such as: Non-credit programs, Student activity fees (clubs (fencing)/organizations (CAB)), Regional Centers (Rockford, Naperville, Hoffman Estates), Conferences/Workshops, Indirect Cost, SSRI/Governmental Studies, Camps, Study Abroad Programs
   b. To be used to support student career success through activities that broaden the student experience and provide opportunities beyond the traditional classroom environment.

5. 44 Funds (Restricted)
   a. Consists of: Gifts, Grants & Contracts – Funds managed for specific purposes as defined by the granting agency including federal, state, and private entities
b. To be used for the specific purpose that the grant was awarded and within the guidelines of the grant.

6. **55 Funds (Restricted)**
   a. Consists of: Local Funds - Foundation Support
   b. To be used for payroll costs in support of the academic mission of the university

Following is a financial summary of the university's operations as of the end of September FY17 and a comparison perspective to September of FY16.
### Northern Illinois University

as of September 30, 2016

<table>
<thead>
<tr>
<th>Revenue</th>
<th>YTD Actuals</th>
<th>YTD Budget</th>
<th>Variance</th>
<th>First Quarter</th>
<th>Second Quarter</th>
<th>Third Quarter</th>
<th>Fourth Quarter</th>
<th>Annual Budget</th>
<th>First Quarter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tuition &amp; Student Fees</td>
<td>$95,805</td>
<td>$46,965</td>
<td>$48,841</td>
<td>$46,965</td>
<td>$46,965</td>
<td>$46,965</td>
<td>$187,858</td>
<td>$102,824</td>
<td>$7,019</td>
</tr>
<tr>
<td>Gifts, Grants, &amp; Contracts</td>
<td>$20,132</td>
<td>$14,500</td>
<td>$5,632</td>
<td>$14,500</td>
<td>$14,500</td>
<td>$14,500</td>
<td>$58,000</td>
<td>$21,067</td>
<td>$935</td>
</tr>
<tr>
<td>Other Fees and Fines</td>
<td>$3,063</td>
<td>$2,088</td>
<td>$975</td>
<td>$2,088</td>
<td>$2,088</td>
<td>$2,088</td>
<td>$8,352</td>
<td>$3,391</td>
<td>$328</td>
</tr>
<tr>
<td>Sales</td>
<td>$8,243</td>
<td>$10,167</td>
<td>$(1,923)</td>
<td>$10,167</td>
<td>$10,167</td>
<td>$10,167</td>
<td>$40,667</td>
<td>$13,741</td>
<td>$(5,497)</td>
</tr>
<tr>
<td>Rental &amp; Room Income</td>
<td>$19,573</td>
<td>$8,794</td>
<td>$10,779</td>
<td>$8,794</td>
<td>$8,794</td>
<td>$8,794</td>
<td>$35,177</td>
<td>$16,597</td>
<td>$2,976</td>
</tr>
<tr>
<td>Gate Receipts &amp; Commissions</td>
<td>$826</td>
<td>$1,223</td>
<td>$(398)</td>
<td>$1,223</td>
<td>$1,223</td>
<td>$1,223</td>
<td>$4,893</td>
<td>$1,174</td>
<td>$(348)</td>
</tr>
<tr>
<td>Miscellaneous &amp; Investment Income</td>
<td>$2,681</td>
<td>$2,420</td>
<td>$261</td>
<td>$2,420</td>
<td>$2,420</td>
<td>$2,420</td>
<td>$9,681</td>
<td>$3,314</td>
<td>$(633)</td>
</tr>
<tr>
<td>State of Illinois</td>
<td>$48,186</td>
<td>$18,228</td>
<td>$29,960</td>
<td>$18,228</td>
<td>$18,228</td>
<td>$18,228</td>
<td>$72,910</td>
<td>$27</td>
<td>$48,160</td>
</tr>
<tr>
<td>Transfers</td>
<td>$540</td>
<td>-</td>
<td>$540</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>$269</td>
<td>$271</td>
</tr>
<tr>
<td>Total Revenue &amp; Transfers</td>
<td>$199,051</td>
<td>$104,385</td>
<td>$94,666</td>
<td>$104,385</td>
<td>$104,385</td>
<td>$104,385</td>
<td>$417,538</td>
<td>$162,404</td>
<td>$36,647</td>
</tr>
</tbody>
</table>

### Expenses

| Cost of Goods Sold       | $2,362      | $2,712     | $350     | $2,712        | $2,712         | $2,712        | $10,848        | $2,443        | $(80)         |
| Personnel Services       | $49,123     | $56,763    | $7,640   | $56,763       | $56,763        | $56,763       | $227,051       | $49,688       | $(565)        |
| Contractual Services     | $13,516     | $20,561    | $7,045   | $20,561       | $20,561        | $20,561       | $82,243        | $15,722       | $(2,206)      |
| Commercials              | $1,461      | $1,922     | $461     | $1,922        | $1,922         | $1,922        | $7,688         | $1,935        | $(474)        |
| Travel                   | $946        | $1,189     | $243     | $1,189        | $1,189         | $1,189        | $4,756         | $895          | $111          |
| Automotive               | $291        | $305       | $14      | $305          | $305           | $305          | $1,221         | $273          | $18           |
| Scholarships             | $22,979     | $10,240    | $(12,739)| $10,240       | $10,240        | $10,240       | $40,961        | $25,781       | $(2,802)      |
| Telecommunications       | $70         | $468       | $398     | $468          | $468           | $468          | $1,872         | $474          | $(404)        |
| Equipment & Library Materials | $2,864  | $2,369     | $(495)   | $2,369        | $2,369         | $2,369        | $9,475         | $3,121        | $(257)        |
| Other                    | $6,664      | $10,365    | $3,701   | $10,365       | $10,365        | $10,365       | $41,462        | $5,118        | $1,546        |
| Total Expenses           | $100,277    | $106,894   | $6,617   | $106,894      | $106,894       | $106,894      | $427,576       | $105,389      | $(5,112)      |

### Net Revenue

| Net Revenue              | $98,774     | $(2,509)   | $88,050  | $(2,509)      | $(2,509)       | $(2,509)      | $(10,038)      | $(57,015)     | $(41,759)     |
ANNUAL FINANCIAL SUMMARY
JULY 1, 2015 TO JUNE 30, 2016

Background Information: In an effort to provide more frequent information regarding the University's financial position, the University has prepared the annual financial summary to the Board of Trustees.

Below is a list of fund codes and definitions that are used to present the quarterly financial summary.

Fund Codes and Definitions

7. 02 Funds
   a. Consists of:
      3) General Revenue Funds – Fiscal year state appropriation
      4) University Income Funds – Tuition and fees (i.e. academic program enhancement surcharge, campus improvement fee, financial guidelines program fees, application fee, graduation fee)
   b. To be used to support the academic mission of the University as well as for student career success both directly and indirectly.

8. 04 Funds (Restricted)
   a. Consists of: University Income Funds – Class Fees for materials/laboratory/field trips
   b. To be used in support of the class that the fee was approved and in the manner for which the fee was approved.

9. 29-33 Funds (Restricted)
   a. Consists of: Revenue Bond Operations/Replacement/Reserve Funds – Funds derived from entities whose facilities were paid for via the sale of bonds such as: student fees plus revenues from the operations of residence halls/apartments (food, board, laundry), HSC/Campus Life (bookstore, food service, hotel), Field House/Recreation Center (fees and rental), Parking (usage fees and fines), Stadium/Convocation Center/BAVC (rental, events, food sales)
   b. To be used in support of the auxiliary system facilities and operations. This includes general University operations to the extent that they support the auxiliary system.

10. 41 Funds
    a. Consists of:
       3. Auxiliary Enterprises Funds – Generated and supported through the activities of the enterprise or student fees dedicated to those enterprises such as: Health Service, Intercollegiate Athletics, Student Contract Busing, and University Press
       4. Local Funds – Activities that are considered more public service in nature such as: Non-credit programs, Student activity fees (clubs (fencing)/organizations (CAB)), Regional Centers (Rockford, Naperville, Hoffman Estates), Conferences/Workshops, Indirect Cost, SSRI/Governmental Studies, Camps, Study Abroad Programs
    b. To be used to support student career success through activities that broaden the student experience and provide opportunities beyond the traditional classroom environment.

11. 44 Funds (Restricted)
    a. Consists of: Gifts, Grants & Contracts – Funds managed for specific purposes as defined by the granting agency including federal, state, and private entities
    b. To be used for the specific purpose that the grant was awarded and within the guidelines of the grant.
12. 55 Funds (Restricted)
   a. Consists of: Local Funds - Foundation Support
   b. To be used for payroll costs in support of the academic mission of the University

Following is a financial summary of the University's operations as of the end of June FY16.
<table>
<thead>
<tr>
<th>Northern Illinois University</th>
<th>as of June 30, 2016</th>
<th>Fiscal Year 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>First Quarter</td>
<td>Second Quarter</td>
</tr>
<tr>
<td><strong>Revenue</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tuition &amp; Student Fees</td>
<td>$ 102,824</td>
<td>$ 77,457</td>
</tr>
<tr>
<td>Gifts, Grants, &amp; Contracts</td>
<td>$ 21,067</td>
<td>$ 7,938</td>
</tr>
<tr>
<td>Other Fees and Fines</td>
<td>$ 3,391</td>
<td>$ 1,893</td>
</tr>
<tr>
<td>Sales</td>
<td>$ 13,741</td>
<td>$ 6,940</td>
</tr>
<tr>
<td>Rental &amp; Room Income</td>
<td>$ 16,597</td>
<td>$ 21,144</td>
</tr>
<tr>
<td>Gate Receipts &amp; Commissions</td>
<td>$ 1,174</td>
<td>$ 1,199</td>
</tr>
<tr>
<td>Miscellaneous &amp; Investment Income</td>
<td>$ 3,314</td>
<td>$ 1,590</td>
</tr>
<tr>
<td>State of Illinois</td>
<td>$ 27</td>
<td>$ 32</td>
</tr>
<tr>
<td>Transfers</td>
<td>$ 269</td>
<td>$(5,036)</td>
</tr>
<tr>
<td><strong>Total Revenue &amp; Transfers</strong></td>
<td>$ 162,404</td>
<td>$ 113,158</td>
</tr>
<tr>
<td><strong>Expenses</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cost of Goods Sold</td>
<td>$ 2,443</td>
<td>$ 2,365</td>
</tr>
<tr>
<td>Personnel Services</td>
<td>$ 49,688</td>
<td>$ 59,619</td>
</tr>
<tr>
<td>Contractual Services</td>
<td>$ 15,722</td>
<td>$ 23,214</td>
</tr>
<tr>
<td>Commodities</td>
<td>$ 1,935</td>
<td>$ 1,920</td>
</tr>
<tr>
<td>Travel</td>
<td>$ 835</td>
<td>$ 1,225</td>
</tr>
<tr>
<td>Automotive</td>
<td>$ 273</td>
<td>$ 366</td>
</tr>
<tr>
<td>Scholarships</td>
<td>$ 25,784</td>
<td>$ 2,954</td>
</tr>
<tr>
<td>Telecommunications</td>
<td>$ 474</td>
<td>$ 477</td>
</tr>
<tr>
<td>Equipment &amp; Library Materials</td>
<td>$ 3,121</td>
<td>$ 1,169</td>
</tr>
<tr>
<td>Other</td>
<td>$ 5,118</td>
<td>$ 3,612</td>
</tr>
<tr>
<td><strong>Total Expenses</strong></td>
<td>$ 105,392</td>
<td>$ 96,920</td>
</tr>
<tr>
<td><strong>Net Revenue</strong></td>
<td>$ 57,012</td>
<td>$ 16,238</td>
</tr>
</tbody>
</table>
FACILITIES UPDATE

Briefing presented at the meeting to include:

- Boiler/Steam Assessment
- Holmes Student Center Design
- Stevens Project Update
- Five-Year Project Plan
- Zeke Giorgi Law Clinic Lease
Executive Summary: The following item presents a comprehensive pricing recommendation that addresses Northern Illinois University’s tuition, fees, and room and board rates for Fiscal Year 2018. These recommendations were developed with the goals of maintaining fiscal responsibility and addressing affordability concerns - by keeping the total cost of attendance for full-time students as low as possible. The recommendations include no increase in undergraduate tuition in general programs and a minimal increase in room and board rates of 1% and a 1.81% increase in fees. Overall, these recommendations result in an increase in the total cost of attendance for undergraduate students of 0.67%, 0.4% for graduate students, and 0.22% increase for law students.

In the event that the University experiences significant state budget reductions, the President may return to the Board to revisit these recommendations.

Background and Summary: NIU modified the review and management process and related timelines for developing recommendations for the “pricing” of students’ total cost of attendance (COA) such that all tuition and fee decisions were made in November/December. As noted previously, there are several compelling reasons to again make these decisions now:

- Some Illinois institutions (and others around the nation) make their pricing decisions in January. Approval later than January is an unnecessary competitive disadvantage to NIU at the very time we are implementing multi-faceted long-term strategies and short-term tactics to boost enrollment and generate the revenue essential for fiscal equilibrium.
- Most institutions of higher education have prepared their financial aid offers by mid-March; these offers must by law consider the total COA. Earlier pricing will allow NIU to use staff time more effectively and efficiently to focus on other aspects of our student aid practices to be more responsive to needs of students and their families.
- Many current NIU students begin making final decisions as early as January about whether to continue living in our residence halls. The earlier timeline for approvals of next year’s housing rates will allow NIU to maximize re-capture of students who might choose to live on campus, and thus improve our ability to maximize housing revenue, as well as foster a more vibrant residence hall and on-campus social community that is attractive and important to current and prospective students.
- Demand is a function of both marketplace and price, along with many other combinations of unique factors that students and parents consider. For this reason, along with continued focus on earlier pricing decisions our consolidated pricing recommendation provides for flat tuition, with modest increases in fees, and room and board rates.

Establishing an FY18 pricing decision is the final step in accomplishing an annual timetable that permits a more effective, efficient, and transparent annual budget development process. With pricing decisions made in December, the annual budget planning and development process for the next fiscal year can begin in January, thus permitting a final budget for both the operating budget (and an annual capital budget) in June versus September. This timetable allows more time for analysis and discussions with University leaders and other campus stakeholders. There have been clear benefits to increased transparency across the board during the past year. Further transparency and opportunity for interactive discussion during an expanded budget development phase will permit a continued focus on enrollment issues and fiscal sustainability within the new academic responsiveness/fiscal responsibility oversight.
model and reflecting our Guiding Principles for Financial Planning and Budgeting, and will thus allow for better informed decision-making.

In the next several sections, we describe the specific Fiscal Year 2018 recommendations for each major component of pricing that drives the total cost of attendance and 50 percent of the NIU revenue budget.

I. Tuition Recommendations:

Undergraduate Tuition
Similar to the tuition approved for FY17, in concert with the other pricing recommendations that comprise the total cost of attendance (COA) the undergraduate tuition recommendation for FY18 reflects a zero percent increase for a student enrolled full-time. Further, the recommendation reflects:

- Pricing that is consistent with NIU's current market and does nothing to discourage a student from enrolling, all other things being equal;
- Pricing that also reflects the importance of accessibility and affordability to new students that NIU wishes to attract;
- A pricing decision early enough in the financial aid packaging cycle to have a positive impact on student/family choices for FY18 and in future enrollment cycles.

In addition to the above rationale, the tuition recommendations also reflect Truth-in-Tuition legislation, which took effect Fall 2004 for Illinois residents seeking an undergraduate degree. Under the provisions of the law, the entering degree-seeking undergraduate class for each fiscal year, beginning in FY05, is guaranteed the same tuition rates for four continuous years. NIU policy adds one additional semester to this four year guarantee. NIU’s current policy is that after nine continuous semesters, the guaranteed undergraduate tuition rate adjusts to the fixed tuition rate paid by students who entered the university one fiscal year after the date of original entry for two years (in accordance with Senate Bill 3222 which was passed effective July 1, 2010). The fixed tuition rates for such students are maintained consistent with that cohort.

Effective for FY16, the Administration adopted replacing the declining credit hour structure with a flattened bundled structure. The declining credit hour structure was originally thought to be an incentive to encourage students to take additional courses and graduate on time. There is no evidence that it ever had that effect. The declining rate structure created enormous confusion for students and parents and is highly difficult to administer due to its complexity. This strategic change from a declining scale to a bundled rate is estimated to have a time savings, simplification factor, and better service implications for the service areas interacting with students and parents addressing concerns. The University also established a lower out-of-state tuition for students from the Midwest (i.e. Iowa, Wisconsin, Indiana, Michigan, Ohio, and Missouri) that covers the direct and estimated indirect instructional costs at 140 percent of in-state tuition to create a greater incentive for these students to enroll at NIU.

In FY18, the Administration recommends establishing an undergraduate differential rate for students enrolled in the College of Engineering. Recent data show a very high demand for engineering and technology graduates in Chicago, Rockford and the Northern Illinois area. The Midwest region is still the stronghold of manufacturing in the US and it is expected that demand for engineers will continue to grow. Engineering and technology programs are highly lab intensive and the skill sets needed by industry are rapidly changing. Therefore, considerable resources need to be directed towards continuous improvement and upgrading of laboratories, and infrastructure capacity building for maximizing student success.

Graduate and Law Tuition
In FY16, the Administration recommended a series of structural changes to graduate and law student tuition. All of the changes that were implemented were done with the objective in mind of either easing
burdens that fall on graduate and law students or of providing pathways to enrollment growth and additional revenue production. Some of the changes included:

- Establishing an alternative tuition rate for graduate assistants and fellows equal to the in-state rate. This recommendation eases a tax withholding burden that falls on out-of-state graduate assistants who serve in graduate staff assistant roles. Additionally, billing graduate assistants and fellows at the in-state rate will align our tuition policies with our grant policies, which require cost recovery for only the in-state portion of tuition, when tuition can be included in an externally-funded grant or contract.

- Implemented one consolidated tuition rate for graduate students and law students consisting of instructional and institutional charges. Charging graduate and law students a consolidated tuition in each semester will aid in attracting to NIU students with employer reimbursement.

In FY18, the Administration is asking for continuation of the differential tuition rates as previously approved with changes as noted in the Graduate differential table, as well as establish differential rates for the following programs:

- Educational Research & Evaluation (MSED) online at $30/crhr
- Adult/Higher Ed: Community College Spec online at $55/crhr
- Kinesiology & Physical Ed (MSED) at $35/crhr
- Sport Management at $35/crhr
- All College of Engineering programs including online programs at $50/crhr

The recommendation also includes the elimination of differential rates for the following programs:

- Traffic Safety Education Certificate
- Engineering MGMT (MS) online (replaced by general College of Engineering differential)
- Industrial MGMT (MS) online (replaced by general College of Engineering differential)
- BCBA Certificate online

Online Tuition

The Administration recommends maintaining a minimum tuition rate of $500 per credit hour and a maximum tuition rate of $1,000 per credit hour for both graduate and undergraduate online programs. Over a two year period, the undergraduate online program rate range will facilitate a demonstration project to determine the viability of large scale online programming designed particularly to appeal to adult students in our region, as well as international students. The components of the minimum online tuition rate are illustrated below in Figure 1. This recommendation relies on approval of a consolidated tuition charge and differential tuition, where the minimum differential charge will be $30 per hour and the maximum differential charge will be $530. NIU's efforts to develop budget and pricing models to stimulate growth of online programs and enrollment has been discussed for many years. Coupled with the efforts of the Office of Online Program Development and Support, this recommendation paves the way for that growth.

<table>
<thead>
<tr>
<th>Components of Tuition</th>
<th>Per Hour Charge</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instructional Charge</td>
<td>$357</td>
</tr>
<tr>
<td>Central Services Charge</td>
<td>$69</td>
</tr>
<tr>
<td>Outreach Charge</td>
<td>$18</td>
</tr>
<tr>
<td>Career/Professional Development Charge</td>
<td>$4</td>
</tr>
<tr>
<td>Technology Charge</td>
<td>$22</td>
</tr>
<tr>
<td>Program Differential</td>
<td>$30</td>
</tr>
<tr>
<td>TOTAL Minimum Online Tuition</td>
<td>$500</td>
</tr>
</tbody>
</table>

The recommended tuition rates for undergraduate, graduate and law students are presented in Table 1.
Table 1  
Fiscal Year 2018 Tuition Recommendations

**Undergraduate:**  
*General Programs*

<table>
<thead>
<tr>
<th>Part Time</th>
<th>Full Time Package/Bundle Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Per Cr. Hr. Rate</td>
<td></td>
</tr>
<tr>
<td>1-11 Credit Hours</td>
<td>12 or more Credit Hours</td>
</tr>
<tr>
<td>$348.84</td>
<td>$4,732.80</td>
</tr>
</tbody>
</table>

Undergraduates who are entering in FY18 or who are non-degree seeking; non-residents in the Midwest at 1.4 times and all others at 2 times the FY18 rate.

**College of Engineering Programs**

<table>
<thead>
<tr>
<th>Part Time</th>
<th>Full Time Package/Bundle Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Per Cr. Hr. Rate</td>
<td></td>
</tr>
<tr>
<td>1-11 Credit Hours</td>
<td>12 or more Credit Hours</td>
</tr>
<tr>
<td>$398.84</td>
<td>$5,332.80</td>
</tr>
</tbody>
</table>

Non-Engineering students taking 4 or more credit hours, within the College of Engineering, are subject to a differential charge of $50/credit hour.

**Graduate:**  
*General Programs*

| 1-11 Credit Hours | 12 or more Credit Hours |
| $496.04 | $5,952.48 |

The above hourly tuition rate includes an instructional charge of $357.00 per credit hour and an institutional charge of $139.04 per credit hour.

**Graduate Differential Programs (Per Credit Hour)**

<table>
<thead>
<tr>
<th>Program</th>
<th>FY17 Differential</th>
<th>FY18 Differential</th>
</tr>
</thead>
<tbody>
<tr>
<td>College of Engineering &amp; Engineering Technology</td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Programs (including online programs)</td>
<td>$50.00</td>
<td></td>
</tr>
<tr>
<td>Traffic Safety Education Certificate</td>
<td>$30.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Engineering MGMT (MS) <em>online</em></td>
<td>$200.00</td>
<td>$0.00</td>
</tr>
<tr>
<td>Industrial MGMT (MS) <em>online</em></td>
<td>$200.00</td>
<td>$0.00</td>
</tr>
</tbody>
</table>

<p>| College of Liberal Arts &amp; Sciences | |
| Computer Science (MS) | $30.00 | $60.00 |</p>
<table>
<thead>
<tr>
<th>College of Education</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Curriculum &amp; Instruction (EdD)</td>
<td>$65.00</td>
</tr>
<tr>
<td>Education Administration (EdD)</td>
<td>$65.00</td>
</tr>
<tr>
<td>Instructional Technology (EdD/PhD)</td>
<td>$30.00</td>
</tr>
<tr>
<td>Instructional Technology (EdD/PhD) online</td>
<td>$30.00</td>
</tr>
<tr>
<td>IT Tech Sp MSEd</td>
<td>$30.00</td>
</tr>
<tr>
<td>IT Tech Sp MSEd online</td>
<td>$50.00</td>
</tr>
<tr>
<td>Literacy Education Bilingual/ELS online</td>
<td>$40.00</td>
</tr>
<tr>
<td>BCBA Certificate online</td>
<td>$75.00</td>
</tr>
<tr>
<td>Trauma-Inf Csl Certificate online</td>
<td>$35.00</td>
</tr>
<tr>
<td>Educational Research &amp; Evaluation (MSED) online</td>
<td></td>
</tr>
<tr>
<td>Adult/Higher Ed: Community College Spec online</td>
<td></td>
</tr>
<tr>
<td>Kinesiology &amp; Physical Ed (MSED)</td>
<td></td>
</tr>
<tr>
<td>Sport Management</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>College of Business</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Foundations of Accountancy Certificate</td>
<td>$150.00</td>
</tr>
<tr>
<td>Accounting Science (MAS)</td>
<td>$325.00</td>
</tr>
<tr>
<td>Taxation (MST)</td>
<td>$325.00</td>
</tr>
<tr>
<td>Business Analytics SAP Certificate</td>
<td>$150.00</td>
</tr>
<tr>
<td>Financial Risk MGMT (MS)</td>
<td>$400.00</td>
</tr>
<tr>
<td>Evening MBA</td>
<td>$400.00</td>
</tr>
<tr>
<td>Fast-Trak MBA</td>
<td>$400.00</td>
</tr>
<tr>
<td>MIS Certificate</td>
<td>$150.00</td>
</tr>
<tr>
<td>MIS (MS)</td>
<td>$150.00</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>College of Health &amp; Human Sciences</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Comm Disorders SLP</td>
<td>$125.00</td>
</tr>
<tr>
<td>Audiology (AuD)</td>
<td>$150.00</td>
</tr>
<tr>
<td>Physical Therapy (DPT)</td>
<td>$175.00</td>
</tr>
<tr>
<td>Health Science (PhD)</td>
<td>$400.00</td>
</tr>
<tr>
<td>Eating Disorders Certificate online</td>
<td>$105.00</td>
</tr>
<tr>
<td>Nursing Pract DNP online</td>
<td>$180.00</td>
</tr>
<tr>
<td>Public Health MPH online</td>
<td>$180.00</td>
</tr>
<tr>
<td>Nursing Adult, GPCNP online</td>
<td>$180.00</td>
</tr>
<tr>
<td>Nursing Family, NP online</td>
<td>$225.00</td>
</tr>
<tr>
<td>Nursing Education online</td>
<td>$180.00</td>
</tr>
<tr>
<td>Nursing Post Masters Certificate online</td>
<td>$180.00</td>
</tr>
</tbody>
</table>
Law:

<table>
<thead>
<tr>
<th>1-11 Credit Hours</th>
<th>12 or more Credit Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>$915.74</td>
<td>$10,988.88</td>
</tr>
</tbody>
</table>

The above hourly tuition rate includes an instructional charge of $677.29 per credit hour and an institutional charge of $238.45 per credit hour.

II. Room and Board Recommendations:

The collection of room and board from students living in university residence halls supports Housing and Residential Services as well as Campus Dining Services operations and related Revenue Bond obligations. Room rate recommendations typically address increased student wage and service contract costs, software support, indirect costs, contractual services, general operating expenses, and debt service payments related to renovations to the residence halls. At this time, in order to address student affordability concerns, the university is recommending a single room and board rate that represents a one percent increase in rates for Fiscal Year 2018. (NOTE: Rates for New Hall and Northern View, the Collegiate Housing Foundation II Project, are considered separately and are not included in this recommendation, but are provided for informational purposes only.)

A summary is provided in Table 2 which details each residence hall room and board rate.
### Table 2  
**Fiscal Year 2018 Room and Board Rate Recommendations**

<table>
<thead>
<tr>
<th>LOCATION</th>
<th>FY17</th>
<th>LOCATIONS</th>
<th>FY18</th>
<th>variance</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>RATE</td>
<td>LOCATION</td>
<td>RATE</td>
<td></td>
</tr>
<tr>
<td>NEPTUNE HALL</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Multiple-Occupancy</td>
<td>$ 4,703</td>
<td>Multiple-Occupancy</td>
<td>$ 4,750</td>
<td>1.00%</td>
</tr>
<tr>
<td>LINCOLN HALL</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Multiple-Occupancy</td>
<td>$ 4,703</td>
<td>Multiple-Occupancy</td>
<td>$ 4,750</td>
<td>1.00%</td>
</tr>
<tr>
<td>GILBERT HALL</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Single-Occupancy</td>
<td>$ 5,868</td>
<td>Single-Occupancy</td>
<td>$ 5,927</td>
<td>1.01%</td>
</tr>
<tr>
<td>Multiple-Occupancy</td>
<td>$ 5,246</td>
<td>Multiple-Occupancy</td>
<td>$ 5,298</td>
<td>0.99%</td>
</tr>
<tr>
<td>GRANT TOWERS</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>B Tower Multiple-Occupancy</td>
<td>$ 4,703</td>
<td>B Tower Multiple-Occupancy</td>
<td>$ 4,750</td>
<td>1.00%</td>
</tr>
<tr>
<td>C/D Towers Single-Occupancy</td>
<td>$ 5,868</td>
<td>Single-Occupancy</td>
<td>$ 5,927</td>
<td>1.01%</td>
</tr>
<tr>
<td>C/D Towers Multiple-Occupancy</td>
<td>$ 5,246</td>
<td>Multiple-Occupancy</td>
<td>$ 5,298</td>
<td>0.99%</td>
</tr>
<tr>
<td>STEVENSON TOWERS</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Single-Occupancy</td>
<td>$ 5,868</td>
<td>Single-Occupancy</td>
<td>$ 5,927</td>
<td>1.01%</td>
</tr>
<tr>
<td>Multiple-Occupancy</td>
<td>$ 5,246</td>
<td>Multiple-Occupancy</td>
<td>$ 5,298</td>
<td>0.99%</td>
</tr>
<tr>
<td>NEW HALL</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Single-Occupancy (Room Only)</td>
<td>$ 5,503</td>
<td>Single-Occupancy (Room Only)</td>
<td>$ 5,503</td>
<td>0.00%</td>
</tr>
<tr>
<td>MEAL PLAN ONLY</td>
<td>$ 1,040</td>
<td>MEAL PLAN ONLY</td>
<td>$ 1,105</td>
<td>6.25%</td>
</tr>
</tbody>
</table>

**Other Mandatory Residential Fees**
- ResNet Access & Support Charge: $92/semester
- Residence Hall Surcharge: $50/semester

**Other Residential Fees**
- Winter Break Fee:
  - Prior to deadline: $42/week or $150/4 weeks
  - After deadline: $56/week or $200/4 weeks
- Living-Learning Communities (Formerly Academic Residential Programs): $50/semester
III. Student Fee Recommendations:

The university has numerous student activities, programs, services and operations that are supported to various degrees by the assessment of dedicated-use student fees. Table 3 provides a summary of fee rates for FY17 and recommendations for FY18.

Excluding the health insurance fee increase, the fees recommended for approval represent a 1.81% increase for undergraduate students. Fees for graduate and law student are incorporated into the recommended tuition rates. Following Table 3, fee descriptions are provided to describe each fee and the recommended fee rate.

Table 3
FISCAL YEAR 2018 FEE RECOMMENDATIONS

<table>
<thead>
<tr>
<th>Mandatory Fees</th>
<th>CURRENT FEES FY17</th>
<th>Proposed Change per Credit Hour</th>
<th>PROPOSED FEES FY18</th>
<th>Percent Change</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Per Credit Hour</td>
<td>Semester (12+ Cr. Hrs.)</td>
<td>Per Credit Hour</td>
<td>(12+ Cr. Hrs.)</td>
</tr>
<tr>
<td>STUDENT SUPPORT SERVICES FEE</td>
<td>$35.46</td>
<td>$425.52</td>
<td>$36.82</td>
<td>$441.84</td>
</tr>
<tr>
<td>UNIVERSITY ADVANCEMENT FEE</td>
<td>$25.42</td>
<td>$305.04</td>
<td>$26.12</td>
<td>$313.44</td>
</tr>
<tr>
<td>ATHLETIC FEE</td>
<td>$33.21</td>
<td>$398.52</td>
<td>$33.21</td>
<td>$398.52</td>
</tr>
<tr>
<td>ACAD PROGRAM ENHANCEMENT</td>
<td>-</td>
<td>$250.00</td>
<td>-</td>
<td>$250.00</td>
</tr>
</tbody>
</table>

TOTAL IF REGISTERED FOR 12 OR MORE SEMESTER CREDIT HOURS*

<table>
<thead>
<tr>
<th></th>
<th>2016-17 per Semester</th>
<th>2017-18 per Semester</th>
</tr>
</thead>
<tbody>
<tr>
<td>UNDERGRADUATE</td>
<td>$1,379.08</td>
<td>$1,403.80</td>
</tr>
</tbody>
</table>

*Totals do not include the Health Insurance Fee

Refundable Fee**

| HEALTH INSURANCE FEE***                  | NA                    | $990.50               | NA                  | $1,038.50      |

**Can be waived with proof of comparable insurance.

***For full time students only.

Fee Descriptions

Student Support Services Fee is comprised of the following charges and reflects an increase of $1.36, from $35.46 to $36.82, per credit hour:

Campus Enhancement Charge supports the construction and/or renovation of campus facilities for student services. This charge is assessed in accordance with the request of the Student Association (which will have a process by which this charge can be proposed) and upon approval of the Board of Trustees. There are no additional charges proposed at this time.

Student Activities and Services Charge supports the student government, student programming, campus wide programming initiatives (Welcome Days, Homecoming, Open Houses, etc.) and the
activities of student recognized organizations. These charges are also allocated to departments to maintain and improve student services. The university is recommending a $0.14 increase from $4.67 to $4.81 per credit hour for undergraduate students. The increase, 3 percent, is requested by the students on the committee, who feel strongly that the activities supported by these fees contribute to student engagement and retention by supporting an increased number of student organizations and student services areas.

Holmes Student Center Charge supports the operational costs of Holmes Student Center and student programs provided in the facility. The charge will remain at $12.33 per credit hour, with no increase.

Health and Wellness Charge allows students to use Health Services with little to no direct cost to students, and helps fund programing that promotes wellness and healthy lifestyle choices, as well as related state and federally mandated education and awareness campaigns. The University is recommending a $0.02 increase from $10.25 to $10.27 per credit hour.

Grants-in-Aid Charge supports an institutional merit-based scholarship program. The University is recommending no increase at $0.88 per credit hour.

Student-to-Student Charge is dedicated to a needs-based Financial Aid fund for students, by which state institutions are eligible for matching funds from the State of Illinois per statutory requirements. The charge is presently at the ISAC statutory maximum of $0.50 per credit hour; therefore, no increase is recommended for next year.

Campus Recreation Charge is used for financing, constructing, maintaining, and improving new and existing recreational sports facilities and programs, including the sport club operations. The University is recommending a $1.20 increase from $6.83 to $8.03 per credit hour.

University Advancement Fee is comprised of the following charges and reflects an increase of $0.70, from $25.42 to $26.12, per credit hour:

Capital Improvement Charge This fee provides funding for educational and support facilities for students, faculty, and staff in a manner that maximizes the effectiveness of the capital investments, maximizes the use of existing facilities, and promotes orderly, planned campus development. Support for debt service of previously approved projects including west campus improvements and the living and learning initiatives are also included in this charge. The charge reflects a decrease of $0.10, from $15.25 to $15.15, per credit.

Transportation Access Charge All students will be assessed a transportation access fee which funds the Huskie Bus System, the Huskie Line, parking lot lights, security and maintenance, and the creation of additional parking and transportation services. This charge also supports the university's Huskie Line bus and Freedom Mobile paratransit services. All fee-paying students are eligible to utilize Huskie buses without being charged fares. The University is recommending a $0.80 increase from $10.17 to $10.97 per semester credit hour. This increase, 8 percent, is needed to meet obligations of the contract with the vendor that provides student bus services.

Athletic Fee is comprised of the following charges and reflects no increase and will remain at $33.21 per credit hour:

Athletic Program Charge supplements the University's diverse athletic programs and provides students with a means to enjoy the University's athletic events without direct cost to the student. The charge will remain at $22.59 per credit hour, with no increase.
Convocation Center Charge helps fund the operational costs of the Convocation Center and supports student events held in the athletic space utilized by students. The University is recommending no increase at $8.22 per credit hour.

Huskie Stadium Charge helps fund the operational costs of Huskie Stadium and provides support for student activities and programs that are held in the stadium. The charge will remain at $2.40 per credit hour, with no increase.

Academic Program Enhancement and Instructional Surcharge is a flat fee that is assessed in order to meet the ever-emerging demands for cutting edge academic programs and services for NIU students. Critical areas for funding include library journals and books, support for courses in high demand, technology upgrades, as well as support for identified academic strategic planning initiatives designed to strengthen, direct and further improve academic programs, research, and the academic experience of students. The University is recommending the charge remain at $250.00 per semester.

Health Insurance Fee covers the student health insurance plan that provides comprehensive medical insurance for students and their dependents. The Health Insurance fee is a flat fee, which provides coverage for all students registered for nine or more credit hours of on-campus instruction, unless proof of comparable health insurance coverage is provided. It should be noted that Spring Semester enrollment in the plan includes summer coverage regardless of whether the student is registered for summer session instruction. This assures year-round health insurance coverage for participating students. The Student Health Insurance Fee Review Committee is recommending an increase of $48.00 from $990.50 to $1,038.50 per semester.
**Recommendation for Fiscal Year 2018:** The university requests approval of the recommended FY18 tuition, fee and room and board rates as described in this item and detailed in Tables 1 – 3, and summarized in Table 4. These figures reflect the average annual costs for a full-time student at the undergraduate, graduate and law school level. In the event that the University experiences significant state budget reductions, the President may return to the Board to revisit these recommendations.

### Table 4

<table>
<thead>
<tr>
<th>Fiscal Year 2018 Tuition, Fee and Room &amp; Board Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual Cost Summary for Full-Time Students</td>
</tr>
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**NEW UNDERGRADUATE STUDENTS**

<table>
<thead>
<tr>
<th></th>
<th>FY2017 In-State</th>
<th>FY2018 In-State</th>
<th>Change FY17-FY18</th>
</tr>
</thead>
<tbody>
<tr>
<td>TUITION</td>
<td>$9,466</td>
<td>$9,466</td>
<td>$0</td>
</tr>
<tr>
<td>FEES</td>
<td>$2,758</td>
<td>$2,808</td>
<td>$50</td>
</tr>
<tr>
<td>ROOM &amp; BOARD</td>
<td>$10,776</td>
<td>$10,880</td>
<td>$104</td>
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<tr>
<td>TOTAL</td>
<td>$23,000</td>
<td>$23,154</td>
<td>$154</td>
</tr>
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</table>

Assumptions:
- Full-time for both Fall and Spring
- Excludes Health Insurance Fee
- Renovated Double, Grant, Stevenson, Gilbert

**NEW AND CONTINUING GRADUATE STUDENTS**

<table>
<thead>
<tr>
<th></th>
<th>FY2017 In-State</th>
<th>FY2018 In-State</th>
<th>Change FY17-FY18</th>
</tr>
</thead>
<tbody>
<tr>
<td>TUITION</td>
<td>$11,858</td>
<td>$11,905</td>
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</tr>
<tr>
<td>TOTAL</td>
<td>$11,858</td>
<td>$11,905</td>
<td>$47</td>
</tr>
</tbody>
</table>

Assumptions:
- Full-time for both Fall and Spring

**NEW AND CONTINUING LAW STUDENTS**

<table>
<thead>
<tr>
<th></th>
<th>FY2017 In-State</th>
<th>FY2018 In-State</th>
<th>Change FY17-FY18</th>
</tr>
</thead>
<tbody>
<tr>
<td>TUITION</td>
<td>$21,930</td>
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<td>$48</td>
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<tr>
<td>TOTAL</td>
<td>$21,930</td>
<td>$21,978</td>
<td>$48</td>
</tr>
</tbody>
</table>

Assumptions:
- Full-time for both Fall and Spring

NOTE: Does not include housing rates at New Hall or Northern View. Those rates are set in a separate process.
NIU Board of Trustees
December 15, 2016

Agenda Item 8.c.(2)
December 15, 2016

Action

REAPPOINTMENT OF THE EXECUTIVE VICE PRESIDENT AND PROVOST

Summary: On June 19, 2014, The NIU Board of Trustees appointed Dr. Lisa C. Freeman to a three-year term as Executive Vice President and Provost, with a retroactive effective date of May 16, 2014. Prior to the permanent appointment, Dr. Freeman served as the interim Executive Vice President and Provost from October 2013-May 15, 2014.

In accordance with Article 19.3.1.2. of the NIU Bylaws, prior to the fall semester of the last year of the executive vice president and provost's term of service the president shall confer with the executive vice president and provost to determine whether an additional term is feasible. If the president and the executive vice president and provost agree upon the feasibility of another term, the president shall consult with the deans and the Faculty Senate separately at the beginning of the fall semester to initiate a comprehensive review of the executive vice president and provost's performance, and, subsequently, to determine whether or not an additional term should be offered to the incumbent.

The end-of-term evaluation process provided for a comprehensive review of Executive Vice President and Provost Freeman's performance and professional contributions, as well as an opportunity for constructive input from faculty, senior level administrators and other constituencies. On the basis of this feedback, the President recommends reappointment of Dr. Freeman as the Executive Vice President and Provost to a new term of four years duration.

As the Executive Vice President and Chief Academic Officer, Freeman oversees the Division of Academic Affairs and Human Resource Services. The Division of Academic Affairs includes seven academic colleges, the university libraries, the graduate school, undergraduate academic affairs, academic diversity and inclusion, faculty affairs, resource planning, and institutional effectiveness.

The Executive Vice President and Provost works in partnership with the President, and the Vice President of Administration and Finance, on matters related to assessment, budgeting and planning. In addition to chairing the Academic Planning Council, Council of Deans, and the University Council Personnel Committee, the Executive Vice President and Provost serves as a member of the Executive Budget Committee, the President's Senior Cabinet, Operations Cabinet, and as the facilitator of the Academic Cabinet.

Executive Vice President and Provost Freeman has also provided leadership to NIU's program prioritization initiative. A significant university initiative, this process is designed to align NIU resources with the University's strategic priorities, and provides for a thorough, fair and comprehensive reviews of NIU's administrative and academic programs. Provost Freeman has worked to ensure the integrity and transparency of this collaborative effort.

Freeman earned a bachelor's degree in 1981, as well as a master's degree and a doctor of veterinary medicine in 1986 from Cornell University. She went on to earn a doctor of philosophy at The Ohio State University in 1989, and subsequently worked as a postdoctoral fellow and research scientist at the University of Rochester, School of Medicine. In 2004-05, Freeman was a Fellow of the American Council on Education hosted by the University at Buffalo. Prior to becoming the Interim Executive Vice President and Provost, Freeman served as NIU's Vice President for Research and Innovation Partnerships (formerly Graduate Studies) from 2010-2013.

Recommendation: The President recommends that Dr. Lisa C. Freeman be reappointed to a four-year term as the Executive Vice President and Provost, with rank of professor with tenure in the Department of Biological Sciences, effective May 16, 2017.
REAPPOINTMENT OF DEAN, COLLEGE OF LIBERAL ARTS & SCIENCES

Christopher McCord became the dean of NIU’s College of Liberal Arts and Sciences on July 1, 2007. Per Article 19.3.2 of the NIU By-laws, Dean McCord served an initial term of six years, renewable for additional terms of four years each. In 2013, Dean McCord appointment was renewed for a second four-year term. Hence, in 2016, McCord underwent a second end-of-term evaluation.

In accordance with NIU Bylaws Article 19.3, after the Dean and the Executive Vice President & Provost agreed on the feasibility of an additional term, the Executive Vice President & Provost consulted separately with the college council and college council in Liberal Arts & Sciences regarding: the evaluation format, the time-lines for distributing evaluation forms and collecting feedback; and the consultative process to be used. As dictated by shared governance, the confidentiality of faculty input was guaranteed, and the review, consultation and resultant reappointment decision were completed no later than six weeks subsequent to the beginning of the fall semester of the last year of the dean’s term of service.

The end-of-term evaluation process provided for a comprehensive review of Dean McCord’s performance and professional contributions, as well as an opportunity for constructive input from faculty and other constituencies. On the basis of this feedback, after appropriate consultation with the college council and college senate in Liberal Arts & Sciences, the Executive Vice President & Provost recommended reappointment of Dean McCord for a third term of four years duration.

McCord holds bachelor’s degrees in mathematics and physics from Bowling Green State University and a Ph.D. in mathematics from the University of Wisconsin-Madison. He is currently the senior dean at NIU, and a Board member and President-elect of the Council of Colleges of Arts & Sciences.

**Recommendation:** The President recommends that Dr. Christopher K. McCord be reappointed to a four-year term as the Dean of the College of Liberal Arts & Sciences, with the rank of professor with tenure in the Department of Mathematics, effective July 1, 2017.
REAPPOI N TMENT OF DEAN, GRADUATE SCHOOL

Dr. Bradley G. Bond was appointed as the dean of NIU’s Graduate School on July 1, 2011. Per Article 19.3.2 of the NIU By-laws, Dean Bond served an initial term of six years, renewable for additional terms of four years each. In 2016, Bond underwent an end-of-term evaluation.

In accordance with NIU Bylaws Article 19.3, after the Dean and the Executive Vice President & Provost agreed on the feasibility of an additional term, the Executive Vice President & Provost began the evaluation process according to prior precedent including: the evaluation format, the time-lines for distributing evaluation forms and collecting feedback; and the consultative process to be used. As dictated by shared governance, the confidentiality of faculty and staff input was guaranteed, and the review, consultation and resultant reappointment decision were completed no later than six weeks subsequent to the beginning of the fall semester of the last year of the dean’s term of service.

The end-of-term evaluation process provided for a comprehensive review of Dean Bond’s performance and professional contributions, as well as an opportunity for constructive input from faculty, staff and other constituencies. On the basis of this feedback, the Executive Vice President & Provost recommended reappointment of Dean Bond for a second term of four years duration.

Bond holds a Ph.D. in history from Louisiana State University. His research specialties include the history of the American South, race relations and education.

**Recommendation:** The President recommends that Dr. Bradley G. Bond be reappointed to a four-year term as the Dean of the Graduate School, with the rank of professor with tenure in the Department of History, effective July 1, 2017.
APPOINTMENT OF ACTING VICE PRESIDENT AND GENERAL COUNSEL

The position of Vice President and General Counsel is important to the University and the Board of Trustees since the General Counsel provides vital input into the workings of the Board and supports the consideration of issues crucial to the development, policies and operations of NIU. Mr. Gregory A. Brady would bring broad experience to the position; he currently serves as the Deputy General Counsel for the University Legal Services division and has served on the legal staff since 2002 as University Counsel, Assistant University Counsel and as an Assistant to the Corporation Counsel.

Mr. Brady earned his B.S. and J.D. degrees from Northern Illinois University. He is currently a member of the Advisory Counsel for the Department of Political Science. In addition, he has been recognized with the Supportive Professional Staff Certificate of Recognition in 2004, 2010 and a Supportive Professional Staff Award for Advocacy in 2014. He is a member of the Illinois State Bar Association, the DeKalb County Bar Association, and the National Association of College and University Attorneys.

Recommendation: The University requests Board of Trustees approval of the appointment of Mr. Gregory A. Brady as the Acting Vice President and General Counsel effective immediately.
AUTHORIZATION TO RETAIN AN EXECUTIVE SEARCH FIRM
FOR THE APPOINTMENT OF VICE PRESIDENT FOR
ENROLLMENT MANAGEMENT & MARKETING AND COMMUNICATIONS

Summary:  Pursuant to the provisions of Public Act 97-0814 and the Northern Illinois University policy on the use of external search firms approved by the Board of Trustees on May 23, 2013, it is recommended that the Board authorize the President to retain such executive search firms to assist in the search for a Vice President for Enrollment Management & Marketing and Communications as may be necessary. Due to the critical roles and functions that a Vice President for Enrollment Management & Marketing and Communications fulfills at the university, there is a justifiable need to use a search firm for such a position, per paragraph (2) of the university’s policy. The Illinois Procurement Higher Education Consortium annually establishes a list of search firms prequalified through a competitive bidding process. It is recommended that the firm be selected from this list.

Recommendation:  It is recommended that the President be authorized to select an executive search firm for the search for a Vice President for Enrollment Management & Marketing and Communications and that such selection be from this list of prequalified search firms. It is further recommended that the President periodically report back to the Board regarding the selection and status of such searches.
Pursuant to the Northern Illinois University Law, as amended by Illinois Public Act 97-814, it is the policy of Northern Illinois University that charges for the services of an external hiring search firm may not be paid from any source of university funds, except:

(1) In the hiring of the President of the University, or
(2) In the case of when the Board of Trustees and the President demonstrate a justifiable need for guidance from an individual or firm with specific expertise in the field of hiring.

In furtherance of this authority, the President may implement this policy through the development of further guidelines and procedures for determining when the use of an external hiring search firm is justified. The Board and/or the President may delegate their respective responsibilities under this policy to appropriate university officials as deemed necessary.

Any NIU hiring department or entity that wishes to utilize the services of an external hiring search firm must make a request to the Office of the President for appropriate review under this policy before engaging the services of such search firm. In determining whether a request qualifies for the justified use of an external hiring search firm, the President will consider the following applicable criteria:

- The nature and scope of the position being filled (e.g., senior level versus non-senior level);
- The nature and scope of the search (e.g., nationwide versus local or regional);
- The university’s goals and priorities surrounding the search;
- The availability of personnel, monetary resources and other university resources to dedicate to the search;
- An external search firm’s ability to help recruit and screen candidates;
- An external search firm’s ability to enhance the quality of a candidate pool;
- An external search firm’s ability to help conduct and coordinate the logistics of a search;
- The expertise/specialization of an external search firm in conducting searches
- An external search firm’s ability to bring fresh and new perspectives from outside of the university.

Northern Illinois University understands that the determination of whether a search is justified in using the services of an external hiring search firm depends upon the unique circumstances of the search being conducted. The President is not prohibited from considering other factors that may be deemed relevant and important for a search.

Upon completing a review, the President will determine whether justifiable need exists for the selection of an external hiring search firm and shall make a recommendation to the Board of Trustees for final action on the matter at any regular or special meeting of the full Board of Trustees. In its consideration of the matter, the Board will consider the above-referenced applicable criteria, as well as any other factors that may be deemed relevant and important. The Board’s decision will be final.
AUTHORIZATION TO RETAIN AN EXECUTIVE SEARCH FIRM FOR THE APPOINTMENT OF GENERAL COUNSEL

Summary: Pursuant to the provisions of Public Act 97-0814 and the Northern Illinois University policy on the use of external search firms approved by the Board of Trustees on May 23, 2013, it is recommended that the Board authorize the President to retain such executive search firms to assist in the search for a General Counsel as may be necessary. Due to the critical roles and functions that a Vice President and General Counsel fulfills at the university, there is a justifiable need to use a search firm for such a position, per paragraph (2) of the university’s policy. The Illinois Procurement Higher Education Consortium annually establishes a list of search firms prequalified through a competitive bidding process. It is recommended that the firm be selected from this list.

Recommendation: It is recommended that the President be authorized to select an executive search firm for the search for a General Counsel and that such selection be from this list of prequalified search firms. It is further recommended that the President periodically report back to the Board regarding the selection and status of such searches.
Pursuant to the Northern Illinois University Law, as amended by Illinois Public Act 97-814, it is the policy of Northern Illinois University that charges for the services of an external hiring search firm may not be paid from any source of university funds, except:

(3) In the hiring of the President of the University, or
(4) In the case of when the Board of Trustees and the President demonstrate a justifiable need for guidance from an individual or firm with specific expertise in the field of hiring.

In furtherance of this authority, the President may implement this policy through the development of further guidelines and procedures for determining when the use of an external hiring search firm is justified. The Board and/or the President may delegate their respective responsibilities under this policy to appropriate university officials as deemed necessary.

Any NIU hiring department or entity that wishes to utilize the services of an external hiring search firm must make a request to the Office of the President for appropriate review under this policy before engaging the services of such search firm. In determining whether a request qualifies for the justified use of an external hiring search firm, the President will consider the following applicable criteria:

- The nature and scope of the position being filled (e.g., senior level versus non-senior level);
- The nature and scope of the search (e.g., nationwide versus local or regional);
- The university's goals and priorities surrounding the search;
- The availability of personnel, monetary resources and other university resources to dedicate to the search;
- An external search firm's ability to help recruit and screen candidates;
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Northern Illinois University understands that the determination of whether a search is justified in using the services of an external hiring search firm depends upon the unique circumstances of the search being conducted. The President is not prohibited from considering other factors that may be deemed relevant and important for a search.

Upon completing a review, the President will determine whether justifiable need exists for the selection of an external hiring search firm and shall make a recommendation to the Board of Trustees for final action on the matter at any regular or special meeting of the full Board of Trustees. In its consideration of the matter, the Board will consider the above-referenced applicable criteria, as well as any other factors that may be deemed relevant and important. The Board's decision will be final.
WHISTLEBLOWER POLICY

University's Commitment

Northern Illinois University strongly encourages the good faith reporting of suspected illegal or other wrongful conduct. It is vital to the establishment and maintenance of public trust that the University act ethically, as well as legally, in all of its dealings (whether academic, business, athletic, or otherwise), and this Policy protects anyone who reports a suspected ethical or legal violation in good faith.

In addition to complying with applicable federal, state, and local laws and with University rules, regulations, policies, and procedures, the University and its personnel must abide by the Illinois State Officials and Employees Ethics Act (Ethics Act). Anyone who violates applicable ethics requirements while acting in the capacity of a State employee, which includes University employees, may face significant consequences, up to and including discipline and/or termination. University personnel are required to receive and complete ethics training annually and at the time of hire. Additionally, University personnel are required to report what they reasonably believe to be violations of law or the University’s own rules, regulations, policies, and procedures so that appropriate corrective action can be taken to address substantiated violations.

Although the University has developed and implemented internal controls and procedures that will deter and prevent illegal and other wrongful conduct, it is also essential that individuals bring instances of suspected unlawful or other wrongful conduct to the attention of an institutional or State representative with the power to take action. Making such a report can be difficult to do, and this Policy is in place to protect individuals who do so. Any employee who, in good faith, reports a suspected or alleged legal or ethical violation will be protected from retaliation under this Whistleblower Policy. An employee acts in "good faith" when he or she reports conduct that the employee reasonably believes to be a violation of law, rule, regulation or policy.

Below, the University explains what constitutes protected activity, the kinds of conduct that can be reported, and the protection that a Whistleblower will receive for a good faith report of illegal or wrongful conduct. Any questions about this Policy may be directed to the University Ethics Officer.

What is Protected Activity That Triggers Whistleblower Protection?

This Policy prohibits taking, or threatening to take, any retaliatory action (as defined below) against an employee or student who engages in protected activity (which includes reporting, assisting, or participating in an investigation of alleged wrongdoing). “Protected activity” occurs when an employee or student:

- reports or threatens to report, in good faith, an act or omission believed to be (i) a violation of law, rule, regulation, policy, or procedure; or (ii) fraud, waste, abuse, mismanagement, or other wrongful conduct;

- provides information or testifies in good faith about any alleged or suspected violation of law, regulation, policy; or procedure, including but not limited to alleged or suspected violations of the Ethics Act, the Illinois Freedom of Information Act, harassment or sexual misconduct;
- assists or participates in an investigation into any alleged or suspected violation of the Ethics Act or a proceeding to enforce the Ethics Act: or
- assists or participates in an investigation or other proceeding regarding an alleged or suspected violation of this policy or other University rule, regulation, policy or procedure.

These descriptions of protected activity reflect and are intended to effectuate the promises and protections afforded under several Illinois state laws protecting whistleblower activity. See State Officials and Employees Ethics Act. 5 ILCS 430/1-1 et seq.; Illinois Whistleblower Act, 740 ILCS 174/1 et seq.

What is A Report of Wrongful Conduct?

For purposes of this policy, a report of wrongful conduct includes but is not necessarily limited to a report about:

- A serious violation of University rule, regulation, policy, or procedure;
- A violation of applicable federal, state, or local law;
- Embezzlement or other financial irregularities; and/or
- Use of University property, resources, or authority for personal gain or other non-University related purpose, except as provided under University policy.

Specific examples of wrongful conduct covered under this policy include but are not limited to:

- Fraud, waste, abuse, mismanagement;
- Theft, misappropriation, misapplication, destruction, removal, or concealment of University resources including intellectual property;
- Violation of revolving door policies and prohibitions;
- Forgery, falsification, or alteration of documents;
- Improprieties/misrepresentation in the handling or reporting of money or financial transactions;
- Authorizing or receiving payment for goods not received or services not performed;
- Misuse of University facilities, such as telephones, e-mail systems and computers;
- Intentional, knowing, or reckless violation of the Illinois Procurement Code;
- Accepting or offering bribes, kickbacks, or rebates;
- Failure to report actual or perceived abuse or neglect of a child/minor;
- Violations of the Illinois Ethics Act; and/or
- Actions related to concealing or perpetuating the above-mentioned activities.

Penalties

There are several penalties for noncompliance with the laws and policies governing University operations (such as the Ethics Act, the Procurement Code, and University policies prohibiting conflict of interest and revolving door violations). Penalties for violation may include misdemeanor criminal charges, personal fines, and discipline, up to and including termination/discharge. If employees have any questions about these obligations, the University's Ethics Officer is available to address them. Please consult the following Policy for further information about the Ethics Officer and the requirements of the Ethics Act: http://www.niu.edu/hrs/resources/forms_docs/other/2013%20Policy%20Manual.pdf (at p. 20)

What is Retaliatory Action?

The Ethics Act defines retaliatory action as a reprimand, discharge, suspension, demotion, denial of promotion or transfer, or change in the terms or conditions of employment of any State employee, which is taken in retaliation for involvement in protected activity. It is not a violation of the Ethics Act if the employer can demonstrate, by clear and convincing evidence that the same personnel action would have
been taken even in the absence of the protected activity.

For purposes of this Policy, a **retaliatory action** is even broader than the legal prohibitions set forth in the Ethics Act. **Retaliatory Action** at Northern Illinois University includes the above definition of retaliatory action in the Ethics Act but also includes any other materially adverse action (including substantiated threats of adverse action) that would dissuade a reasonable person from engaging in **protected activity** as defined above.

**How Do I Report Emergency Situations?**

In the event of an emergency situation requiring an immediate police response, you should contact the Illinois State Police or the county, municipal or campus police agency that can provide the fastest response (for example, by dialing 911). Examples of emergency situations include those that involve the illegal use or possession of a weapon, bodily injury or threat of bodily injury, or other threat to the campus community.

**How Do I Report Suspected or Allegedly Illegal or Other Wrongful Conduct Within the University?**

As a State employee, it is your duty to report violations of the law or of University rules, regulations, policies, or procedures by another officer, employee or vendor of the State relating to State/University business. The University provides several options for employees to report suspected wrongdoing, including an option independent of the University (Ethics Point). Others are also strongly encouraged to report suspected unlawful or other wrongful conduct. The University provides below multiple channels that may be used to make a report. An Ethics or Whistleblower complaint can be made through any of the following channels:

- **Ethics Point**: Ethics Point is a comprehensive and confidential reporting tool which can receive anonymous complaints and forward them to the University in a manner that preserves anonymity.
- The Office of the Executive Inspector General may be contacted with complaints by mail, via its toll-free Hotline at 866-814-1113, or toll-free via a Telecommunications Device for the Deaf (TDD) at 888-2612734. For additional information about OEIG, what OEIG does, and how to contact OEIG visit its web site at: [www.inspectorgeneral.illinois.gov](http://www.inspectorgeneral.illinois.gov).
- E-mail [NIUEthicsOfficer@niu.edu](mailto:NIUEthicsOfficer@niu.edu)
- Call **855-544-6655** and leave message for the Northern Illinois University Ethics Officer.
- Complete the Ethics Complaint Form (obtained from the Compliance Administration website at [http://www.compliance.niu.edu/](http://www.compliance.niu.edu/) or Human Resource Services).
- Contact the Office of the President at [insert phone number and e-mail address –to be established].
- Contact the Chair of the Board of Trustees at [insert phone number and e-mail address –to be established].

Anonymous complaints will be accepted but should contain sufficient information to allow for follow-up inquiries and investigation as appropriate.

**How Does the University Investigate Complaints?**

The nature, scope, and extent of the allegations raised in a particular complaint will determine who will investigate the complaint and what process or procedure will be used to investigate a particular complaint. The University has a variety of options available to investigate complaints. The Department of Police and Public Safety may be called upon to investigate employee conduct that could constitute criminal law violations. The University Human Resources Department is authorized to investigate complaints involving employee related issues, such as Affirmative Action and Diversity Resource matters,
sexual harassment, and bullying, among others. The Ethics Office has specific authority to investigate ethics violations. Similarly, the Office of General Counsel has responsibility to investigate matters pertaining to legal, regulatory, and ethical compliance. Regardless of the type of allegation or complaint raised under this Policy, the University will address the allegation or complaint.

**How Does the University Address False Reports?**

Individuals who report unlawful or other wrongful conduct in good faith shall be protected under this Policy from *retaliatory action* even if the report is found upon investigation to be mistaken or unsubstantiated. This protection, however, does not cover knowingly false or fabricated reports. It also does not preclude supervisors or administrators from taking action, including disciplinary action, in the usual course of their duties and based upon valid factors, including performance-related factors. Knowingly making false or fabricated reports undermines the University’s effort to reduce if not eliminate wrong doing and as such, the University will take necessary action to discipline persons who make such reports.
GRANT AND CONTRACT AWARDS

ANTHROPOLOGY

Award: $71,345.00. (Cumulative $229,058.00).

This project studies the long-term social, cultural, and political impact of international NGO aid in eight rural areas in Haiti, and includes a field school for U.S. graduate students and a NGO Summer Institute and research methods seminar.

BIOLOGICAL SCIENCES

Department of Agriculture for "Identification of Regulatory Genes in A. Flavus and A. Nidulans that are Involved in Mycotoxin Production, Morphogenesis, and Virulence." Ana Calvo.
Award: $30,000.00. (Cumulative $120,703.00).

The purpose of this project is to further the understanding of the signaling pathways involved in the production of mycotoxins, and fungal development and the role of VeA in fungal virulence.

National Science Foundation for "The ecosystem consequences of management disturbances: examining the community–trait–function relationships of consumers in a restored grassland." Holly Jones/Nicholas Barber.
Award: $200,000.00.

To identify management disturbance effects on species in a restored grassland and to measure changes in the ecosystem function.

Award: $111,002.00.

To develop a model that will allow partners to identify and prioritize conservation actions and could guide recovery throughout the Blanding's Turtle habitat range in the Chiwaukee Illinois Beach Lake Plain.

CENTER FOR BURMA STUDIES

Asia Foundation for "2016 Burma Studies Conference." Catherine M. Raymond.
Award: $8,000.00.
August 8, 2016 - October 31, 2016. (Other Sponsored Activities) Conference.

Funds will be used to support the 2016 International Burma Studies Conference, hosted at Northern Illinois University.
CENTER FOR SOUTHEAST ASIAN STUDIES

Department of State for "Leading and Organizing for Change in Southeast Asia." Judy L. Ledgerwood/Nancy M. Castle.
Award: $240,000.00. (Cumulative $463,885.00).
September 1, 2016 - August 31, 2017. (Other Sponsored Activities) Public Service.

Participants from SE Asia will learn about civic engagement in the US through the study of US history through written resources, visits to historical sites, hands-on volunteering and civic activism, and interaction with inspiring civic leaders.

Department of Education for "FY2014 Title VI National Resource Centers and Foreign Language and Area Studies Fellowships Program." Judy L. Ledgerwood.
Award: $237,660.00. (Cumulative $1,507,980.00).
August 15, 2016 - August 14, 2017. (Instructional Programs) Instructional Programs.

Funds will continue to be used to support the Center for Southeast Asian Studies.

CENTER FOR THE STUDY OF FAMILY VIOLENCE AND SEXUAL ASSAULT

Winnebago County Regional Planning & Development for "Winnebago County Adult Drug Court Program." Julie L. Crouch.
Award: $45,500.00.
June 1, 2016 - September 30, 2018. (Other Sponsored Activities) Public Service.

The Winnebago County Adult Drug Court Enhancement Project will expand access to evidence-based residential, intensive outpatient, and MAT substance use treatment and enhanced intensive case management/recovery coach support services for 150 offenders.

COLLEGE OF HEALTH AND HUMAN SCIENCES, DEAN'S OFFICE

Northwestern University for "Midwest Consortium: Accelerating the Dissemination and Implementation of PCOR Findings into Primary Care Practice." James R. Ciesla.
Award: $283,635.00. (Cumulative $569,076.00).

NIU will work with Northwestern to conduct interventions in 35 small medical practices in northern Illinois designed to improve the use of health information technology in order to improve practice quality and enhance patient-centered approaches to care.

COUNSELING, ADULT AND HIGHER EDUCATION

Substance Abuse & Mental Health Services Administration for "Building Suicide Awareness and Fostering Enhanced Resilience (B-SAFER) at Northern Illinois University." Brooke Ruxton/Carrie Kortegast/Suzanne Degges-White.
Award: $102,000.00.

The major goal of the project is to develop connections between mental health providers on- and off-campus; provide training for the community; and promote the reduction of stigma, an increase in help-seeking, and enhanced resilience.

DIVISION OF RESEARCH AND INNOVATION PARTNERSHIPS, VP'S

Illinois Manufacturing Extension Center for "Regional Host Organization for Illinois Manufacturing
Excellence Center." Gerald C. Blazey/Anne C. Kaplan.  
Award: $1,584,350.00.  
The purpose of this project is to perform research services directed toward increasing the productivity and global competitive advantage of regionally-based manufacturing and technology-related firms.  

**ENGINEERING TECHNOLOGY**

Award: $68,437.00.  
August 16, 2016 - August 15, 2017. (Organized Research) IPA or Joint Appointment.  
Technical consulting and project development for the launch of a technology development and investment firm that will improve the model for developing and advancing early stage technological innovations to be translated into marketable products.  

**FAMILY, CONSUMER AND NUTRITION SCIENCES**

Award: $10,312.00. (Cumulative $40,260.00).  
Four graduate students will, under the supervision of NIU faculty, offer nutrition education, needs assessment, and grant writing assistance to the Northern Illinois Food Bank.  

**GEOLOGY AND ENVIRONMENTAL GEOSCIENCES**

National Science Foundation for "Collaborative Proposal: REU Site: Water Quality and Tourism in the Riviera Maya, Mexico." Melissa E. Lenczewski.  
Award: $139,297.00.  
Funds will be used to engage Latino/as and other students in research projects that link history and culture of the Yucatan Peninsula to modern water issues to develop and encourage those interested in water sciences to be our next leaders in the field.  

**GRADUATE SCHOOL, DEAN’S OFFICE**

Waubonsee Community College for "Support for Graduate Assistants." Bradley G. Bond.  
Award: $21,500.00.  
Funds will be used to provide graduate assistantships to two students from NIU's student life unit who will provide support to Waubonsee Community College consistent with their expertise and training goals, under the direction of the Dean for Students.  

**ILLINOIS COUNCIL ON ECONOMIC EDUCATION**

Econ Illinois for "Illinois Council Operation Grant." Nancy H. Harrison.  
Award: $34,335.00. (Cumulative $68,611.00).  
July 1, 2016 - June 30, 2017. (Instructional Programs) Instructional Programs.  
Funding is being used to support outstanding teacher training and innovative economic education
programs for students.

**INDUSTRIAL AND SYSTEMS ENGINEERING**

Elgin Industries for "Implementing 5S and Lean Manufacturing at Elgin Industries."
Purushothaman Damodaran/Omar A. Ghrayeb.
Award: $28,524.00.

This project implements a company-wide organization program based on lean manufacturing principles. The objective is to organize workstations/ departments/ assembly lines to reduce wasted time and effort.

Woodward, Inc. for "Building a Manufacturing Information System for Woodward." Purushothaman Damodaran.
Award: $11,747.00.

This project will create standard work procedures for machines and processes at the new Woodward facility in Rockford, to ensure that it can safely and effectively begin to operate at full capacity by 2020.

**INTERNATIONAL TRAINING OFFICE**

Department of State for "PYLP 2015: Engaging Young Advocates in Environmental Preservation and Peacebuilding." Susan Russell/Lina D. Ong.
Award: $249,991.00. (Cumulative $499,863.00).

Exchange program bringing teenagers and adult educators from the Philippines to the U.S. for exposure to/training in civic education, leadership, diversity, and community engagement, and preparation to conduct projects at home that serve a community need.

**MECHANICAL ENGINEERING**

New York University for "Causal Relationships Underlying the Collective Dynamic Behavior of Swarms."
Sachit Butail.
Award: $22,676.00.

The major goal of this project is to quantify social behavior in animal groups using information-theoretic methods.

Fermi National Accelerator Laboratory for "Engineering Design Support for Muon g-2 Tracker." Nicholas Pohlman.
Award: $10,195.00. (Cumulative $27,835.00).

Funds will be used to mentor the undergraduate and graduate design students while building a new experiment to improve the accuracy of measuring the magnetic moment of the muon particle, referred to as the g-2 state.

Cristal Metals for "Irregular Titanium Powder Build Analysis." Federico Sciammarella.
Award: $10,563.00.

This project will determine the type of microstructure and mechanical properties which can be achieved
with titanium powder, for use in direct energy deposition.

**MUSIC**

Award: $45,550.00.
September 1, 2016 - March 01, 2018. (Organized Research) Basic Research.

Funds will be used to support the PI in research performed as a Fulbright Scholar in Yogyakarta, Indonesia at Gadjah Mada University (UGM).

**NURSING**

Illinois State Board of Education for "Youth Risk Behavior Survey-ISBE IGA." Arlene Keddie.
Award: $9,641.00. (Cumulative $28,923.00).
July 1, 2016 - June 30, 2017. (Other Sponsored Activities) Public Service.

Funds will be used to undertake work that is designed to make the Youth Risk Behavior Survey and Profiles more user-friendly and easier to disseminate.

**PHYSICS**

Award: $80,000.00.
April 1, 2016 - February 28, 2017. (Organized Research) Basic Research.

Simulation of collisions between strong and a weak proton beams in the High Luminosity Large hadron Collider at CERN to determine whether a wire compensator will mitigate the performance-degrading effects of long-range beam-beam interactions.

Award: $190,000.00. (Cumulative $370,000.00).
August 1, 2016 - July 31, 2017. (Organized Research) Basic Research.

The proposed project will progress theoretical understanding and experimental development of "ultra-cold" relativistic electron beams at its fundamental limit via field-emission from structured nano-materials and subsequent efficient acceleration.

Fermi National Accelerator Laboratory for "Joint Appointment NIU/FNAL." Swapan Chattopadhyay.
Award: $138,951.00. (Cumulative $418,902.00).
September 1, 2016 - August 31, 2017. (Other Sponsored Activities) IPA or Joint Appointment.

Funds are being used, per the Memorandum of Understanding between NIU and FNAL, to support one-half of the PI’s 9-month academic salary as a joint faculty appointee of the Department of Physics and the Fermi National Accelerator Laboratory.

Ion Beam Applications, SA for "Proton Imaging Grant Agreement -Coutrakon/Bertrand." George Coutrakon.
Award: $11,310.00.
This project tests the range accuracy of the proton tomography system to determine the quantitative reduction in proton range uncertainties.

Award: $50,000.00. (Cumulative $261,000.00).

Funds are requested to continue the development of PHAD with large-scale parallelization on hybrid architecture computer clusters, enhancing the performance and fidelity of electron cooling simulations.

Award: $378,911.00. (Cumulative $767,656.00).
September 1, 2016 - August 31, 2017. (Organized Research) Basic Research.

Funds are requested to develop a Laser-Electron-Interaction region for Compact High-Repetition-Rate Mono-Energetic Laser-Based Gamma Sources.

Department of Energy for "R&D toward a proof-of-principle experiment on optical stochastic cooling at the Fermilab's IOTA ring." Philippe R. Piot.
Award: $70,000.00. (Cumulative $140,000.00).
May 1, 2016 - April 30, 2017. (Organized Research) Basic Research.

The main goal of the proposed research is to finalize the design of a proof-of-principle experiment on optical stochastic cooling to be carried at a compact storage ring in construction at Fermilab.

Award: $129,000.00.
September 15, 2016 - September 14, 2017. (Organized Research) Basic Research.

The major goal is to theoretically investigate X-ray spectroscopy and scattering on nonequilibrium and decaying systems.

Award: $280,000.00. (Cumulative $560,000.00).
August 1, 2016 - July 31, 2017. (Organized Research) Basic Research.

The major goal is to search for the coherent neutrino-less conversion of muons to electrons in the presence of a nucleus, and aims to achieve sensitivity of about 2 x 10^{-17} on the muon-to-electron rate.

Award: $170,000.00. (Cumulative $340,000.00).
August 1, 2016 - July 31, 2017. (Organized Research) Basic Research.

The major goal is to search for new laws of physics that may manifest themselves at such extremely high energies as were characteristic of the universe shortly after the Big Bang.

POLITICAL SCIENCE

Charles G. Koch Charitable Foundation for "Justice and Democracy: The American Experiment." Andrea
Radasanu/Matthew J. Streb.
Award: $13,000.00.

This award supports the continuation of the undergraduate fellowship program, a special undergraduate spring seminar, and a speaker series.

PSYCHOLOGY

KishHealth System for "Psychology Externship with KishHealth System." Leslie Matuszewich/Michelle Demaray/Christine E. Malecki.
Award: $6,613.00.
August 16, 2016 - August 15, 2017. (Instructional Programs) Instructional Programs.

Funds will be used for a graduate psychology student externship at KishHealth System.

Illinois Department of Children and Family Services for "Integrated Assessment Program FY17." Laura D. Pittman/Matthew Skarbek.
Award: $6,521,041.00.
July 1, 2016 - June 30, 2017. (Other Sponsored Activities) Public Service.

Funds support the Integrated Assessment Program, which provides clinical screening and assessment services to children under the care of DCFS. This proposal is for the northern, central, and southern IA program regions per DCFS's request to expand.

Award: $13,227.00.
August 16, 2016 - May 31, 2017. (Instructional Programs) Instructional Programs.

The goal of the project is to support a psychology graduate student externship placement with the Homer Community Consolidated School District.

American Psychological Association for "Project Diversify School Psychology." Christine E. Malecki/Nicole Dorio/Kelly Clark.
Award: $8,212.00.
August 1, 2016 - July 31, 2018. (Other Sponsored Activities) Public Service.

This project will create and disseminate program recruitment materials, provide financial incentives to ethnic minority candidates to attend Interview Day, and host a virtual conference to promote diversity in the field of school psychology.

Award: $422,436.00. (Cumulative $940,901.00).

This project proposes to develop and test a model that specifies the literacy and self-regulation (e.g., motivation and metacognition) skills and processes necessary to become a proficient academic reader in a sample of development education students.

American Psychological Foundation for "Cross-Lagged Relations between Behavioral Inhibition and Inhibitory Control during Early Childhood: Predicting Subsequent Internalizing and Externalizing Problems." Jacob Holzman/David Bridgett.
Award: $20,000.00.

The PI will examine the relationship between behavioral intervention and inhibitory control and the influence of parenting behaviors on that relationship.

School District # 428, DeKalb Community Unit for "Psychology Externship at DeKalb CUSD 428." Leslie Matuszewich/Michelle Demaray/Christine E. Malecki.
Award: $17,977.00. (Cumulative $35,954.00).
August 16, 2016 - May 31, 2017. (Instructional Programs) Instructional Programs.

This project supports a graduate psychology student externship at the DeKalb Community Unit School District 428.

Department of Education for "Project Prevent and Address Bullying (PPAB) for Students with Disabilities: A Multitiered Behavioral Framework," Christine E. Malecki/Julia Ogg/Michelle Demaray.
Award: $241,670.00. (Cumulative $480,731.00).
September 1, 2016 - August 31, 2017. (Instructional Programs) Instructional Programs.

The proposed project will prepare 24 highly-qualified, licensed school psychologists with specialized training (a) emphasizing prevention of bullying, especially with students with disabilities; and (b) improving student outcomes by decreasing bullying.

PUBLIC ADMINISTRATION

DeKalb Chamber of Commerce for "Administrative Intern (Elizabeth Garcia)." Denise Burchard.
Award: $16,170.00.
August 16, 2016 - May 15, 2018. (Instructional Programs) Instructional Programs.

Village of Glen Ellyn for "Planning Intern (Christiana Cabrera)." Denise Burchard.
Award: $16,170.00.
August 16, 2016 - May 15, 2018. (Instructional Programs) Instructional Programs.

Village of Algonquin for "Management Intern (Ian Respeto-Irizarry)." Denise Burchard.
Award: $16,170.00.
August 16, 2016 - August 15, 2018. (Instructional Programs) Instructional Programs.

Village of Hoffman Estates for "Administrative Intern (Jordan Lester)." Denise Burchard.
Award: $24,780.00.
August 16, 2016 - May 15, 2018. (Instructional Programs) Instructional Programs.

Village of Hoffman Estates for "Administrative Intern (Jordan Lester)." Denise Burchard.
Award: $24,780.00.
August 16, 2016 - August 15, 2017. (Instructional Programs) Instructional Programs.

The purpose of this project is to provide a valuable experiential learning opportunity to a graduate student in NIU's MPA program.

Invest Aurora for "Invest Aurora Intern (Gladys Sanchez)." Denise Burchard.
Award: $16,170.00.
August 16, 2016 - August 15, 2017. (Instructional Programs) Instructional Programs.
The purpose of this project is to provide a valuable experiential learning opportunity to a graduate student in NIU's MPA program.

City of Yorkville for "City of Yorkville Internship." Denise Burchard. 
Award: $910.00. (Cumulative $28,210.00). 
July 1, 2016 - May 15, 2017. (Instructional Programs) Instructional Programs.

The purpose of this project is to provide a valuable experiential learning opportunity to an NIU graduate student, who will work with the Yorkville City Administrator on administrative projects.

Village of Algonquin for "Management Intern (Esperanza Castellanos)." Denise Burchard. 
Award: $16,170.00. 
August 16, 2016 - August 15, 2018. (Instructional Programs) Instructional Programs.

The purpose of this project is to provide a valuable experiential learning opportunity to a graduate student in NIU's MPA program.

SPECIAL AND EARLY EDUCATION

Department of Education for "Low Incidence Personnel Program for Training of Teachers and Orientation and Mobility Instructors of Students with Visual Impairments with Additional Training in Assistive Technology and English Language Learners." Gaylen G. Kapperman/Stacy Kelly/Jodi Sticken. 
Award: $250,000.00. (Cumulative $1,000,000.00). 
August 16, 2016 - August 15, 2017. (Instructional Programs) Instructional Programs.

The major goal of the program is to train graduate students as teachers and orientation and mobility specialists to serve the needs of blind and visually impaired children in grades P-12.

Department of Education for "Preparing the USA for the UEB: A Massively Open Online Course for Personnel Providing Vocational Rehabilitation Services or Educational Services to Youth and Adults who are Blind." Stacy Kelly/Thomas J. Smith. 
Award: $110,000.00. (Cumulative $330,000.00). 
October 1, 2016 - September 30, 2017. (Instructional Programs) Instructional Programs.

Development and implementation of a Massively Open Online Course (MOOC) for retraining service providers in the field of visual impairments in schools and rehabilitation settings on the new Unified English Braille program.

STATISTICS

AbbVie Inc. for "AbbVie Research Externship." Alan Polansky. 
Award: $53,772.00. (Cumulative $53,772.00). 

Funds support research training externships for Statistics students at AbbVie.

UNIVERSITY PRESS

University of Georgia for "Early American Places." Cara Carlson. 
Award: $15,000.00. (Cumulative $41,117.00). 

Funds will be used by the NIU Press to solicit, review and contract projects that are appropriate to the Early American Places collective series.
### SUMMARY OF GRANT/ CONTRACT AWARDS
**Office of Sponsored Projects - Fiscal Year 2017**
**July 1, 2016 - September 30, 2016**

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<th>DOLLARS AWARDED BY SOURCE</th>
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<td>State of Illinois</td>
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<td>Corporate</td>
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<td>Public/Not for Profit</td>
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<td>Foreign</td>
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<th>DOLLARS AWARDED BY PURPOSE</th>
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<td>Other Sponsored Activities*</td>
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<th>DOLLARS AWARDED BY COLLEGE</th>
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<td>College of Education</td>
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<td>College of Engineering and Engineering Technology</td>
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<td>College of Visual and Performing Arts</td>
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*Includes Student Services, Equipment, Testing/Evaluation, Joint Appointments, Conference, and other.
AUTHORIZATION TO RETAIN AN EXECUTIVE SEARCH FIRM
FOR THE APPOINTMENT OF VICE PRESIDENT OF ADMINISTRATION & FINANCE

Summary: Pursuant to the provisions of Public Act 97-0814 and the Northern Illinois University policy on the use of external search firms approved by the Board of Trustees on May 23, 2013, it is recommended that the Board authorize the President to retain such executive search firms to assist in the search for a Vice President of Administration & Finance as may be necessary. Due to the critical roles and functions that a Vice President of Administration & Finance fulfills at the university, there is a justifiable need to use a search firm for such a position, per paragraph (2) of the university’s policy. The Illinois Procurement Higher Education Consortium annually establishes a list of search firms prequalified through a competitive bidding process. It is recommended that the firm be selected from this list.

Recommendation: It is recommended that the President be authorized to select an executive search firm for the search for a Vice President of Administration & Finance and that such selection be from this list of prequalified search firms. It is further recommended that the President periodically report back to the Board regarding the selection and status of such searches.
Pursuant to the Northern Illinois University Law, as amended by Illinois Public Act 97-814, it is the policy of Northern Illinois University that charges for the services of an external hiring search firm may not be paid from any source of university funds, except:

(5) In the hiring of the President of the University, or
(6) In the case of when the Board of Trustees and the President demonstrate a justifiable need for guidance from an individual or firm with specific expertise in the field of hiring.

In furtherance of this authority, the President may implement this policy through the development of further guidelines and procedures for determining when the use of an external hiring search firm is justified. The Board and/or the President may delegate their respective responsibilities under this policy to appropriate university officials as deemed necessary.

Any NIU hiring department or entity that wishes to utilize the services of an external hiring search firm must make a request to the Office of the President for appropriate review under this policy before engaging the services of such search firm. In determining whether a request qualifies for the justified use of an external hiring search firm, the President will consider the following applicable criteria:

- The nature and scope of the position being filled (e.g., senior level versus non-senior level);
- The nature and scope of the search (e.g., nationwide versus local or regional);
- The university's goals and priorities surrounding the search;
- The availability of personnel, monetary resources and other university resources to dedicate to the search;
- An external search firm's ability to help recruit and screen candidates;
- An external search firm's ability to enhance the quality of a candidate pool;
- An external search firm's ability to help conduct and coordinate the logistics of a search;
- The expertise/specialization of an external search firm in conducting searches
- An external search firm's ability to bring fresh and new perspectives from outside of the university.

Northern Illinois University understands that the determination of whether a search is justified in using the services of an external hiring search firm depends upon the unique circumstances of the search being conducted. The President is not prohibited from considering other factors that may be deemed relevant and important for a search.

Upon completing a review, the President will determine whether justifiable need exists for the selection of an external hiring search firm and shall make a recommendation to the Board of Trustees for final action on the matter at any regular or special meeting of the full Board of Trustees. In its consideration of the matter, the Board will consider the above-referenced applicable criteria, as well as any other factors that may be deemed relevant and important. The Board's decision will be final.
LI TIGATION-GRADY V. BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY, ET. AL.

Background: On February 19, 2014, Donald Grady brought a lawsuit against the Board of Trustees of Northern Illinois University and several NIU employees in federal district court relating to his separation from employment with NIU in February of 2013. Both parties participated in mediation on November 21, 2016. As a result of that mediation, the University and Dr. Grady have reached a proposed agreement to forever resolve the issues presented by the case and end the litigation between the parties.

The settlement of these matters in no way constitutes an admission of wrongdoing on the part of the University or its employees, and the settlement agreement will state as much. Considerations weighing in favor of settlement included the financial cost of a two week trial, the inconvenience to the University's employees who were also defendants in the case, and the disruption of University operations for University employees to participate in the trial.

The settlement was entered into at the recommendation of the University's insurer. The agreement provides for Dr. Grady and his attorneys to be paid a total of $1,025,000.00. The settlement amount of $1,000,000.00 will be paid out by the University's insurance carrier and the remaining amount of $25,000.00 will be paid by the University.

As part of the agreement, Dr. Grady agrees that all claims brought against the University and the individually named defendants will be dismissed and the University agrees to withdraw its termination of Dr. Grady, in order to effect his reinstatement and immediate voluntary resignation. Upon approval of the settlement by the Board, the Parties will file a joint stipulation to dismiss the litigation and remove the case from the court's docket.

Recommendation: It is recommended that the Board authorize the settlement and associated payments as described above, and approve the proposed settlement agreement, to fully and finally resolve all claims brought by or on behalf of Donald Grady II in Donald Grady II v. Board of Trustees of Northern Illinois University, et al. (United States District Court, Northern District of Illinois).

BOARD OF TRUSTEES 2017 MEETING DATES

Following the general pattern of meeting dates for previous years and input from Board members, the following choices are offered for consideration by the Board.

February 2* (1st Thursday)
February 16 (3rd Thursday)
(2 p.m. - BOT Special Meeting following BOT Committees)
March 9 (2nd Thursday)
April 20* (3rd Thursday)
June 15 (3rd Thursday)
July 20* (3rd Thursday)
September 21 (3rd Thursday)
October 19* (3rd Thursday)
December 7 (1st Thursday)

The Northern Illinois University Law and Article III of the Bylaws of the Board of Trustees of Northern Illinois University state that the Board shall convene at least once each quarter. These dates would meet those requirements. Dates indicated with an * are additional dates to be held as needed. All Board meetings will convene in the Board of Trustees Room, Altgeld Hall 315, beginning at 9:00 a.m. unless indicated differently in future meeting notifications.

BOARD OF TRUSTEES
COMMITTEE MEETING DATES FOR 2017

Academic Affairs, Student Affairs and Personnel Committee
Compliance, Audit, Risk Management and Legal Affairs Committee
Finance, Facilities and Operations Committee
Legislation, Audit, External Affairs and Compliance Committee

February 16 (3rd Thursday)
May 18 (3rd Thursday)
August 17 (3rd Thursday)
November 16 (3rd Thursday)

All BOT Committees will convene in the Board of Trustees Room, Altgeld Hall 315, beginning at 9:00 a.m. unless indicated differently in future meeting notifications.
CLOSED SESSION MINUTES REVIEW AND RELEASE

The Illinois Open Meetings Act requires public bodies to review the minutes of their Closed Session Minutes on a semiannual basis and to determine what parts of the minutes they should make available to the public because they no longer require confidential treatment. Upon review and advice of the Board’s Parliamentarian, it is recommended that the Board approve no additional release from confidentiality of any of the previously unreleased sections of the Executive Session minutes at this time. Those sections which are still subject to confidentiality, together with sections from subsequent meetings, will be considered again in six months.
RESOLUTION

HONORING

JERRY D. BLAKEMORE

WHEREAS, Jerry D. Blakemore has faithfully served as General Counsel to Northern Illinois University since 2011; and

WHEREAS, since coming to Northern Illinois University in 2011, he has also served the university in the roles of Vice President, Parliamentarian for the Board of Trustees of Northern Illinois University, Board Liaison to the Compliance, Audit, Risk Management and Legal Affairs Committee and the Ad Hoc Committee on Governance, and General Counsel; and

WHEREAS, having served the university as the Freedom of Information Act officer, statutory ethics officer, privacy officer and Clery Act compliance coordination officer, and as risk management officer, administering the Board of Trustees Liability Self-Insurance Plan; and

WHEREAS, during his appointment as Vice President, he was an early-adaptor in using Hyland Software's OnBase technology to modernize transaction processing and performance reporting; and

WHEREAS, he has interpreted and navigated complex legal issues for the University, he also worked tirelessly on statewide initiatives, most notably the creation and adoption of Illinois Legislation related to Higher Education in the areas of Concealed Carry exemptions and Higher Education Procurement Reform, and he has contributed greatly to past and ongoing efforts to update the original Board of Trustees Bylaws, Regulations and Policies of Northern Illinois University and the NIU Constitution; and

WHEREAS, through his service with the National Association of College and University Attorneys, as Secretary, Diversity Committee Chair, Financial Committee member, and Compliance Chair, Northern Illinois University has received State and National prominence; and

WHEREAS, under his guidance, and through the Office of General Counsel, he has been an actively engaged as part of the learning community on campus to provide interns from the NIU College of Law the opportunity to gain valuable hands-on working experience; and

WHEREAS, through his participation with Alpha Phi Alpha Fraternity, he has mentored young African American men by promoting their mission of excellence and service to others; and

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Northern Illinois University in formal meeting herein assembled, extends its grateful appreciation to Jerry D. Blakemore for his nearly 6 years of distinguished service to Northern Illinois University.

BE IT FURTHER RESOLVED that this RESOLUTION be presented to Jerry D. Blakemore and a copy of this document be placed in the official files of the Board of Trustees as part of the permanent record of the university and the great State of Illinois and as a lasting tribute to the accomplishments of Jerry D. Blakemore.

Adopted in a regular meeting assembled this 15th day of December, 2016.

BOARD OF TRUSTEES OF NORTHERN ILLINOIS UNIVERSITY

Marc J. Strauss, Chair

Timothy A. Struthers, Secretary
PROPOSED APPEARANCES BEFORE THE BOARD POLICY

Context: In order to clarify that public commenters do not have to disclose non-relevant personal information including home address and telephone number, the Board of Trustees recently updated the Bylaws related to Appearances before the Board. These revision were made to comply with Binding Opinions of the Illinois Attorney General. As part of our ongoing review of Board Bylaws and in order to provide further clarity related to appearances before the Board, the Office of General Counsel is proposing the following:

1. The creation of a Policy Statement on Public Comments,
2. Development of Public Comment Procedures,
3. Development of Recording of Meetings Procedures.

Enactment of the aforementioned policy and procedures would enable the Board to provide the public guidance related to amongst other topics scope of comments, registration requirements, frequency of comments, recording and use of recordings of meetings The above proposals relate to the Board’s regular, committee or special meetings and do not apply to meetings closed pursuant to the Open Meetings Act or any gathering not defined as a meeting by the Act.

**Recommendation:**
The Ad Hoc Committee on Governance approves the Amendment of Article II Section 4 of the Bylaws of the Board of Trustees of Northern Illinois Appearances Before the Board and ask that it be forwarded to the full Board for a first reading.
SECTION 1. General

The Board of Trustees is a public body with statewide jurisdiction that shall conduct its business and execute its responsibilities in conformity with all relevant federal and state laws including the Northern Illinois University Law (110 ILCS 685/30-1 et seq.), as each law may be amended from time to time.

SECTION 2. Quorum Matters

Unless otherwise provided by applicable law, the physical presence of five (5) Members of the Board shall constitute a quorum to formally transact substantive or decisional business at open meetings of the Board of Trustees. For purposes of establishing quorum for committee meetings of the Board, a majority of the members of the committee shall constitute a quorum. As applicable with respect to full Board and committee meetings, physical presence of a quorum may be established by counting those voting Members physically present at the primary Illinois location of an open meeting or by counting voting Members physically present at simultaneously held interactive video conferencing held at the primary location of the open meeting and one or more Illinois locations in a publicly owned or leased building space, provided there is public notice and public access at all locations.

The requirement that a quorum be physically present at the location of an open meeting shall not apply, however, to committees that do not have authority to make binding recommendations or determinations or to take any other substantive action.

Special Attendance Circumstances: Once a quorum is established, action on the part of the members present may allow the physically absent members to participate by audio or video. If a quorum of the Members of the Board or specially empowered committee is physically present as required above, a majority of the Board or committee may allow a Member of that body to attend the open meeting by other means if the Member is prevented from physically attending because of: (i) personal illness or disability; (ii) employment purposes or the business of the public body; (iii) a family or other emergency; or (iv) other reasons if permitted by applicable law. “Other means” is by video or audio conference. If a Member wishes to attend a meeting by other means, the Member must notify the meeting’s Recording Secretary or Parliamentarian before the meeting unless advance notice is impractical. A majority of the Board or committee may allow a Member to attend a meeting by other means only in accordance with this provision of the Bylaws.

In a closed meeting, without limitation a quorum may be established by physical presence or audio or video conferencing.

The voting eligibility status of the Student Member shall depend on applicable law; where required, the student Member shall be counted for the purpose of determining a quorum at any meeting of the Board or any of its committees to which the Student Member is assigned. The Student Member shall not be considered a Member for the purpose of determining quorum when he or she is not entitled to vote on a measure under the law. The Student Member shall have all of the privileges of membership, including the right to make and second motions, to attend executive sessions, and to vote on all Board matters except those involving faculty tenure, faculty promotion or on any issue on which the Student Member has a direct conflict of interest.

The Board Chair or Committee Chair may temporarily appoint any Board Member physically attending a committee meeting that is not a member of the committee as a temporary member for the purposes of measuring the presence of a quorum. As provided in Article V., Section 5.A.2. of these Bylaws, at the discretion of the Chair, she or he may serve as a voting member of any Board
standing committees and shall in such instances be included as part of a committee's quorum if attending and serving as a voting member.

In the absence of a quorum, a smaller number of Board Members may meet and informally discuss business, however, any proposed actions cannot become final until formally approved or ratified by a majority of the Board present at a meeting in which a quorum exists.

SECTION 3. Rules of Order

Insofar as it is consistent with these Bylaws and applicable statutes, the current edition of Robert's Rules of Order shall serve as a guide for consideration of all business and debate in meetings of the Board or its committees. A member of the staff of the University shall serve as the parliamentarian at all meetings of the Board.

SECTION 4. Appearances Before the Board

The Board of Trustees of Northern Illinois University (“Board”) is committed to the open discussion and observation of public discussion related to the business, operational and academic affairs of the University. Except as specifically provided for in the Open Meetings Act (5 ILCS 120/1 et seq.), as currently enacted or which from time to time may be amended, the Board shall provide a period for public comment on matters pertaining to the Board at each of its meetings (regular, special, or committee). Furthermore, the Board will 1) provide opportunity for the public to observe the meeting in person, 2) from time to time as determined by the board, provide streaming of its meetings to various locations, 3) facilitate access to the Board's recording of the meeting within a reasonable period of time after the close of the meeting but not more than one week after the meeting and 4) provide an opportunity for the public to record such meetings. Such recording shall not be used for commercial purposes and any edit, revision or change in the recording is strictly prohibited.

A. Consistent with applicable law, the Board shall provide an opportunity for members of the public to address the Board at each regular or special meeting of the Board or its committees. The opportunity for public comment shall be on the approved agenda of the meeting. In lieu of oral presentations, individuals may present brief written materials not to exceed five (5) pages to the Recording Secretary for distribution and consideration by the Board in advance of the meeting.

B. Committees of the Board are authorize to 1) review University proposals for action and make adjustments and endorsements as appropriate for further consideration by the full Board and 2) to formulate policies and proposals within the jurisdiction of the Board. For those appearances that constitute formal presentations (i.e. any presentations intended to last more than five (5) minutes and utilize communication means beyond verbal presentation, including, but not limited to, PowerPoint, video, or other electronic or audio), those members of the public who wish to address the Board must register on a Board-provided request form which must be completed by the requester and provided to the President, the Board Liaison or their designee at least 24 hours prior to the scheduled start of the Board meeting. To be recognized, the appearance request must include the name of the individual wishing to speak, a method of contacting the requester (whether by phone, e-mail, or other means), the anticipated length of the presentation, and the communication means (beyond verbal presentation) that the requester will use in the presentation. This information is necessary so that the University has enough time and information to reasonably attempt to accommodate the formal presentation request. The requester may, but is not required to, provide the following information on the Board-provided request form: address, position, name of any organization or group represented, concise summary of the presentation, whether the requester has appeared earlier on the topic before any other meeting of the Board, and any other pertinent information that the requester would like to provide on the form to inform the Board as to the content or purpose of the public comment. All requests received by the President or Board Liaison or their designee shall be
forwarded to the Board’s Recording Secretary. While not required, written copies of the presentation are welcomed and encouraged by the Board.

C. Members of the Public are allowed to register up to the time posted as the beginning of the meeting that they wish to speak. The Board will allot five (5) minutes for each speaker and allocate not less than ten (10) minutes for public comment for each meeting. Within the discretion of the Chair, Committee Chair or Presiding Officer an additional 5 minutes may be added for public comment.

Advance registration will open on the Monday preceding the Board meeting at 8:00 a.m. and shall remain open until the start of the meeting. Registrations should be made with the Board Liaison or Designee by visiting www.niu.edu/board/forms, by calling 815-753-1273 or in person at the Office of the President, Altgeld Hall, Room 300.

D. When called by the Board Chair, Committee Chair or Presiding Officer, a speaker should proceed promptly to the microphone area where they will have up to five (5) minutes to present their remarks and materials to the Board. Speakers may distribute materials to the Board prior to the meeting by providing such material to the Board Liaison or their Designee. Speakers shall end their presentation upon the request of the Board Chair, Committee Chair or Presiding Officer when their time is up to allow the next speaker to begin. All public presentations should be limited to issues of jurisdiction of the Board. Comments of a personal nature directed towards individual Board members, employees of the University or any other individual are not permitted.

E. While requesters who properly register to appear before the Board pursuant to these Bylaws will be provided a reasonable opportunity to address the Board, as required by law, they are not guaranteed any specifically allotted amount of time or any particular means of communication with the Board beyond verbal communication. The time references mentioned in this Section are just for informational and planning purposes only. When feasible, the Chair, Committee Chair or Presiding Officer will attempt to at least provide five (5) minutes for each requester to address the Board. However, the scheduling of such appearances in relation to (a) the meeting agenda, (b) the number of requests for appearances, and (c) other relevant University interests is at the discretion of the Chair, Committee Chair or Presiding Officer, who may delay or defer appearances when appropriate, and defer or refer questions received from presenters for answers if available. The Recording Secretary shall confer with registered speakers to cooperatively assist the Chair, Committee Chair or Presiding Officer in assuring coordinated issue presentation and an efficient use of allocated time. The Recording Secretary shall acquaint requesters with the generally accepted procedures and decorum for presentations before the Board.

F. Northern Illinois University values civility, collegiality, diversity and inclusion and adherence to these principles is critical to the conducting of public affairs. This forum should not be used to make statements of a personal nature, to defame or make false or misleading statements. Unsolicited comments and disruptive behavior are prohibited. The Chair, Committee Chair or Presiding Officer has the authority to remove persons who are disruptive or otherwise exhibits conduct that substantially interferes or materially disrupts the conduct of the meeting. Individuals whose conduct constitutes a material disruption to the meeting as determined by the presiding officer may be removed from the meeting. If any individual is removed from a meeting as a result of disruptive behavior, then the individual may forfeit their right of reentry to future meetings of the Board. Speaker registration must be made during the advance registration period, by the individual who will make the presentation.

G. Individuals with a physical impairment that substantially limits one or more major life activity who require special accommodations, auxiliary aid and/or other services to participate in a Board meeting are encouraged to notify the Director of Special Events at least one (1) business day prior to the meeting to request the accommodation, auxiliary aid and/or other service.
H. The Board Chair, Committee Chair or Presiding Officer may group speakers according to a particular topic and may call certain speakers out of numerical order so that all comments regarding a particular topic may be heard together. Individuals who spoke at the previous Board meeting may register for public participation, but may not be allowed to speak where there are others who have not spoken and the allotted time is filled. In furtherance of this, the chair may limit or provide priority to persons who have not previously spoken.

I. The Board Chair, Committee Chair or Presiding Officer may place speakers in groups who are addressing a single issue to permit sufficient time for the Board to hear from various sides of the same issue. Groups of individuals may appear in support or opposition to a particular topic; however a maximum of two representatives will be called to speak from those who have signed up on the topic.

J. Current or prospective vendors wishing to present products or services for purchase are prohibited from the participation in the public comment portion of a Board meeting. The Board will not engage in discussion of threatened or pending litigation, personnel matters, collective bargaining or any other matter exempted under the Open Meetings Act. The Board encourages speakers to utilize this forum to inform the Board of issues of public concern related to the business of the University.

K. Any person who is unable, for any reason, to speak at a Board meeting may submit written testimony to the Board Liaison for distribution to the Board members. Written testimony must be submitted by the close of the Board meeting for consideration by Board members and inclusion in the meeting proceedings.

L. Recording Meetings

1. Any person may upon reasonable notice to the Board liaison record by tape, film, or other means the meetings of the Board of Trustees or its committees required to be open by Illinois law, provided that, if the recording process interferes with the overall decorum and proceeding of a meeting, such recording shall be discontinued at the request of the Chair, Committee Chair or Presiding Officer.

2. “Recording Device” shall mean any device that records and stores, transcribes, transmits or broadcasts still images, moving images and/or sounds, regardless of format or medium, including, but not limited to, still cameras, video cameras, camcorders, computing devices (regardless of size), mobile phones, personal data assistants, voice recorders or any other similar device and any accessories or equipment used in conjunction with the device that are used to record an open meeting.

3. A recording device shall be operated in a manner that does not disrupt or interfere with the deliberative process and the public’s ability to observe or listen to the proceedings. The Board Chair, Committee Chair or Presiding Officer or other presiding officer may limit the number of recording devices being operated in the meeting room where there is a good faith basis that the number of devices being operated in the aggregate causes or may cause disruption or interference.

4. All mounted recording devices must be set up prior to the commencement of the meeting and may not be moved or removed until the proceeding has concluded, unless otherwise permitted by the Board Chair, Committee Chair or Presiding Officer. If a recording device requires additional equipment that needs placement in the meeting room, such as power cords, standing lights and microphones, those items may only be placed and operated in designated areas assigned by the Board chair or presiding officer, provided the areas are not limited to a location from which the recording device is not reasonably capable of making a recording.

5. Recording devices are not permitted to be placed or operated in any emergency exit pathways or aisles, including entrances and exits.
6. Recordings may not be edited or amended in a manner that would be misleading, inaccurate or incomplete.

7. No recording device operated by a member of the public may be used to record a closed meeting.

8. No part of a meeting may be broadcast live without the express written consent of the Board of Trustees. Use of University's name, logos, marks or any other trade designation or any other University intellectual property that would create a reasonable inference of University endorsement is prohibited without prior written approval.

M. Unless otherwise determined by a majority of the Board or required by law, the Board will not engage in discussions on the following topics in open session:

1. Personnel matters as generally described under sections 2(c)(1)(2)(3) and (21) of the open meetings act;

2. Collective bargaining matters as generally described under section 2(c)(2) of the open meetings act;

3. Litigation and risk management matters as generally described under sections 2(c)(11) and (12) of the open meetings act;

4. Discussion involving internal control effectiveness as generally described under section 2(c) (29) of the open meetings act.

5. Property matters as generally described under sections 2(c)(5) and (6) of the open meetings act;

6. Matters related to sale or purchase of securities, investments or investment contracts as generally described under section 2 (c)(7) of the open meetings act;

7. Security procedure matters pertaining to actual, threatened or potential danger to safety as generally described under sections 2(c)(8) of the open meetings act;

8. Executive session minutes matters as generally described under section 2(c)(21) of the open meetings act.
CONSTITUTIONAL REFORM PROCESS

The Ad Hoc Committee on Governance meet on October 20, 2016, and viewed a presentation given by the Executive Secretary of University Council. The presentation is available at the Board of Trustee website at the following link:
RESOLUTION

HONORING

ERIC A. WELDY

WHEREAS, Eric A. Weldy has faithfully served as Vice President for Student Affairs & Enrollment Management at Northern Illinois University since 2013; and

WHEREAS, during his appointment as Vice President he always demonstrated genuine interest in supporting NIU students, ensuring that all actions taken by his division were aimed at meeting the needs of students and preparing them for career success; and

WHEREAS, under his leadership the university significantly improved retention rates for freshmen and sophomore students, climbing from 66 percent to 73 percent; and

WHEREAS, he helped guide the Institutional Aid Task Force, resulting in a significant revamp of how the university extends grants and aid to students, including the implementation of the Academic Works software that has upgraded and modernized many aspects of that process; and

WHEREAS, he oversaw a significant overhaul of the Department of Admissions at NIU, hiring and empowering streamlining and modernize the processes therein, and improving marketing and recruiting functions for both traditional and transfer students; and

WHEREAS, he helped implement significant improvement to the orientation process at NIU, expanding and improving services in that area, resulting in more students committing to the university after participating in that program; and

WHEREAS, he always displayed a commitment to diversity and to supporting students from all walks of life; and

WHEREAS, he consistently demonstrated outstanding leadership of his division, working closely with staff at all levels to understand their challenges and to champion their ideas for improvement; and

WHEREAS, he always demonstrated a “university-first attitude, consistently demonstrating a willingness to collaborate with other divisions throughout the university and realign functions as needed to best ensure that students were receiving the support they need to ensure success; and

NOW, THEREFORE, BE IT RESOLVED that the Board of Trustees of Northern Illinois University in formal meeting herein assembled, extends its grateful appreciation to Eric A. Weldy for his more than 3 years of distinguished service to Northern Illinois University.

BE IT FURTHER RESOLVED that this RESOLUTION be presented to Eric A. Weldy and a copy of this document be placed in the official files of the Board of Trustees as part of the permanent record of the university and the great State of Illinois and as a lasting tribute to the accomplishments of Eric A. Weldy.

Adopted in a regular meeting assembled this 15th day of December, 2016.

BOARD OF TRUSTEES OF
NORTHERN ILLINOIS UNIVERSITY

Marc J. Strauss, Chair

Timothy A. Struthers, Secretary